

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Valley Gun Inc. 2728 Chester Ave Bakersfield, CA 93301, Kern	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 786040-2016-0251-B1B 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS 977029017M00056 3/24/2017 5. REQUESTED BY (SIGNATURE, TITLE AND DATE) 09/28/2016
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6. ATF OFFICER(S) ASSIGNED
 (b)(6) - Assigned Investigator
 (b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
 (b)(6), Area Supervisor, 09/28/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
 Firearms Compliance Inspection - Please conduct a full THEFT compliance inspection. NOTE: This is number eight for loss of inventory. Need to find out why they are losing firearms and they have had many violations in the past.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	4	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	24	NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by (b)(6) - Industry Operations Investigator

 Submitted on: 04/13/2017

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
JAN 2017	16.00		
FEB 2017	36.00		
ATF OFFICER'S SUBTOTAL	52.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
SEP 2016	3.00		
OCT 2016	1.00		
NOV 2016	1.00		
JAN 2017	22.00		
FEB 2017	45.50		
MAR 2017	56.75		
APR 2017	13.00		
ATF OFFICER'S SUBTOTAL	142.25	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	194.25		

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

WL, ROV and no recall inspection recommended. A theft related onsite compliance inspection was initiated on 1/30/2017 and completed on 6/17/2017 in Bakersfield, AL. The inspection disclosed 4 violations: 27 CFR 478.21(a)(REPEAT Violation 2013) - instances in Section A, 11a errors; 27 CFR 478.124(c)(1) (REPEAT Violation 2013) - instances failed to enter correct or complete information in Section A, lines 11j and 11L. 27 CFR 478.125(a) (REPEAT Violation 2013) - in instance failed to enter the disposition of a firearms, and 27 CFR 478.126a (REPEAT Violation 2006) - in instances, involving firearms, failed to complete/submit an ATF F 3310.11 for the sale of multiple handguns. No missing firearms or forms were disclosed and no referrals were created. A ROV was issued. A WL, with a copy of the ROV, was mailed to the licensee on 04/18/2017. Future compliance is expected. No further field action is required at this time.

E-mailed to: A/S (b)(6), FEL Actions-San Francisco

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 04/20/2017
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ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Valley Gun Inc.	Street Address 2728 Chester Ave	City Bakersfield	State CA	Zip Code 93301-	County Kern	Page 1 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 977029017M00056	Expiration Date 12/1/2017	Date(s) or Period of Inspection 01/30/2016 through 01/29/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Licensee failed to properly complete ATF Forms 4473. [REDACTED] ATF Forms 4473 out of 2104 reviewed were not completed correctly consisting of the following: Item 11a – [REDACTED] incorrect responses. REPEAT VIOLATION

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will ensure in the future that all ATF Forms 4473 are properly and accurately completed prior to transferring firearms, which includes ensuring the customer answers all questions truthfully and accurately, and ensuring the customer thoroughly completes items 11a through 11i. This violation is uncorrectable. However, involving the [REDACTED] instances, the licensee was educated in the accurate completion of ATF Form 4473, including item numbers 11a.

Citation: 27 CFR 478.21(a)

Number: 2

Nature of Violation:

During the review of 2104 ATF Forms 4473, [REDACTED] forms were incorrectly completed, which consisted of the following: item 2 [REDACTED] incorrect response; item 11j – [REDACTED] blank response; and item 11i – [REDACTED] blank response. REPEAT VIOLATION

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee will ensure in the future that all ATF Forms 4473 are properly and accurately completed. This includes obtaining completion of items 2, 11j, and 11i. This violation is uncorrectable. However, involving the error in items 2, 11j, and 11i, the licensee was educated in the accurate completion of ATF Form 4473.

Citation: 27 CFR 478.124(c)(1)

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License/Permit/Registry Number <i>(If any)</i> 977029017M00056		Expiration Date 12/1/2017	Date(s) or Period of Inspection 01/30/2016 through 01/29/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

A review of the Acquisition and Disposition Record disclosed [redacted] firearm that was disposed of, but disposition not recorded in the Acquisition and Disposition Record. REPEAT VIOLATION

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee will ensure in the future that all future firearms transactions are properly and timely recorded into the Acquisition and Disposition Record. The Acquisition and Disposition Record was corrected/updated to reflect the disposition, which was verified on February 10, 2017.

Number: 4

Nature of Violation:

The licensee failed to prepare and submit ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, for [redacted] transactions involving [redacted] handguns during the period January 2016 to January 2017. The license is required to submit this form when two or more handguns are transferred to the same individual at one time or within five consecutive business days. The licensee is required to submit this form not later than close of business on the day that the multiple sale or other disposition occurs. REPEAT VIOLATION

Citation: 27 CFR 478.126a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee prepared ATF Form 3310.4 for the [redacted] transactions and submitted the forms on February 9 & 10, 2017. The licensee will ensure proper and timely completion and submission of ATF Form 3310.4 for all future multiple handgun transactions.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

San Francisco FD/Sacramento II (IO)
1325 J. Street, Suite 1530, Sacramento, CA 95814

www.atf.gov

786040: (b) (6)
5300
4/18/2017

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Valley Gun Inc.
2728 Chester Ave.,
Bakersfield, CA 93301

FFL #9-77-029-01-7M-00056

Dear Mr. Quarnberg:

During a recent compliance inspection at your firearms business covering the period of February 1, 2016 through January 30, 2017, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

The violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

Valley Gun Inc. (9-77-00056)

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigator (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

(b)(6), Area Supervisor
San Francisco FD/Sacramento II (IO)

Enclosure

cc: Federal Firearms Licensing Center