

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) HIGH BRIDGE ARMS, INC 3185 MISSION STREET SAN FRANCISCO, CA 94110, SAN FRANCISCO	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 786060-2015-0118-B1B		
	3. PERMIT/LICENSE NUMBER 994075085A00148	4a. TARGET DATE 9/15/2015	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED

(b) (6) Lead Investigator
(b) (6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)

(b) (6) Area Supervisor, 12/09/2014

8. PURPOSE/SPECIAL INSTRUCTIONS

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	10	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	2	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION

Submitted by (b) (6) Industry Operations Investigator

Submitted on: 07/28/2015

Recommend Revocation / Denial of Renewal Application based upon the following multiple repeat violations, for which the licensee has previously received a Warning Letter:

•27 CFR 478.125(e) – Failure to properly maintain Acquisition & Disposition Records

oAdditionally, this inspection resulted in the filing of ATF Theft / Loss report F20150000740 for a total of 71 firearms (representing 22% of the FFLs inventory).

•27 CFR 478.126a - Failure to report the multiple sales or other disposition of pistols and revolvers.

•27 CFR 478.124(c)(5) - Failure to sign and date or correctly date the Firearms Transaction Record (ATF Form 4473 - Section D) at the time when the documented firearm was transferred.

•27 CFR 478.124(c)(3)(iv) - Failure to obtain / document information regarding requirements of section 478.102 (sales or deliveries of firearms) and record on the form the date on which the licensee contacted DROS, as well as any responses provided by the system, including any identification number provided by the system.

•27 CFR 124(c)(3)(i) - Failure to record on the ATF Form 4473 the type, ID number and expiration date of the identification document used to verify the identity of the transferee and alternate documentation showing current resident address.

•27 CFR 478.124(c)(1) - Failure to obtain information (or accurate information) required on ATF Form 4473 (Section A).

•27 CFR 478.21(a) - Failure to complete ATF Forms 4473 in accordance with instructions found on the form.

Further, the licensee committed the following violation:

•27 CFR 478.128(c): - Licensee knowingly committed a false statement on the Acquisition and Disposition Record Book, information required by the Act; resulting in a straw purchase.

Viols and Revocation / Denial of Renewal App

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
DEC 2014	2.00
JAN 2015	2.00
MAR 2015	101.00
APR 2015	85.00
JUN 2015	97.00
JUL 2015	63.00
SEP 2015	20.00

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	3. PERMIT/LICENSE NUMBER 994075085A00148	4a. TARGET DATE 9/15/2015	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)	
FEB 2016	9.00
ATF OFFICER'S SUBTOTAL	379.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)	
MAR 2015	18.00
ATF OFFICER'S SUBTOTAL	18.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	397.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

In review of the inspection I concur with the recommendation of revocation. The licensee (agent of) willfully participated in a straw sale of a state prohibited person because he did not want to refund the customers deposit on a firearm and therefore allowed his father to take possession after receiving a denial notice for the customer from CAL DOJ. In addition the licensee reported firearms as missing without any form of accountability of the dispositions. The responsible person stated that they retain no accountability or oversight over the daily retail operations of the business, this following a warning letter on their past inspection. In addition the FFL was subject to repeat warning conferences in 2006 and 2007 under former FFL 9-94-86875, all with repeat violations.

Viols and Revocation / Denial of Renewal App

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b) (6) INSPECT Program Manager	REVIEW DATE 07/20/2015
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REVIEW COMMENTS AND RECOMMENDATION

Due to the numerous, repeated serious violations of the Gun Control Act, DIO Root concurs with the recommendation to revoke the Federal Firearms License.

Viols and Revocation / Denial of Renewal App

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☐ FINAL DISPOSITION

SIGNATURE AND TITLE RMROOT - Director, Industry Operations	REVIEW DATE 07/21/2015
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ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor HIGH BRIDGE ARMS, INC	Street Address 3185 MISSION STREET	City SAN FRANCISCO	State CA	Zip Code 94110-	County SAN FRANCISCO	Page 1 of 8 Pages
License/Permit/Registry Number <i>(If any)</i> 994075085A00148		Expiration Date		Date(s) or Period of Inspection 03/04/2015 through 07/07/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to complete ATF Forms 4473 in accordance with instructions found on the form.

