U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
Sands, Rodney Craig Mo Money Pawn 1152 East Indian School Road Phoenix,AZ 85014, Maricopa	785110-2017-0033-B1B		
	3. PERMIT/LICENSE NUMBER	4a. TARGET DATE	4b. TARGET HOURS
	986013024J00578	6/30/2017	60
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator
(b)(6) Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 10/03/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
SWB DE Recall. Conduct a full scope compliance inspection. 12 months records review of ATF Forms 4473 is required. Address all applicable inspection procedures/guidelines identified by the current FY IO operating plan.

9. INSPECTION RESULTS	☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC			10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	4	NO. OF REFERRALS	1	2111 - PER DIEM	
NO. OF TECS CHECKS	55	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 06/19/2017

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
APR 2017	2.00	
MAY 2017	63.50	
JUN 2017	14.50	
ATF OFFICER'S SUBTOTAL	80.00	ATF OFFICER'S SIGNATURE
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
MAY 2017	38.00	
ATF OFFICER'S SUBTOTAL	38.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	118.00	

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Licensee has show significant progress since their last inspection and as a result a Warning Conference is not merited. A recommendation of ROV Warning Letter and Recall was made by the IOI.

Viols WL ONLY and Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE
(b)(6) - Area Supervisor

(b)(6)

Digitally signed by (b)(6)
DN: cn=(b)(6) ivera, o=Phoenix FD - Group VI TO, ou=Bureau of Alcohol, Tobacco, Firearms & Explosives, email=(b)(6)
Date: 2017.06.20 13:55:55 -07'00'

REVIEW DATE
06/20/2017

ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	Page 1 of 2 Pages
Sands, Rodney Craig Mo Money Pawn	1152 East Indian School Road	Phoenix	AZ	85014-	Maricopa	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
986013024J00578	9/1/2017	05/02/2017 through 05/18/2017

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:
Failure to furnish or obtain all information as required by headings and instructions on [redacted] ATF Forms 4473.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*
Ensure that all required information is obtained on the ATF Form 4473, to include Transferee recertification signature and all questions in section 11 of the ATF Form 4473

Number: 2

Nature of Violation:
Failure to obtain and record all required transferee information on [redacted] ATF Forms 4473.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*
Ensure that all required information is obtained on the ATF Form 4473, to include Transferee signature and that all questions under section 11(2012 4473) and 15(2012 4473) are answered correctly and completly. It should be noted that the same questions on the 2016 ATF Form 4473 are reflected in questions 12.d.1 and 13.

Number: 3

Nature of Violation:
Failure to properly sign and/or record the correct date of transfer of a firearm on [redacted] ATF Forms 4473.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*
Licensee should ensure that all ATF Forms 4473, to include NICS cancelled transactions and denials are signed by the transferor and dated if the firearm is transferred.

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Name of Proprietor	Street Address	City	State	Zip Code	County	Page 2 of 2 Pages
Sands, Rodney Craig Mo Money Pawn	1152 East Indian School Road	Phoenix	AZ	85014-	Maricopa	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
986013024J00578	9/1/2017	05/02/2017 through 05/18/2017

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(5)

Number: 4

Nature of Violation:

Failure to record required acquisition and disposition information in the Acquisition and Disposition (A&D) Record on [redacted] instances. Specifically, failure to record [redacted] dispositions of firearms in the A&D Record. Failure to Reconcile the Disposition of [redacted] firearm and that firearm was reported missing on a ATF F 3310.11.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Licensee will ensure that all required acquisition and disposition information is recorded in the A&D record according to the regulations.
(If not corrected immediately)

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*	Date
Signature and Title of ATF Officer	Date

August 9 2019 Document Production

U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Phoenix Field Division

June 20, 2017

www.atf.gov

785110: (b)(6)
5300

Rodney Craig Sands.
D/b/a Mo Money Pawn
1152 E. Indian School Rd.
Phoenix, AZ 85014

Re: FFL# 9-86-013-02-00578

Mr. Sands:

During a recent inspection of your explosives permit covering the period from May 2, 2016 to May 2, 2017 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You or your responsible person indicated that you understood the requirements of the explosives laws and regulations and corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your explosives business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to explosives. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal explosives permit is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your permit. Please ensure that future compliance is achieved.

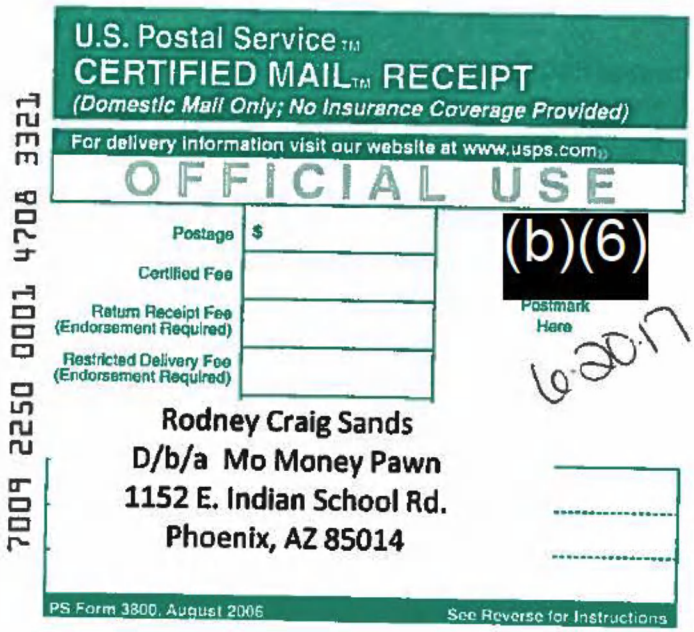
Mr. Rodney C. Sands
Mo Money Pawn

Should you have any questions regarding this matter, please contact (b)(6), Area Supervisor at (b)(6) 4.

(b)(6)

Area Supervisor Industry Operations

cc: Federal Explosives Licensing Center
Phoenix VI Field Office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(b)(6)
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Rodney Craig Sands
D/b/a Mo Money Pawn
1152 E. Indian School Rd.
Phoenix, AZ 85014
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See Reverse for Instructions
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