

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Davids Western Stores Inc Saba's Western Wear 67 W Boston St Chandler,AZ 85225, Maricopa		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 785110-2016-0049-B1B	
		3. PERMIT/LICENSE NUMBER 986013015J84483	4a. TARGET DATE 6/30/2016
		4b. TARGET HOURS 40	
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)	
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator			
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6) , Area Supervisor, 10/06/2015			
8. PURPOSE/SPECIAL INSTRUCTIONS A recall compliance inspection ending on August 12, 2014 resulted in violations cited and a report of violations was issued. A DIO (or delegate) held warning conference was conducted on September 23, 2014 and a follow up letter was sent on September 26, 2014. A recall has been staged.			
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC			
NO. OF VIOLATIONS	3	NO. OF REFERRALS	
NO. OF TECS CHECKS	7	NO. OF TECS HITS	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	
		\$ VALUE OF TAX DECREASES	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	
NO. OF CLAIMS		\$ VALUE OF CLAIMS	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	
		10. TRAVEL EXPENSES (OPTIONAL)	
		2111 - PER DIEM	
		2112 - P.O.A.	
		2113 - COMM. AIR	
		2114 - RENTAL CAR	
		2115 - GPV EXPENSES	
		2116 - MISC.	
		TOTAL \$ FOR INSP.	
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 03/17/2016 Viols WL ONLY and No Recall Inspection			
12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)			
JAN 2016		4.00	
FEB 2016		47.00	
MAR 2016		13.00	
ATF OFFICER'S SUBTOTAL		64.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS		64.00	
13. REVIEW AND ROUTING			
REVIEW COMMENTS AND RECOMMENDATION Warning letter issued 3/17/2016. Viols WL ONLY and No Recall Inspection			
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input checked="" type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION			
SIGNATURE AND TITLE (b)(6) - Area Supervisor		(b)(6) Digitally signed by (b)(6) DN: cn= (b)(6) , o=Bureau of ATF, ou=Phoenix Field Division, email= (b)(6) , c=US Date: 2016.03.17 11:05:17 -0700	REVIEW DATE 03/17/2016

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ROUTING SEQUENCE AND DATE

- ☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Davids Western Stores Inc Saba's Western Wear	Street Address 67 W Boston St	City Chandler	State AZ	Zip Code 85225-	County Maricopa	Page 1 of 2 Pages
License/Permit/Registry Number (If any) 98601301584483	Expiration Date 9/1/2018	Date(s) or Period of Inspection 02/09/2016 through 03/08/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to obtain and record all required transferee information on ATF Form 4473. Specifically, failure to obtain an answer for Section A block 11 (Have you ever been convicted in any court of a misdemeanor crime of domestic violence?). The transferee was not prohibited.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee will obtain and record all required transferee information on the ATF Form 4473 for all future transactions.

Number: 2

Nature of Violation:

Failure to record all required NICS transaction information on ATF Forms 4473. Specifically, failure to record the complete NICS transaction number and failed to record the initial response received from NICS.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee will ensure that all required NICS transaction information is recorded on the ATF Form 4473 for all future transactions.

Report of Violations

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Name of Proprietor Davids Western Stores Inc Saba's Western Wear	Street Address 67 W Boston St	City Chandler	State AZ	Zip Code 85225-	County Maricopa	Page 2 of 2 Pages
License/Permit/Registry Number (If any) 986013015184483		Expiration Date 9/1/2018	Date(s) or Period of Inspection 02/09/2016 through 03/08/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

Failure to record required acquisition and disposition information in an Acquisition and Disposition (A&D) Record on ^{(b)(3)} instances. Specifically, failure to record the acquisition of firearms in the A&D Record in a timely manner. Failure to accurately or correctly record ^{(b)(3)} dispositions in the A&D Record.

Citation: 27 CFR 478.125(c)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee will ensure that all required information is entered into the A&D Record completely, accurately, and in a timely manner for all future entries. All discrepancies were corrected during the inspection.

I Have Received a Copy of This Report of Violations

(Proprietor's signature and title)

David A. J. Owner

Date

3/8/2016

Signature and Title of ATF Officer

(b)(6)

IOI

Date

3/8/2016



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Phoenix Field Division

March 17, 2016

www.atf.gov

785110 (b) (6)
5300

David's Western Stores, Inc.
d/b/a Saba's Western Wear
Attn: David Saba
67 W. Boston St.
Chandler, AZ 85225

Re: FFL# 9-86-013-01-5J-84483

Mr. Saba:

During a recent inspection at your firearms business covering the period from September 23, 2014 to February 10, 2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Mr. David Saba
David's Western Stores, Inc.

Should you have any questions regarding this matter, please contact (b)(6), Area Supervisor at (b)(6).

(b)(6)

Area Supervisor Industry Operations

cc: Federal Firearms Licensing Center
Phoenix VI Field Office