

## Assignment and Report

<b>1. OPERATING NAME AND ADDRESS</b> (INCLUDE ZIP CODE AND COUNTY) Darkside Tactical Group LLC 1300 E 12276 S Draper, UT 84020, Salt Lake	<b>2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)</b> 788040-2017-0197-B1B				
	<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 33%;"><b>3. PERMIT/LICENSE NUMBER</b> 987035018B01778</td><td style="width: 33%;"><b>4a. TARGET DATE</b> 9/1/2017</td><td style="width: 33%;"><b>4b. TARGET HOURS</b></td></tr></table>	<b>3. PERMIT/LICENSE NUMBER</b> 987035018B01778	<b>4a. TARGET DATE</b> 9/1/2017	<b>4b. TARGET HOURS</b>	
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	<b>5. REQUESTED BY (SIGNATURE, TITLE AND DATE)</b>				
<b>6. ATF OFFICER(S) ASSIGNED</b> (b)(6) - Lead Investigator					
<b>7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)</b> (b)(6) Area Supervisor, 04/05/2017					
<b>8. PURPOSE/SPECIAL INSTRUCTIONS</b> Conduct DE with special attention to possible manufacturing without an FFL					
<b>9. INSPECTION RESULTS</b> <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC					
NO. OF VIOLATIONS	8	NO. OF REFERRALS		<b>10. TRAVEL EXPENSES (OPTIONAL)</b>	
NO. OF TECS CHECKS	20	NO. OF TECS HITS		2111 - PER DIEM	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2112 - P.O.A.	
		\$ VALUE OF TAX DECREASES		2113 - COMM. AIR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2114 - RENTAL CAR	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2115 - GPV EXPENSES	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2116 - MISC.	
				TOTAL \$ FOR INSP.	
<b>11. ATF OFFICER'S RECOMMENDATION</b> Submitted by (b)(6) - Industry Operations Investigator  Submitted on: 08/31/2017 A compliance inspection resulted in violations being cited. A Warning Letter and Recall inspection are recommended given the amount of A&D Record errors, 4473 errors, and the licensee manufacturing firearms without a Type 07 license.  Onsite start date: 4/18/2017 Onsite end date: 6/7/2017  Criminal history checks for Steven Luck yielded no prohibiting hits. N-Force queries of Steven Luck and Darkside Tactical Group LLC yielded no active cases.  Violts WL ONLY and Recall Inspection					
<b>12. TIME ACCOUNTING DATA</b>					
<b>ATF OFFICER'S NAME (MONTH, YEAR, HOURS)</b> (b)(6)					
APR 2017		65.50			
MAY 2017		62.50			
JUN 2017		5.00			
JUL 2017		3.00			
AUG 2017		7.00			
<b>ATF OFFICER'S SUBTOTAL</b>		143.00	<b>ATF OFFICER'S SIGNATURE</b>		
<b>TOTAL HOURS</b>		143.00			

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	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

Violations reach the level of a recommendation for a Warning Letter and a recall inspection.

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

(b)(6)

REVIEW DATE

09/08/2017

ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Darkside Tactical Group LLC	Street Address 1300 E 12276 S	City Draper	State UT	Zip Code 84020-	County Salt Lake	Page 1 of 3 Pages
License/Permit/Registry Number (If any) 987035018B01778	Expiration Date 2/1/2018	Date(s) or Period of Inspection 01/12/2012 through 04/17/2017				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

#### Nature of Violation:

Failure to obtain a Type 07, Manufacturer of Firearms Other Than Destructive Devices license prior to engaging in the business of manufacturing, including assembly of firearms for sale, in (b)(3) instances.

Citation: 27 CFR 478.41(a)

#### Date Corrections to be Made:

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

Cease all manufacturing activity. Apply for and receive a Type 07 Federal firearms license prior to engaging in any further manufacturing activities.

Number: 2

#### Nature of Violation:

Failure to maintain an accurate Acquisition & Disposition (A&D) Record in (b)(3) instances:  
- (b)(3) instances of failing to enter a firearm into the A&D Record.  
- (b)(3) 112 instances of failing to record the disposition of a firearm into the A&D Record.  
- (b)(3) 112 firearms were found to be missing.

Citation: 27 CFR 478.125(e)

#### Date Corrections to be Made:

06/07/2017

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

Add the (b)(3) firearms to your A&D Record. Log the (b)(3) 112 firearms out of the A&D Record. Report the (b)(3) 112 missing firearms to ATF as required. Maintain an accurate A&D Record in the future.

Number: 3

#### Nature of Violation:

Failure to ensure proper completion of the ATF Form 4473 per the form instructions in (b)(3) 112 instances.

Citation: 27 CFR 478.21(a)

#### Date Corrections to be Made:

06/07/2017

(If not corrected immediately)

#### Corrective Action to be Taken:

(If not corrected immediately)

Correct Blocks 31, 32, 33 and 35 (2012 edition) and Blocks 33, 34 and 36 (2016 edition) on all forms.

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License/Permit/Registry Number (If any) 987035018B01778	Expiration Date 2/1/2018	Date(s) or Period of Inspection 01/12/2012 through 04/17/2017				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

**Nature of Violation:**

Failure to ensure that the transferee properly completed Section A of the ATF Form 4473 in (b)(3) instances.

Citation: 27 CFR 478.124(c)(1)

**Date Corrections to be Made:**

(If not corrected immediately)

**Corrective Action to be Taken:** Ensure that the transferee completes all information required on the ATF Form 4473 accurately.  
(If not corrected immediately)

Number: 5

**Nature of Violation:**

Failure to record identification on the ATF Form 4473 in (b)(3) 112 Publi instances.