(b)(3)- Public Law 112-55 (125 Stat. 552)

casations, the licensee failed to obtain / record information or accepted incorrect information required on the ATF Form 4473.

(b)(3)- Public Law 112-55 (125 Stat. 552)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Where possible, amend identified forms in accordance with instructions on the back of the ATF Form 4473.

Ensure that all Firearms Transaction Records (ATF Form 4473) are completed, in their entirety with all information required by ATF regulation in conjunction with the instructions on the form, before completing the transfer of a firearm to a non-licensee.

Citation: 27 CFR 478.21(a)

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Name of Proprietor HIGH BRIDGE ARMS, INC	Street Address 3185 MISSION STREET	City SAN FRANCISCO	State CA	Zip Code 94110-	County SAN FRANCISCO	Page 2 of 8 Pages
License/Permit/Registry Number (If any) 994075085A00148		Expiration Date		Date(s) or Period of Inspection 03/04/2015 through 07/07/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

Failure to obtain information (or accurate information) required on ATF Form 4473 (Section A).

(b)(3)- Public Law 112-55 (125 Stat. 552) casions, the licensee failed to obtain correct, complete, and accurate information re g one or more of the following: the certification by the transferee that the transferee is not prohibited by the Act from possessing a firearm by checking or marking, "yes" to one of the prohibited factors; the transferee's State of residence; the transferee's INS-issued alien number or admission number; and certification by the transferee that the answers to Section A are true, correct, and complete.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* Where possible, amend identified forms in accordance with instructions on the back of the ATF Form 4473.

Ensure that all Firearms Transaction Records (ATF Form 4473) are completed, in their entirety with all information required by ATF regulation in conjunction with the instructions on the form, before completing the transfer of a firearm to a non-licensee.

(b)(3)- Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(1)

Instructions

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License/Permit/Registry Number <i>(If any)</i> 994075085A00148		Expiration Date		Date(s) or Period of Inspection 03/04/2015 through 07/07/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:
Failure to identify the firearm to be transferred by listing on the Form 4473 the name of the manufacturer or importer, the type, model, caliber or gauge and serial number of the firearm.

O (b)(3)- Public Law 112-55 (125 Stat. 552)asion, the licensee failed to record serial number of the firearm transferred on the Firearms Transaction Record (ATF Form 4473 - Section D) at the time when the documented firearm was transferred
(b)(3)- Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Amend identified form to reflect accurate information in accordance with instructions on the back of the ATF Form 4473.

Number: 6

Nature of Violation:
Failure to sign and date or correctly date the Firearms Transaction Record (ATF Form 4473 - Section D) at the time when the documented firearm was transferred.

O (b)(3)- Public Law 112-55 (125 Stat. 552)asion, the licensee failed to have the buyer sign and date or correctly date the Firearms Transaction Record (ATF Form 4473 - Section D) at the time when the documented firearm was transferred
(b)(3)- Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Immediately & from this date forward amend identified forms in accordance with instructions on the back of the ATF Form 4473. Ensure that all Firearms Transaction Records are completed, in their entirety with all information required by ATF regulation in conjunction with the transfer of a firearm to a non-licensee.

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

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License/Permit/Registry Number (If any) 994075085A00148		Expiration Date	Date(s) or Period of Inspection 03/04/2015 through 07/07/2015			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:

Failure to report the multiple sales or other disposition of pistols and revolvers.

(b)(3)- Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.126a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee shall prepare a report of multiple sales or other disposition whenever it sells or otherwise disposes of, at one time or during any five consecutive business days, two or more pistols, or revolvers, or any combination of pistols and revolvers totaling two or more, to an unlicensed person (a report need not be made where pistols or revolvers are returned to the same person from whom they were received). The report shall be prepared on ATF Form 3310.4 and submitted no later than the close of business on the day that multiple sale or other disposition occurs.

Number: 8

Nature of Violation:

The licensee knowingly allowed a false statement on the ATF Form 4473 which is information required by the Act. IOI [REDACTED] as attached copies of the ATF Form 4473s, CA DOJ DROS responses, copies of both ID's, criminal history and invoices. IOI [REDACTED] submitted this referral to the local ATF office for further inquiry.

