

## Assignment and Report

|  |  |  |                                     |                               |
|--|--|--|-------------------------------------|-------------------------------|
| <b>1. OPERATING NAME AND ADDRESS</b><br>(INCLUDE ZIP CODE AND COUNTY)<br><br>Self Service Drug Co Inc<br>Bi-Rite Sporting Goods<br>313 W Cedar St<br>Rawlins, WY 82301, Carbon | <b>2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)</b><br><div style="text-align: center;">788070-2017-0090-B1B</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;"><b>3. PERMIT/LICENSE NUMBER</b><br/>583007017G03335</td> <td style="width: 33%;"><b>4a. TARGET DATE</b><br/>3/31/2017</td> <td style="width: 33%;"><b>4b. TARGET HOURS</b><br/>40</td> </tr> </table> <b>5. REQUESTED BY (SIGNATURE, TITLE AND DATE)</b> | <b>3. PERMIT/LICENSE NUMBER</b><br>583007017G03335 | <b>4a. TARGET DATE</b><br>3/31/2017 | <b>4b. TARGET HOURS</b><br>40 |
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**6. ATF OFFICER(S) ASSIGNED**  
 (b)(6) - Lead Investigator

**7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)**  
 (b)(6), Area Supervisor, 12/05/2016

**8. PURPOSE/SPECIAL INSTRUCTIONS**  
 Conduct a Disposition Emphasis (DE) compliance inspection. 100% inventory verification and 12 months ATF Forms 4473 review is required. Obtain EIN for the business.

| 9. INSPECTION RESULTS  |    | <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC | 10. TRAVEL EXPENSES (OPTIONAL) |                     |
|------------------------|----|---|--------------------------------|---------------------|
| NO. OF VIOLATIONS      | 8  | NO. OF REFERRALS  | 1                              | 2111 - PER DIEM     |
| NO. OF TECS CHECKS     | 36 | NO. OF TECS HITS  | 1                              | 2112 - P.O.A.       |
| NO. OF TAX ADJUSTMENTS |    | \$ VALUE OF TAX INCREASES   |                                | 2113 - COMM. AIR    |
|                        |    | \$ VALUE OF TAX DECREASES   |                                | 2114 - RENTAL CAR   |
| NO. OF ASSESSMENTS     |    | \$ VALUE OF ASSESSMENTS   |                                | 2115 - GPV EXPENSES |
| NO. OF CLAIMS          |    | \$ VALUE OF CLAIMS  |                                | 2116 - MISC.        |
| NO. OF TAX PERIODS     |    | \$ VALUE OF TAXES VERIFIED  |                                | TOTAL \$ FOR INSP.  |

**11. ATF OFFICER'S RECOMMENDATION**  
 Submitted by (b)(6) - Industry Operations Investigator  
  
 Submitted on: 04/05/2017

Viols WL ONLY and No Recall Inspection

### 12. TIME ACCOUNTING DATA

|   |        |                         |
|---|--------|-------------------------|
| ATF OFFICER'S NAME (MONTH, YEAR, HOURS) | (b)(6) |                         |
| DEC 2016                                | 37.25  |                         |
| JAN 2017                                | 42.50  |                         |
| FEB 2017                                | 40.75  |                         |
| MAR 2017                                | 19.00  |                         |
| APR 2017                                | 1.00   |                         |
| ATF OFFICER'S SUBTOTAL                  | 140.50 | ATF OFFICER'S SIGNATURE |
| TOTAL HOURS                             | 140.50 |                         |

### 13. REVIEW AND ROUTING

**REVIEW COMMENTS AND RECOMMENDATION**  
 The licensee was cited for eight violations including transferring long guns to out of state residents in violation of state law on [REDACTED] instances and missing [REDACTED] firearms. Per ATF O 5370.1C a warning letter is the minimum AA required.  
  
