U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
AZUKAS, VICTOR FRANCIS AND ORTIZ, RICHARD ALFONSO DESERT FIREARMS OF AZ/ DF OF AZ 1382 N ROYAL RD NOGALES,AZ 85621, SANTA CRUZ	785090-2017-0199-B1B		
	3. PERMIT/LICENSE NUMBER 986023070F04672	4a. TARGET DATE 9/1/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 04/06/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
MANDATORY RECALL INSPECTION

Conduct a full manufacturer compliance inspection. Verify that licensee is properly marking firearms. Conduct 100% inventory of firearms. Conduct a 100% review of ATF Forms 4473 completed during the inspection period. Ensure licensee completes annual AFMER.
Be alert for suspicious transactions and trafficking indicators.

9. INSPECTION RESULTS	☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC			10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	1	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	2	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 06/08/2017
The current inspection warrants a Violations, Warning Conference Warning Letter and Recall based upon inspection history and a repeat violation. The repeat violation for the Acquisition and Disposition Record was the type of firearm being recorded incorrectly. Due to the overall decrease in the number of violations cited and the immediate correction of Acquisition and Disposition errors, IOI (b)(6) alternately recommends Violations, Warning Letter Only and Recall Inspection.

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
APR 2017	1.50	
MAY 2017	18.50	
JUN 2017	1.00	
ATF OFFICER'S SUBTOTAL	21.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	21.00	

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	3. PERMIT/LICENSE NUMBER 986023070F04672	4a. TARGET DATE 9/1/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

I concur with the alternate recommendation of Violations, Warning Letter only and Recall Inspection. The current inspection warrants a Violations, Warning Conference Warning Letter and Recall based upon inspection history and a repeat violation. The repeat violation for the Acquisition and Disposition Record was the type of firearm being recorded incorrectly. Due to the overall decrease in the number of violations cited and the immediate correction of Acquisition and Disposition errors, IO (b)(6) alternately recommends Violations, Warning Letter Only and Recall Inspection.

~~Viols WL ONLY and Recall Inspection~~

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE
(b)(6) - Area Supervisor
(b)(6) Digitally signed by (b)(6)
Date: 2017.06.23 11:12:31 -07'00'

REVIEW DATE
06/08/2017

REVIEW COMMENTS AND RECOMMENDATION

Due to the licensee's overall improvement since the previous inspection, and the immediate correction of the one cited violation, I concur with the Investigator and Area Supervisor's alternate recommendation of Warning Letter and Recall.

Viols WL ONLY and Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☐ FINAL DISPOSITION

SIGNATURE AND TITLE
MSMITCHEM - Director of Industry Operations

REVIEW DATE
06/22/2017

ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	Page 1 of 1 Pages
AZUKAS, VICTOR FRANCIS AND ORTIZ, RICHARD ALFONSO DESERT FIREARMS OF AZ/ DF OF AZ	1382 N ROYAL RD	NOGALES	AZ	85621-	SANTA CRUZ	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
986023070F04672	6/1/2020	05/10/2017 through 05/16/2017

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to record acquisition information in an Acquisition & Disposition (A&D) Record in [redacted] instances. Specifically, failure to correctly record all required information on the manufacture or other acquisition of [redacted] firearms in the A&D Record.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*

The licensee was advised that each licensed manufacturer shall record the type, model, caliber or gauge, and serial number of each complete firearm manufactured or otherwise acquired, and the date such manufacture or other acquisition was made. The information shall be recorded not later than the seventh day following the date such manufacturer or other acquisition was made.

The licensee was further advised that all information pertaining to the firearm when recorded in the Acquisition portion of the A&D Record must be obtained from the firearm itself, not from the invoice and/or box in which the firearm was packaged.

Citation: 27 CFR 478.123(a)

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*	Date
Signature and Title of ATF Officer	Date

August 9 2019 Document Production

U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

www.atf.gov

June 23, 2017

785090-(b)(6)
5300

Azukas, Victor Francis & Ortiz, Richard Alfonso
dba Desert Firearms of AZ/DF of AZ
1382 N Royal Rd.
Nogales, AZ 85621

RE: FFL # 9-86-023-07-0F-04672

Dear Mr. Azukas & Mr. Ortiz,

During a recent inspection at your firearms business covering the period May 10, 2016, to May 16, 2017, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

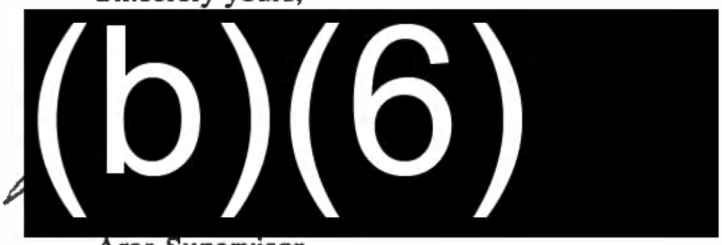
All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal Laws and Regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal Laws and Regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigator (b)(6) (b)(6) or me at (b)(6)

Sincerely yours,



Area Supervisor

Enclosure

Cc: Federal firearms Licensing Center
Tucson III Field Office

U.S. DEPARTMENT OF JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES

ATF / Industry Operations
2255 W Ina Road
3rd Floor
Tucson AZ 85741

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