(b)(3)- Public Law 112-55 (125 Stat. 552)

Citation:

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee shall not transfer any firearm when they are aware that the sale of that firearm has resulted in a false statement on the ATF Form 4473.

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License/Permit/Registry Number <i>(If any)</i> 994075085A00148		Expiration Date		Date(s) or Period of Inspection 03/04/2015 through 07/07/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.128(c)

Number: 9

Nature of Violation:

Failure to accurately maintain required Acquisition record as prescribed (country of manufacture) in Table 1.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Immediately & from this date forward the licensee shall ensure that all acquisitions of firearms are recorded with the required information in compliance with ATF regulations, not later than 15 days following the date of importation or other acquisition.

Citation: 27 CFR 478.122(a)

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number:

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Nature of Violation:

Failure to accurately maintain required Acquisition & Disposition Record of sales or other dispositions to nonlicensees as prescribed in section 478.125.

A)Licensee failed, in (b)(3)-Public Law 112-55 instances, to properly document the transfer (disposition) of firearms in its required Acquisition & Disposition (A & D) records as follows:

Disposition information NOT recorded at time of ATF Inspection

•Disposition Reconciled or Duplicate Entry (b)(3)-Public Law 112-55 instances

•Disposition information NOT recorded at time of ATF Inspection (Disposition NOT Reconciled) - Missing (b)(3)-Public Law 112-55 instances

•Licensee incorrectly D (b)(3)-Public Law 112-55 instance

Date Corrections to be Made:

07/07/2015

(If not corrected immediately)

Corrective Action to be Taken:

Immediately & from this date forward the licensee shall ensure that all sales or other dispositions of firearms are recorded in the required records, in compliance with ATF regulations, not later than 7 days following the date of such transactions.

Immediately research, verify, update and/or record any / all required information regarding missing, inaccurate, duplicate and contradictory entries contained within the current Acquisition & Disposition (A&D) records. File ATF Theft/Loss Report on ATF Form 3310.11 accounting for all missing firearm Contact local law enforcement and report loss of inventory of firearm Further, contact ATF tracing center with any future updated information regarding missing firearm

Citation: 27 CFR 478.122(d)

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Report of Violations

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License/Permit/Registry Number <i>(If any)</i> 994075085A00148		Expiration Date		Date(s) or Period of Inspection 03/04/2015 through 07/07/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 11

Nature of Violation:

Failure to record on the ATF Form 4473 the alternate documentation showing current resident address.

On **(b)(3)- Public Law 112-55 (125 Stat. 552)** occasions, the licensee failed to record any information pertaining to an identification document for a transferee, in response to information required on ATF Form 4473 question #20b.

(b)(3)- Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Amend identified form to reflect accurate information in accordance with instructions on the back of the ATF Form 4473.

U.S. Department of Justice

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License/Permit/Registry Number (If any) 994075085A00148		Expiration Date	Date(s) or Period of Inspection 03/04/2015 through 07/07/2015			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 12

Nature of Violation:

Failure to obtain / document information regarding requirements of section 478.102 (sales or deliveries of firearms) and record on the form the date on which the licensee contacted NICS, as well as any responses provided by the system, including any identification number provided by the system.

(b)(3)- Public Law 112-55 (125 Stat. 552)

On occasion the licensee failed to correctly record the date on which the licensee contacted NICS immediately prior to the transfer of a firearm, in response to information required on ATF Form 4473 question #21a.

(b)(3)- Public Law 112-55 (125 Stat. 552)

(b)(3)- Public Law 112-55 (125 Stat. 552)

On occasions the licensee failed to record the state transaction number, on which the licensee contacted NICS immediately prior to the transfer of a firearm, in response to information required on ATF Form 4473 question #21b.

(b)(3)- Public Law 112-55 (125 Stat. 552)

(b)(3)- Public Law 112-55 (125 Stat. 552)

On occasions the licensee failed to record the state agency response, on which the licensee contacted NICS immediately prior to the transfer of a firearm, in response to information required on ATF Form 4473 question #21c.

(b)(3)- Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(3)(iv)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title of ATF Officer

Date

Date

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Revised April 2005