 Viols WL ONLY and No Recall Inspection

REVIEWED     
  CONCUR     
  SEE COMMENTS     
  FINAL DISPOSITION

|   |                           |
|---|---------------------------|
| SIGNATURE AND TITLE<br>(b)(6) - Area Supervisor | REVIEW DATE<br>04/10/2017 |
|---|---------------------------|

May 9 2018 Production

# Assignment and Report

|  |   |                              |                        |
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|  | 3. PERMIT/LICENSE NUMBER<br>583007017G03335                                     | 4a. TARGET DATE<br>3/31/2017 | 4b. TARGET HOURS<br>40 |
|  | 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)                                     |                              |                        |

ROUTING SEQUENCE AND DATE

- 1. \_\_\_\_\_
- 2. \_\_\_\_\_
- 3. \_\_\_\_\_
- 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

# Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

|  |                                  |  |             |                    |                  |                      |
|--|----------------------------------|--|-------------|--------------------|------------------|----------------------|
| Name of Proprietor<br>Self Service Drug Co Inc<br>Bi-Rite Sporting Goods | Street Address<br>313 W Cedar St | City<br>Rawlins  | State<br>WY | Zip Code<br>82301- | County<br>Carbon | Page 1 of<br>4 Pages |
| License/Permit/Registry Number <i>(If any)</i><br>583007017G03335        | Expiration Date<br>7/1/2017      | Date(s) or Period of Inspection<br>12/20/2016 through 12/20/2017 |             |                    |                  |                      |

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 1

**Nature of Violation:**

The licensee failed to complete (b)(3), Public Law ATF Forms 4473 as prescribed by regulations. Specifically, Section A, blocks 11a, are you the actual transferee/buyer, 11b, are you under indictment, and 11c, have you ever been convicted in any court of a felony, were left blank on (b)(3), Public Law form, Section A, block 11a, are you the actual transferee/buyer, was marked "No" and corrected to "Yes" on (b)(3), Public Law form, Section B, blocks 24, buyers signature and 25, recertification date, were left blank on (b)(3), Public Law form, Section D, block 30a, total number of firearms, was left blank on (b)(3), Public Law forms, Section D, block 33, transferor/seller's name, was left blank on (b)(3), Public Law forms, Section D, block 35, transferor/seller's title, was left blank on (b)(3), Public Law forms.

**Citation:** 27 CFR 478.21(a)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee will ensure the ATF Form 4473 is completed

*(If not corrected immediately)* correctly for all future transactions.

**Number:** 2

**Nature of Violation:**

The licensee failed to ensure that ATF Form 4473, Section A was accurately completed by the transferee prior to the transfer of a firearm on (b)(3), Public Law forms. Specifically, Section A, block 1, middle name, was left blank on (b)(3), Public Law form, Section A, blocks 11a, are you the actual transferee/buyer, 11b, are you under indictment, and 11c, have you ever been convicted in any court of a felony, were left blank on (b)(3), Public Law form and the transferee was found NOT to be prohibited after CCH check.

**Citation:** 27 CFR 478.124(c)(1)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee will ensure the ATF Form 4473 is completed

*(If not corrected immediately)* correctly for all future transactions.

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| License/Permit/Registry Number <i>(If any)</i><br>583007017G03335        |                                  | Expiration Date<br>7/1/2017 | Date(s) or Period of Inspection<br>12/20/2016 through 12/20/2017 |                    |                  |                      |

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 3

**Nature of Violation:**

The licensee failed to record complete and accurate NICS information on (b)(3), Public Law ATF Forms 4473. Specifically, Section B, block 21a, date the transferee's identifying information in Section A was transmitted to NICS, was left blank on (b)(3), Public Law forms, Section B, block 21b, the NICS transaction number, was left blank on (b)(3), Public Law forms, Section B, block 21b, the NICS transaction number, was not entered, instead the transferee/buyer's driver's license was entered, Section B, block 21c, the response initially provided by NICS, was left blank on (b)(3), Public Law forms, Section B, block 21c, the response initially provided by NICS, was entered incorrectly on (b)(3), Public Law form, Section B, block 21d, if initial NICS response was delayed, was entered incorrectly on (b)(3), Public Law form.

**Citation:** 27 CFR 478.124(c)(3)(iv)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee understands the importance of the NICS transaction number and will ensure the ATF Form 4473 is completed correctly for all future transactions.

