

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Steers, Robert Wayne 33489 Rd. 204 Woodlake, CA 93286, Tulare	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 786040-2017-0118-B1B		
	3. PERMIT/LICENSE NUMBER 977107017K01734	4a. TARGET DATE 5/1/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE) 02/03/2017		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 02/03/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
Firearms Compliance Inspection - Please conduct a full compliance inspection. FFL application qualified in 2008, and never has had a compliance inspection. There is no record in NCIC (III) nor N-FORCE 6/24/16.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	32	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 05/10/2017
This was licensee's first compliance inspection. Six (6) record keeping violations submitted. Limited business activity. Recommend a Warning Letter and No Recall Inspection.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
FEB 2017	5.50		
MAR 2017	53.50		
APR 2017	13.50		
MAY 2017	7.50		
ATF OFFICER'S SUBTOTAL	80.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	80.00		

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

WL, ROV and no recall inspection recommended. An onsite compliance inspection was initiated on 3/13/2017 and completed on 3/27/2017 in Woodlake, CA. The inspection disclosed 6 violations: 27 CFR 478.21(a) - (b)(6) instances (18, 24, and 25 errors or omissions); 27 CFR 478.124(c)(1) - (b)(6) instances (errors or omissions on lines 1 and 17); 27 CFR 478.124(c)(3)(iv) - (b)(6) instances (errors or omissions on lines 21a and 21b); 27 CFR 478.124(c)(4) - (b)(6) instance (error on line 30); 27 CFR 478.124(c)(5) - (b)(6) instances (errors on line 36) and 27 CFR 478.125(e) - in (b)(6) instances failed to enter correctly and or timely acquisition / disposition information in the A&D record.. No referrals were created. A ROV was issued (2 amended copies were issued). A WL, with a copy of the ROV, was mailed to the licensee on 5/12/2017. Future compliance is expected. No further field action is required at this time.

E-mailed to: A/S (b)(6), (b)(6), (b)(6), FEL Actions-San Francisco

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

REVIEW DATE

05/12/2017

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Steers, Robert Wayne	Street Address 33489 Rd. 204	City Woodlake	State CA	Zip Code 93286-	County Tulare	Page 1 of 5 Pages
License/Permit/Registry Number <i>(If any)</i> 977107017K01734		Expiration Date 10/1/0017	Date(s) or Period of Inspection 03/14/2016 through 03/14/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

In

(b)(3), Public L

 instances, the licensee failed to ensure all of the information called for in each form was furnished as indicated by the headings on the form and instructions on or pertaining to the form.

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee will ensure to obtain a properly completed ATF F 4473. Licensee will ensure all information called for on each form is furnished as indicated by the headings on the form and instructions on or pertaining to the form.

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RIP

ATF E-Form 5030 5

Revised April 2005

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License/Permit/Registry Number <i>(If any)</i> 977107017K01734		Expiration Date 10/1/0017	Date(s) or Period of Inspection 03/14/2016 through 03/14/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.21(a)

Number: 2

Nature of Violation:

In **(b)(3)** instances, the licensee failed to obtain a properly completed ATF Form 4473 from the transferee.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Licensee will ensure to obtain a properly completed ATF

(If not corrected immediately) From 4473 from the transferee.

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.124(c)(1)

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License/Permit/Registry Number (If any) 977107017K01734		Expiration Date 10/1/0017	Date(s) or Period of Inspection 03/14/2016 through 03/14/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

In (b)(3), Public L instances, the licensee failed to properly record all required NICS/DROS background check information on each ATF Form 4473.

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to properly record all required NICS/DROS background check information on each ATF Form 4473.

Number: 4

Nature of Violation:

In (b)(3), Public L instance, the licensee failed to properly provide the caliber or gauge of the firearm in Block 30 on ATF Form 4473 (b)(3), Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure to properly identify the firearm to be transferred by entering the firearm manufacturer, name of the importer (if any), model, serial number, and type of firearm (Pistol, Revolver, Shotgun, Rifle, frame, receiver, or pistol grip firearm) on each ATF Form 4473 as required on the form..

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ATF E-Form 5030 5

Revised April 2005

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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License/Permit/Registry Number <i>(If any)</i> 977107017K01734	Expiration Date 10/1/0017	Date(s) or Period of Inspection 03/14/2016 through 03/14/2017
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:
In (b) (3), Public L instances, licensee failed to properly date the ATF Form 4473 at the time the firearm was transferred.
(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)
Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Licensee will ensure to properly date each ATF Form 4473 at the time the transfer is conducted.
(If not corrected immediately)

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ATF E-Form 5030 5
Revised April 2005

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Correct bound book errors.
(If not corrected immediately)

Licensee was advised of the importance of timely and accurate firearms entries into the acquisition and disposition bound book with all the required information.

Manufacturer/Importer, Model, Serial Number, Type (Pistol, Revolver, Rifle, Shotgun, Frame, Receiver or Pistol Grip Firearm) , Caliber/gauge, acquisition date, name/address or FFL name/FFL full license number from whom received from AND Disposition Date, Name/address or FFL Name/FFL full license number to whom firearm transferred to.

Licensee corrected the bound book of the noted errors.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
1325 J Street, Suite #1530, Sacramento, CA 95814

www.atf.gov

May 12, 2017

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Robert Wayne Steers
33489 Rd. 204
Woodlake, CA 93286
FFL # 9-77-107-01-7K-01734

Dear Mr. Steers,

During a recent compliance inspection at your firearms business covering the period of March 17, 2016 through March 17, 2017, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). It has been documented that you understand the requirements of the firearms laws and regulations and further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise,

could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigator (b)(6) (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

(b)(6)

Area Supervisor Mobile II (IO) Field Office

Enclosure

c: National Licensing Center
Sacramento II (IO) FO