Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)						
(INCLUDE ZIP CODE AND COUNTY)	785090-2016-0079-B1B						
EZ PAWN ARIZONA, INC	3. PERMIT/LICENSE NUMBER	4a. TARGET DATE	4b. TARGET HOURS				
USA PAWN & JEWELRY	986019027B07028	9/22/2016					
5000 E SPEEDWAY BLVD. TUCSON.AZ 85712. PIMA	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)						
1003011,AZ 03/12, FIIVIA							

6. ATF OFFICER(S) ASSIGNED

(b)(6) - Lead Investigator

(b)(6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)

(b)(6) , Area Supervisor, 11/04/2015

8. PURPOSE/SPECIAL INSTRUCTIONS

PAWNBROKER INITIATIVE, SEE ATTACHED.

9. INSPECTION RESULTS	CHE	10. TRAVEL EXPENSES (OPTIONAL)			
NO. OF VIOLATIONS	6	NO. OF REFERRALS	. 1	2111 - PER DIEM	
NO. OF TECS CHECKS	45	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
ADJUSTMENTS		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION

Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 09/12/2016

EZ Pawn Arizona, Inc. d/b/a USA Pawn & Jewelry was cited for nine violations. A Report of Violations was issued for one Administrative, one Conduct of Business/Operations, and seven Records & Reports Violations. Recommend Warning Letter Only and Recall Inspection.

Investigator (b)(6) recommends a Warning Letter Only and a Recall Inspection in lieu of a Warning Conference with Warning Letter and Recall Inspection. Alternate Recommendation is recommended due to this being the licensee's first compliance inspection and given that the errors disclosed the Acquisition & Disposition (A&D) Record, on the ATF Forms 4473, and Multiple Sales Reports were immediately addressed and corrected.

The following change and/or correction was submitted to (b) (6) Legal Instrument Examiner for the Federal Firearms Licensing Center (FFLC) to be made to the FLS Database:

Premises Address: Change Avenue to Boulevard.

Viols WL ONLY and Recall Inspection

		12. TIME ACCOUNTING DATA
ATF OFFICER'S NAME (MONTH, YE	EAR, HOURS)	b)(6)
MAY 2016	3.00	
JUN 2016	61.00	
JUL 2016	55.30	
AUG 2016	16.50	
SEP 2016	1.50	
ATF OFFICER'S SUBTOTAL	137.30	ATF OFFICER'S SIGNATURE
TOTAL HOURS	137.30	

Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)			2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 785090-2016-0079-B1B				
EZ PAWN ARIZONA, INC USA PAWN & JEWELRY 5000 E SPEEDWAY BLVD.		986019027	3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS 986019027B07028 9/22/2016				
TUCSON,AZ 85712, PIMA		5. REQUESTED E	SY (SIGNATURE, TITLE A	IND DATE)			
	13. R	EVIEW AND ROUTING					
REVIEW COMMENTS AND RECOMMEND	ATION						
Concur with IOI's alternate recommender Pawn Arizona, Inc stores resulted in to ATF and requested an Outreach inspections. On August 16, 2016, Pawn Arizona, Inc stores to provide Viols WL ONLY and Recall Inspect	n violations of the Feder Seminar by ATF to add ATF Industry Operation assistance and guidan	ral Gun Control Act. Due to reco lress the recent issues, errors a Investigators held an outreach	ent inspection results, EZ nd mistakes that were fou seminar for the managers	Pawn Arizona, Inc reached out and during recent ATF s / employees of the local EZ			
REVIEWED	CONCUR	SEE COMMENTS		ION			
SIGNATURE AND TITLE	ZCONCOR	Z SEE COMMENTS	REVIEW DATE	ION			
(b)(6) - Area Supervisor	(b)(6)	Digitally signed by DV(5) DN: c-US O-US GOVERNMENT OU DV(5) REISTINA BABCOCK		09/14/2016			
REVIEW COMMENTS AND RECOMMEND	ATION	Date: 2016 09 16 09:59:41 07'00'					
I concur with the IOI and Area Supe	ervisor's alternate recom	nmendation of Warning Letter ar	nd Recall inspection.				
Viols WL ONLY and Recall Inspect	ion						
REVIEWED	□ CONCUR	SEE COMMENTS	FINAL DISPOSIT	ION			
SIGNATURE AND TITLE MSMITCHEM - Director of Industry	Operations		REVIEW DATE	09/15/2016			
ROUTING SEQUENCE AND DATE			-				
□ 1							
2		CONTRO	L FILE POSTED DATE				
□ ₄							

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

	-	- 2	•	

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor EZPAWN ARIZONA, INC USA PAWN & JEWELRY	Street Address 5000 E SPEEDWAY AVE		City TUCSON	,		Zip Code 85712-	County PIMA	Page 1 of 3 Pages
License/Permit/Registry Number (If any) 986019027B07028		Expiration Date 2/1/2017		1		f Inspection h 06/01/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to furnish or obtain all information as required by the headings and instructions or ATF Forms 4473.

