

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) BAYNE, AARON LEE AB FIREARMS REFINISHING 17586 N THORNWOOD RD MARICOPA, AZ 85139, PINAL		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 785090-2016-0165-B1B		
		3. PERMIT/LICENSE NUMBER 986021016G06494	4a. TARGET DATE 9/25/2016	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator (b)(6) - Assigned Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 03/22/2016				
8. PURPOSE/SPECIAL INSTRUCTIONS NEVER INSPECTED INITIATIVE. VERIFY EIN.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	3	NO. OF REFERRALS		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF TECS CHECKS	9	NO. OF TECS HITS		2111 - PER DIEM
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2112 - P.O.A.
		\$ VALUE OF TAX DECREASES		2113 - COMM. AIR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2114 - RENTAL CAR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2115 - GPV EXPENSES
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 04/21/2016 Violations, Warning Conference with Warning Letter and Recall Inspection. Viols WC with WL and Recall				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
APR 2016		7.00		
ATF OFFICER'S SUBTOTAL		7.00		
		ATF OFFICER'S SIGNATURE		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
MAR 2016		2.50		
APR 2016		30.00		
ATF OFFICER'S SUBTOTAL		32.50		
		ATF OFFICER'S SIGNATURE		
TOTAL HOURS		39.50		

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

A/S (b)(6) recommends Warning Letter and Recall Inspection in lieu of a Warning Conference. While the licensee did fail to correctly record acquisition entries (21.7%) and failed to record (b)(3) disposition entries (5.0%), (b)(3) of the firearms that were neither recorded as acquisitions nor dispositions were disposed of to himself. The other (b)(3) acquisition entries in question had incorrect model and/or importer information.

This was the licensee's first compliance inspection.

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☐ CONCUR

☒ SEE COMMENTS

☐ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

(b)(6)

Digitally signed by (b)(6)
DN: cn=(b)(6), o=Bureau of
ATF, ou=Phoenix Field Division,
email=(b)(6), c=US
Date: 2016.04.21 14:26:45 -07'00'

REVIEW DATE

04/18/2016

REVIEW COMMENTS AND RECOMMEN

I concur with the Area Supervisor's alternate recommendation of Warning Letter and Recall inspection.

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☐ CONCUR

☒ SEE COMMENTS

☐ FINAL DISPOSITION

SIGNATURE AND TITLE

MSMITCHEM - Director of Industry Operations

REVIEW DATE

04/20/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor BAYNE, AARON LEE AB FIREARMS REFINISHING	Street Address 17586 N THORNWOOD RD	City MARICOPA	State AZ	Zip Code 85139-	County PINAL	Page 1 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 986021016G06494		Expiration Date 7/1/2016	Date(s) or Period of Inspection 07/01/2013 through 04/06/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: Failure to record required acquisition and disposition information in an Acquisition and Disposition (A&D) Record in (b)(3) instances. Specifically, failure to accurately or correctly record the acquisition of (b)(3), (b)(12) firearms in the A&D Record. Failure to record the acquisition and disposition of (b)(3) firearms.	Corrective Action to be Taken: <i>(If not corrected immediately)</i> The licensee was instructed to immediately correct the information for the (b)(3), (b)(12) incomplete acquisitions in the A&D Record. The licensee was further instructed to immediately record the acquisition and disposition of (b)(3) firearms. The licensee was also advised that all information pertaining to the firearm when recorded into the Acquisition portion of the A&D Record must be obtained from the firearm itself, not from the invoice and/or box in which the firearm was packaged. Investigator (b)(6) advised the licensee on the procedure for correcting errors in the A&D Record.
Citation: 27 CFR 478.125(e)	
Number: 2	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: Failure to furnish or obtain all information as required by the headings and instructions on (b)(3), (b)(12) ATF Forms 4473.	Corrective Action to be Taken: <i>(If not corrected immediately)</i> The licensee was advised that all information as required by the headings of the ATF Form 4473 must be completed in its entirety and reviewed for accuracy prior to the transfer of any firearm. Investigator (b)(6) advised the licensee on the procedure for correcting errors on ATF Forms 4473.
Citation: 27 CFR 478.21(a)	

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License/Permit/Registry Number <i>(If any)</i> 986021016G06494	Expiration Date 7/1/2016	Date(s) or Period of Inspection 07/01/2013 through 04/06/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

Failure to properly record all required firearm identification information on  ATF Forms 4473.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

: The licensee was advised that as required by the headings in Section D of the ATF Form 4473, must be accurately completed on all forms. Investigator (b)(6) advised the licensee on the procedure for correcting errors on ATF Forms 4473.

Citation: 27 CFR 478.124(c)(4)

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

August 9, 2019 Document Production

307



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

www.atf.gov

April 21, 2015

785090(b) (6)
5300

Aaron Lee Bayne
AB Firearms Refinishing
17586 North Thornwood Road
Maricopa, AZ 85139

RE: Federal Firearms License Number 9-86-02-01-6G-06494

Dear Mr. Aaron Lee Bayne,

During a recent inspection at your firearms business covering the period June 1, 2013 to April 6, 2016, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal Laws and Regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal Laws and Regulations. Any future violations, either repeat or

Bayne, Aaron Lee
AB Firearms Refinishing

otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigator (b)(6) (b)(6) or me at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center
Tucson III Field Office