**Number:** 4

**Nature of Violation:**

The licensee failed to record all required information of firearms transferred on ATF Form 4473 on (b)(3), Public Law forms. Specifically, Section D, blocks 26, manufacturer and/or importer, 27, model, 28, serial number, 29, type, and 30, caliber or gauge, were left blank on (b)(3), Public Law forms.

**Citation:** 27 CFR 478.124(c)(4)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee will ensure the ATF Form 4473 is completed correctly for all future transactions.

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| License/Permit/Registry Number <i>(If any)</i><br>583007017G03335        |                                  | Expiration Date<br>7/1/2017 | Date(s) or Period of Inspection<br>12/20/2016 through 12/20/2017 |                    |                  |                      |

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 5

**Nature of Violation:**

The licensee failed to accurately complete the ATF Form 4473 on (b)(3), Public L forms. Specifically, Section D, blocks 33, transferors/seller's name, blocks 34, transferor's signature, blocks 35, transferor/seller's title, and blocks 36, date transferred, were left blank on (b)(3), Public L forms.

**Citation:** 27 CFR 478.124(c)(5)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee will ensure the ATF Form 4473 is completed correctly for all future transactions.

**Number:** 6

**Nature of Violation:**

The licensee transferred a long gun to a California resident in violation of State law on (b)(3), Public L occasions.

**Citation:** 27 CFR 478.99(a)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee will ensure the ATF Form 4473 is completed correctly for all future transactions.

# Report of Violations

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| Name of Proprietor<br>Self Service Drug Co Inc<br>Bi-Rite Sporting Goods | Street Address<br>313 W Cedar St | City<br>Rawlins  | State<br>WY | Zip Code<br>82301- | County<br>Carbon | Page 4 of<br>4 Pages |
| License/Permit/Registry Number <i>(If any)</i><br>583007017G03335        | Expiration Date<br>7/1/2017      | Date(s) or Period of Inspection<br>12/20/2016 through 12/20/2017 |             |                    |                  |                      |

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 7

**Nature of Violation:**

The licensee failed to maintain an accurate A&D Record Book in [REDACTED] instances. Specifically, [REDACTED] instances in which a firearm was disposed of in which the disposition information was not recorded. Of the [REDACTED] firearms in which no disposition information was recorded, [REDACTED] were not accounted for and placed on a Theft/Loss Report.

**Date Corrections to be Made:** 02/16/2017  
*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee will record all required information in the A&D Record timely and/or correctly for all future transactions. The licensee completed and submitted an ATF Form 3310.11 Federal Firearms Licensee Firearms Inventory Theft/Loss Report and disposed of the firearm correctly in the A&D Record book on February 16, 2017.

**Citation:** 27 CFR 478.125(e)

**Number:** 8

**Nature of Violation:**

The licensee failed to retain a denied Form 4473 for the required minimum of five years in [REDACTED] instances.

**Date Corrections to be Made:**  
*(If not corrected immediately)*

**Corrective Action to be Taken:** The licensee stated that he has informed all of his employees that all denied 4473s must be kept in a separate file for a minimum of 5 years.

**Citation:** 27 CFR 478.129(b)

|   |      |
|---|------|
| I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i> | Date |
| Signature and Title of ATF Officer<br>May 9 2018 Production                                   | Date |

RIP



**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

*Denver Field Division*

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*April 10, 2017*

www.atf.gov

788070: LDP  
5300

Mr. Mark Carrico  
Self Service Drug Co Inc  
d/b/a Bi-Rite Sporting Goods  
313 W Cedar St  
Rawlins WY 82301

Re: FFL# 5-83-007-01-7G-03335

Mr. Carrico:

During a recent inspection at your firearms business covering the period from December 20, 2015 to December 20, 2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Mr. Mark Carrico  
Self Service Drug Co Inc

Should you have any questions regarding this matter, please contact (b)(6), Area  
Supervisor at (b)(6).

(b)(6)

Area Supervisor Industry Operations

cc: Federal Firearms Licensing Center  
Denver VI Field Office