Citation: 27 CFR 478.124(c)(3)(i)

**Date Corrections to be Made:**

(If not corrected immediately)

**Corrective Action to be Taken:** Record proper identification on the ATF 4473 for all future transactions.  
(If not corrected immediately)

Number: 6

**Nature of Violation:**

Failure to record the required NICS (BCI) information onto the ATF Form 4473 in (b)(3) instances.

Citation: 27 CFR 478.124(c)(3)(iv)

**Date Corrections to be Made:**

(If not corrected immediately)

**Corrective Action to be Taken:** Record the date, transaction number and response from BCI onto all ATF Forms 4473.  
(If not corrected immediately)

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.


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License/Permit/Registry Number (If any) 987035018B01778	Expiration Date 2/1/2018	Date(s) or Period of Inspection 01/12/2012 through 04/17/2017				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

- Number:** 7
- Nature of Violation:**  
Failure to sign and date the ATF Form 4473 in (b)(3)(1) instances.
- Citation:** 27 CFR 478.124(c)(5)
- Date Corrections to be Made:** 06/07/2017  
(If not corrected immediately)
- Corrective Action to be Taken:** Correct the forms that are missing signatures and dates. Sign and date all ATF Forms 4473 on the date of the transfer.  
(If not corrected immediately)
- Number:** 8
- Nature of Violation:**  
The licensee failed to file ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, in (b)(3)(1) instance.
- Citation:** 27 CFR 478.126a
- Date Corrections to be Made:** 06/07/2017  
(If not corrected immediately)
- Corrective Action to be Taken:** File the ATF Form 3310.4 for the transaction. In the future, ATF Form 3310.4 must be filed for all multiple sales by the end of the business day.  
(If not corrected immediately)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

 CEO

Date 6-7-17

Signature and Title of ATF Officer  
July 19 2019 Document

(b)(6)

IOI 602

Date 6/7/17





**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives  
257 E 200 S Suite 475  
Salt Lake City, UT. 84111

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[www.atf.gov](http://www.atf.gov)

September 8, 2017

Darkside Tactical Group LLC  
Steven Luck  
1300 E 12276 S  
Draper, UT 84020  
9-87-035-01-8B-01778

Dear Mr. Luck,

During a recent compliance inspection at your firearms business on April 18, 2017, you were cited for violations of 27 Code of Federal Regulations, Parts 478 and 479. Refer to the copy of the Report of Violations, Form 5030.5, issued at the time of the inspection.

The violation was fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You stated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations. Those actions associated with the corresponding violation are as follow:

**27 CFR 478.41(a)**

**Nature of violation:**

Failure to obtain a Type 07, Manufacturer of Firearms Other Than Destructive Devices license prior to engaging in the business of manufacturing, including assembly of firearms for sale, in (b)(6) instances.

**Licensee's response:**

The licensee stated that he thought that if a customer requested that a firearm be built that it was not manufacturing. He stated he now understands the definition and will be obtaining the correct Type 07 license before manufacturing any other firearms.

9-87-035-01-8B-01778

**27 CFR 478.125(e)****Nature of violation:**

Failure to maintain an accurate Acquisition & Disposition (A&D) Record in (b)(3) 112 instances:

- (b)(3) instances of failing to enter a firearm into the A&D Record.
- (b)(3) 112 instances of failing to record the disposition of a firearm into the A&D Record.
- (b)(3) 112 Public Law firearms were found to be missing.

**Licensee's response:**

The licensee stated that most of the errors were due to problems with the first computerized record system and then the subsequent switch to a new system. In addition, he stated that he has gotten busy and forgotten to log firearms.

**27 CFR 478.21(a)****Nature of violation:**

Failure to ensure proper completion of the ATF Form 4473 per the form instructions in (b)(3) 112 instances.

**Licensee's response:**

The licensee stated that he did not know that he had to complete those particular blocks of the form. He stated that he now realizes the importance of completing the form properly and will do so in the future.

**27 CFR 478.124(c)(1)****Nature of violation:**

Failure to ensure that the transferee properly completed Section A of the ATF Form 4473 in (b)(3) 112 Public Law instances.

**Licensee's response:**

The licensee stated that these errors were oversights.

**27 CFR 478.124(c)(3)(i)****Nature of violation:**

Failure to record identification on the ATF Form 4473 in (b)(3) 112 Public Law instances.

**Licensee's response:**

The licensee stated that these errors were oversights.

9-87-035-01-8B-01778

**27 CFR 478.124(c)(3)(iv)**

**Nature of violation:**

Failure to record the required NICS (BCI) information onto the ATF Form 4473 in [REDACTED] instances.

**Licensee's response:**

The licensee stated that he did not know that an additional call to Utah BCI was required for (b)(3) - 26 USC 6103 [REDACTED].

**27 CFR 478.124(c)(5)**

**Nature of violation:**

Failure to sign and date the ATF Form 4473 in [REDACTED] instances.

**Licensee's response:**

The licensee stated that he did not realized these blocks had to be completed.

**27 CFR 478.126a**

**Nature of violation:**

The licensee failed to file ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, in [REDACTED] instance.

**Licensee's response:**

The licensee stated that this was an oversight.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.



9-87-035-01-8B-01778

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license.

Please ensure that future compliance is achieved. Should you have any questions regarding this matter, please contact ATF at (b) (6).

Sincerely yours,

(b)(6)

Area Supervisor Salt Lake City II Field Office

cc: National Licensing Center