U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
GUARDIAN TACTICAL LLC 3024 206TH ST SW LYNNWOOD,WA 98036, SNOHOMISH	787050-2016-0297-B1B		
	3. PERMIT/LICENSE NUMBER 991061076G03523	4a. TARGET DATE 6/1/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b) (6) Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b) (6) Area Supervisor, Seattle II (IO) Field Office, 03/16/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
ROV Issued; Warning Letter sent 9/24/2014

9. INSPECTION RESULTS		☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	10	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	4	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

(b)(3): Public Law 112-55 (125 Stat. 552)

11. ATF OFFICER'S RECOMMENDATION
Submitted b (b) (6) Industry Operations Investigator

Submitted on: 08/29/2016
The licensee was cited for ten (10) violations of the gun control act. Nine of the ten violations were repeat violations of the gun control act, cited during the 2014 compliance inspection.

According to an ATF Form 4473 and an FFL Audit Report, Mr. Perkins transferred a pistol to an individual after receiving a "Denied" response from FBI NICS. The information on the 4473 was also falsely recorded to appear as if the individual received a "delay" response and then no resolution from FBI NICS.

IOI (b)(6) recommends the revocation of the license due to the multiple repeat, willful violations regarding his ATF Forms 4473, errors in his A&D records, failing to file a multiple sale form, failing to file annual manufacturing reports, filing incorrect annual manufacturing reports, for transferring a firearm to an individual after receiving a "denied" response from FBI NICS and for putting incorrect information on an ATF Form 4473 regarding the response to a NICS check.

Viols and Revocation / Denial of Renewal App

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
APR 2016	9.00
MAY 2016	22.00
JUN 2016	61.50
JUL 2016	32.00
AUG 2016	7.00
OCT 2016	11.00
ATF OFFICER'S SUBTOTAL	142.50
TOTAL HOURS	142.50

ATF OFFICER'S SIGNATURE

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

This inspection revealed 9 repeated violations [redacted] t the 10 that were cited. The violations raise this to the level of revocation. This is a small dealer whose inventory consist [redacted] s an [redacted] F Forms 4473. Yet, his violations are troubling. Therefore, I recommend an alternative action of a DIO Warning Conference.

Viols WC with WL and Recall

☒ REVIEWED ☐ CONCUR ☒ SEE COMMENTS ☐ FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
(b) (6) Area Supervisor	08/19/2016

REVIEW COMMENTS AND RECOMMENDATION

The violations raise this to the level of revocation. This is a small dealer whose inventory consist o[redacted]uns an [redacted]TF Forms 4473. Due to the low volume, the FFLs understanding of the regulatons, and the willingness to comply. I recommend a DIO Conference in lieu of revocation.

Viols DIO (or Delegate) Held WC ILO Revocation

☒ REVIEWED ☐ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
WJMILLER - Director, Industry Operations	09/08/2016

ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	Page 1 of 4 Pages
GUARDIAN TACTICAL LLC	3024 206TH ST SW	LYNNWOOD	WA	98036-	SNOHOMISH	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
991061076G03523	7/1/2016	06/06/2015 through 06/06/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:
The licensee failed to provide complete or accurate information on the ATF Form 4473 (Lines 25, 31 and 32 on the ATF F 4473).

Citation: 27 CFR 478.21(a)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* The licensee will ensure that the ATF Form 4473 is properly completed prior to the transfer of a firearm to an unlicensed individual.

Number: 2

Nature of Violation:
The licensee failed to obtain a properly completed ATF Form 4473 from the transferee showing that the transferee is not prohibited from purchasing or possessing a firearm.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* The licensee will obtain a thoroughly complete ATF Form 4473 from the transferee showing that the transferee is not prohibited from purchasing or possessing a firearm(s).

Number: 3

Nature of Violation:
The licensee failed to properly record information concerning conducting a criminal background check onto ATF Form 4473.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* The licensee will ensure to properly record information concerning conducting a criminal background check onto ATF Form 4473.

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991061076G03523	7/1/2016	06/06/2015 through 06/06/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:
The licensee failed to prepare and submit a properly completed Report of Multiple Sale or Other Disposition of Pistols and Revolvers form (ATF F3310.4).

Citation: 27 CFR 478.126a

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*
The licensee will ensure to prepare and submit a properly completed Report of Multiple Sale or Other Disposition of Pistols and Revolvers form (ATF F3310.4) by the close of business on the day that the multiple sale or other disposition occurs.

Number: 5

Nature of Violation:
The licensee failed to timely/properly enter into a record each disposition of firearms.

Citation: 27 CFR 478.123(d)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*
The licensee will ensure to timely/properly enter into a record each disposition of firearms.

Number: 6

Nature of Violation:
The licensee failed to retain all completed ATF F4473s in alphabetical, chronilogical, or numerical order.

Citation: 27 CFR 478.124(b)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*
The licensee will retain all completed ATF F 4473s in alphabetical, chronilogical, or numerical order.

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991061076G03523	7/1/2016	06/06/2015 through 06/06/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:
The licensee failed to timely or correctly file the Annual Firearms Manufacturing and Exportation Report (ATF F 5300.11) by April 1st of each year.

Citation: 18 U.S.C. 923(g)(5)(A)

Date Corrections to be Made: 06/13/2016
(If not corrected immediately)

Corrective Action to be Taken: The licensee will timely or correctly file the Annual Firearms Manufacturing and Exportation Report (ATF F 5300.11) by April 1st of each year.
(If not corrected immediately)

Number: 8

Nature of Violation:
The licensee failed to properly identify the firearm to be transferred by listing on the ATF Form 4473 the manufacturer, importer (if any), the type, model, caliber or gauge, and/or the serial number of the firearm.

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee will ensure to properly identify the firearm to be transferred by listing on the ATF Form 4473 the name of the manufacturer, the importer (if any), the type, model, caliber or gauge, and the serial number of the firearm.
(If not corrected immediately)

Number: 9

Nature of Violation:
The licensee transferred a firearm to a purchaser after receiving a "denied" response from FBI NICS.

Citation: 27 CFR 478.99(c)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee will not transfer a firearm to an individual after receiving a "denied" response from FBI NICS or local law enforcement.
(If not corrected immediately)

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991061076G03523	7/1/2016	06/06/2015 through 06/06/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 10

Nature of Violation:
The licensee failed to record the manufacturing of firearms in a record of acquistion and disposition.

Citation: 27 CFR 478.123(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee will record the manufacturing of firearms in a record of acquisition and disposition.
(If not corrected immediately)

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*	Date
Signature and Title of ATF Officer	Date

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RIF