

Assignment and Report

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|--|---|
| 1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) UNERTL ORDNANCE CO INC 5903 BOULDER HIGHWAY LAS VEGAS, NV 89122, CLARK | 2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 786090-2015-0227-B1B |
| | 3. PERMIT/LICENSE NUMBER 988003075D00387 |
| | 4a. TARGET DATE 8/31/2015 |
| | 4b. TARGET HOURS |
| | 5. REQUESTED BY (SIGNATURE, TITLE AND DATE) |

6. ATF OFFICER(S) ASSIGNED
 (b)(6) Assigned Investigator
 (b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
 (b)(6) Area Supervisor, 07/15/2015

8. PURPOSE/SPECIAL INSTRUCTIONS
 CONDUCT A FULL SCOPE FIREARMS INSPECTION UNDER THE FIREARMS MANUFACTURER AND IMPORTER INVESTIGATION INITIATIVE.

| 9. INSPECTION RESULTS | | <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC | 10. TRAVEL EXPENSES (OPTIONAL) | |
|------------------------|---|---|--------------------------------|---------------------|
| NO. OF VIOLATIONS | 1 | NO. OF REFERRALS | 1 | 2111 - PER DIEM |
| NO. OF TECS CHECKS | | NO. OF TECS HITS | | 2112 - P.O.A |
| NO. OF TAX ADJUSTMENTS | | \$ VALUE OF TAX INCREASES | | 2113 - COMM. AIR |
| | | \$ VALUE OF TAX DECREASES | | 2114 - RENTAL CAR |
| NO. OF ASSESSMENTS | | \$ VALUE OF ASSESSMENTS | | 2115 - GPV EXPENSES |
| NO. OF CLAIMS | | \$ VALUE OF CLAIMS | | 2116 - MISC. |
| NO. OF TAX PERIODS | | \$ VALUE OF TAXES VERIFIED | | TOTAL \$ FOR INSP. |

11. ATF OFFICER'S RECOMMENDATION
 Submitted by (b)(6) - Industry Operations Investigator
 Submitted on: 09/09/2015

Viols WL ONLY and No Recall Inspection

| 12. TIME ACCOUNTING DATA | | | |
|---|-------|-------------------------|--------|
| ATF OFFICER'S NAME (MONTH, YEAR, HOURS) | | (b)(6) | |
| JUL 2015 | 9.00 | | |
| ATF OFFICER'S SUBTOTAL | 9.00 | ATF OFFICER'S SIGNATURE | (b)(6) |
| ATF OFFICER'S NAME (MONTH, YEAR, HOURS) | | (b)(6) | |
| JUL 2015 | 18.00 | | |
| AUG 2015 | 4.00 | | |
| SEP 2015 | 8.00 | | |
| ATF OFFICER'S SUBTOTAL | 30.00 | ATF OFFICER'S SIGNATURE | |
| TOTAL HOURS | 39.00 | | |

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| | | | |

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and No Recall Inspection

REVIEWED CONCUR SEE COMMENTS FINAL DISPOSITION

| | | |
|---|--------|---------------------------|
| SIGNATURE AND TITLE (b)(6) - Area Supervisor | (b)(6) | REVIEW DATE 09/10/2015 |
|---|--------|---------------------------|

ROUTING SEQUENCE AND DATE

| | |
|---------------------------------------|------------------------------------|
| <input checked="" type="checkbox"/> 1 | FELC - CUSTER 09/11/2015 |
| <input checked="" type="checkbox"/> 2 | LAS VEGAS III AREA FILE 09/11/2015 |
| <input type="checkbox"/> 3 | _____ |
| <input type="checkbox"/> 4 | _____ |

CONTROL FILE POSTED DATE _____



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
8965 South Eastern, Suite 220
Las Vegas, NV 89123

September 11, 2015

www.atf.gov

Warning Letter

CERTIFIED MAIL- RETURN RECEIPT REQUESTED

Unertl Ordnance Co. Inc.
Attn: Rocky A. Green
4620 W Richmar Ave
Las Vegas, Nevada 89139
RE: 9-88-003-07-8D -00387

Dear Mr. Green:

During a recent inspection at your firearms business covering the period July 15, 2014 to July 15, 2015, you were cited for a violation of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed. This violation was fully explained to you by a Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (IOI).

Of particular concern is the firearms inventory that you were unable to account for and were required to report missing. When firearms are reported missing, the ability to trace these firearms is eliminated. I urge you to continue searching for these firearms or any information as to the disposition of these firearms. Should you locate the firearms or disposition information for the firearms, please report the information to the ATF National Tracing Center (1-800-930-9275).

The IOI also recommended that you conduct full inventory reconciliations on a regular basis and to institute policies to ensure that firearm entries are made in the A&D record in a timely manner. I have enclosed a copy of the ATF Publication: "Federal Firearms Licensee Quick Reference and Best Practices Guide." I suggest that you review the entire publication and that you give particular attention to the section titled "Accountability for Firearms" on pages 9 and 10. Implementing policies based on the best practices recommended in this section of the publication will better enable you to account for your business assets, identify security issues, and provide quick reporting of firearms that have been lost or stolen from your business.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is

essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact me at (b)(6)

Sincerely,

(b)(6)

Area Supervisor
Las Vegas III Field Office

cc: Federal Firearms Licensing Center
Director, Industry Operations, San Francisco Field Division
Las Vegas III Field Office

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

| | | | | | | |
|--|--|--|-------------|--------------------|-----------------|----------------------|
| Name of Proprietor UNERTL ORDNANCE CO INC | Street Address 5903 BOULDER HIGHWAY | City LAS VEGAS | State NV | Zip Code 89122- | County CLARK | Page 1 of 1 Pages |
| License/Permit/Registry Number (If any) 988003075D00387 | Expiration Date 4/1/2018 | Date(s) or Period of Inspection 07/15/2015 through 07/16/2015 | | | | |

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed in (b)(3) PI instances to make complete and correct entries in its Acquisition and Disposition Record as follows:
(b)(3) PI firearm frames were open dispositions in A&D record and found missing from inventory.
A theft/loss report was filed for the missing firearms.

Citation: 27 CFR 478.123(d)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee completed a theft/loss report and would continue to look for the missing firearms frames. IOI (b)(6) suggested to Mr. Green that he should inventory his firearms quarterly. Mr. Green stated to IOI (b)(6) that this was the first time he did not have a perfect inspection.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature

(b)(6)

Investigator

Date 9/1/2015

Date 9/1/2015

For Official

7013 2630 0001 3270 6971

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| Total Postage | | 7.89 |

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City, State, ZIP

Unertl Ordnance Co. Inc.
Attn: Rocky A. Green
4620 W Richmar Ave
Las Vegas, Nevada 89139

PS Form 3800