

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Hearn, Robert J. Jr. Southern Shooters Supply 120 E. F. St. Tehachapi, CA 93561, Kern	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <div style="text-align: center;">786040-2016-0199-B1B</div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 977029018C34659</td> <td style="width: 33%;">4a. TARGET DATE</td> <td style="width: 33%;">4b. TARGET HOURS</td> </tr> </table> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)	3. PERMIT/LICENSE NUMBER 977029018C34659	4a. TARGET DATE	4b. TARGET HOURS
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6. ATF OFFICER(S) ASSIGNED
 (b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
 (b)(6), Area Supervisor, 06/21/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
 Firearms Complianace Inspection - Please conduct a full DE complianace inspection.

9. INSPECTION RESULTS			<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	4	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	12	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
 Submitted by (b)(6) - Industry Operations Investigator

 Submitted on: 10/31/2016

 Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
SEP 2016	16.00	
OCT 2016	56.00	
ATF OFFICER'S SUBTOTAL	72.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	72.00	

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
 Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 10/31/2016
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ROUTING SEQUENCE AND DATE

1. _____
 2. _____
 3. _____
 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Hearn, Robert J. Jr. Southern Shooters Supply	Street Address 120 E. F. St.	City Tehachapi	State CA	Zip Code 93561-	County Kern	Page 1 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 977029018C34659	Expiration Date 3/1/2018	Date(s) or Period of Inspection 09/01/2015 through 09/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed to accurately prepare the Firearm Transaction Record (ATF Form 4473) on forms. instances question 11a (actual buyer) was answered either "NO" or left blank.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* The licensee stated they would double check the ATF Form 4473 more thoroughly. No corrective action occurred since the firearm had been delivered to the non-licensee and a background check had been completed. IOI (b)(6) advised the licensee to correctly complete the ATF Form 4473 as required by the regulations and IOI (b)(6) explained to the licensee that they have the ATF Form 4473 for 10 days before delivering the firearm.

Citation: 27 CFR 478.21(a)

Number: 2

Nature of Violation:

The licensee failed to accurately prepare the Firearm Transaction Record (ATF Form 4473) on forms, as follows:

- instance question 11b "Are you an alien admitted to the United States under a nonimmigrant visa?" was left blank;
- instance question 11c "Have you ever been convicted in any court of a felony, or any other crime, for which the judge could have imprisoned you for more than one year, even if you received a shorter sentence including probation?" was left blank.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* The licensee stated they would double check the ATF Form 4473 more thoroughly. No corrective action occurred since the firearm had been delivered to the non-licensee and a background check had been completed. IOI (b)(6) advised the licensee to correctly complete the ATF Form 4473 as required by the regulations and IOI (b)(6) explained to the licensee that they have the ATF Form 4473 for 10 days before delivering the firearm.

Citation: 27 CFR 478.124(c)(1)

Report of Violations

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Name of Proprietor Hearn, Robert J. Jr. Southern Shooters Supply	Street Address 120 E. F. St.	City Tehachapi	State CA	Zip Code 93561-	County Kern	Page 2 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 977029018C34659	Expiration Date 3/1/2018	Date(s) or Period of Inspection 09/01/2015 through 09/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The licensee failed in [redacted] instances to record the dispositions of firearms.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee stated they are implementing a new system to double check firearms being shipped out of the business. Most of the missed dispositions were firearms shipped to other FFLs. Corrective action was completed upon discovery of the errors in A&D records. IOI (b)(6) advised the licensee to correctly complete the A & D records as required by the regulations and IOI (b)(6) explained to the licensee that they need to check their A&D record against their inventory.

Citation: 27 CFR 478.125(e)

Number: 4

Nature of Violation:

The licensee failed in [redacted] instances to complete ATF F 3310.4 Report of Multiple Sale or Other Disposition of Pistols and Revolvers, which resulted in [redacted] firearms not being recorded as a multiple sale.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee stated they will be more careful and watch for multiple sales. The licensee completed ATF F 3310.4 as required by the regulations pertaining to multiple sales of handguns. On October 18, 2016 The National Tracing Center received the ATF F 3310.4 and entered the information in e Trace.

Citation: 27 CFR 478.126a

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

1325 J Street Suite 1530
Sacramento, CA 95814

October 31, 2016

www.atf.gov

786040: (b) (6)
5340

WARNING LETTER

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Mr. Robert J. Hearn Jr
Southern Shooters Supply
120 East F Street
Tehachapi, CA 93561

REF: FFL # 9-77-029-01-8C-34659

Dear Mr. Hearn:

During a recent compliance inspection at your firearms business covering the period September 1, 2015, through September 1, 2016, you were cited for violations of Title 27, Code of Federal Regulations, Part 478 and Title 18, United State Code, Chapter 44. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection, is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You understood the requirements of the firearms laws and regulations, and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain, and the business operations you conduct, are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

-2-

Mr. Robert J. Hearn Jr.
FFL # 9-77-029-01-8C-34659

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact (b)(6), Area Supervisor, at (b)(6).

Sincerely,

A black rectangular redaction box containing the white text "(b)(6)" in a large, bold, sans-serif font.

Area Supervisor
Sacramento Area Office

cc: Federal Firearms Licensing Center
Director, Industry Operations, San Francisco Field Division
Sacramento II Area Office File