Citation: 27 CFR 478.21(a)

Number: 2

Nature of Violation:

Failure to initiate a new NICS check prior to the transfer of a firearm to an unlicensed individual when the initial NICS check has exceeded 30 calendar days in

Citation: 27 CFR 478.102(c)

Number: 3

Nature of Violation:

Failure to verify and record proper transferee's identification documents on

4473.

For Official Use Only

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

(If not corrected immediately)

Corrective Action to be Taken: On all future ATF Forms 4473 ensure all required

information is obtained and recorded accurately as required

by the regulations.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: On all future ATF Forms 4473 ensure that if the transaction

(If not corrected immediately)

is not completed within the 30-day period, a new NICS

check will be initiated prior to completion of the transfer as

required by the regulations.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: On all future ATF Forms 4473 ensure all required

(If not corrected immediately)

information is verified, obtained, and recorded accurately as

required by the regulations.

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Please write firmly with a ball point pen when con representative. The remaining copies will be subm		officers will prepare t					responsible	
Name of Proprietor EZPAWN ARIZONA, INC USA PAWN & JEWELRY	Street Address 5000 E SPEEDWAY AVE		City Sta TUCSON AZ		Zip Code 85712-	County PIMA	Page 2 of 3 Pages	
License/Permit/Registry Number (If any) 986019027B07028				Date(s) or Period of Inspection 06/01/2015 through 06/01/2016				
		<u>_</u>	ction Results					
An examination of your premises, records and oper	rations has disclosed the	e following violations	which have been e	explained to you:				
Number: 4 Nature of Violation: Failure to record all required NICS transaction information on ATF Forms 4473.			Date Corrections to be Made: (If not corrected immediately) Corrective Action to be Taken: (If not corrected immediately) On all future ATF Forms 4473 ensure all required No information is obtained and recorded accurately as reby the regulations.					
Citation: 27 CFR 478.124(c)(3)(iv)					, ,			
Number: 5				tions to be Made cted immediately)	: 07/14/2016			
Nature of Violation: Failure to record required acquisition and disposition information in an Acquisition & Disposition (A&D) Record in a instances. Specifically, failure to accurately or correctly			ction to be Taken cted immediately)	Record acquisi	that all required infortion and disposition eately as required by the	ntries is obtained and		

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acquisitions in the A&D Record. Failure to accurately or correctly record dispositions in the A&D Record.

Citation: 27 CFR 478.125(e)

recorded accurately as required by the regulations.

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

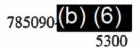
Please write firmly with a ball point pen when con representative. The remaining copies will be subm	npleting this form. ATF	officers will prepare the	ructions nis form in triplica pervisors will det	nte. The or	riginal cop	y will be given to the completed report	he proprietor or a re t for their files.	sponsible	
Name of Proprietor EZPAWN ARIZONA, INC USA PAWN & JEWELRY	Street Address 5000 E SPEEDWAY AVE		· ·		State AZ	Zip Code 85712-	County PIMA	1	Page 3 of 3 Pages
License/Permit/Registry Number (If any) 986019027B07028			Date(s) or Period of Inspection 06/01/2015 through 06/01/2016						
An examination of your premises, records and open	rations has disclosed th	_	vhich have been 6		to you:				
Number: 6 Nature of Violation: Failure to timely or correctly report the sale of two combination thereof, on the same day or within formal combination thereof. Citation: 27 CFR 478.126a			Af not corre	cted imme ction to l	ediately) oe Taken:	handguns are sold five business days Multiple Sales or	Forms 4473 in which to the same person and the licensee will error the Disposition of the Completed and the completed and the complete	at one time of sure that a F Pistols and	or within Report of Revolvers
I Have Received a Copy of This Report of Violations	(Proprietor's signati	ure and title)						Date	
Signature and Title of ATF Officer	raduction		348					Date	



Bureau of Alcohol, Tobacco, Firearms and Explosives Tucson Field Office 2255 W. Ina Road, Tucson, AZ 85741

www.atf.gov

September 16, 2016



EZ Pawn Arizona, Inc. USA Pawn & Jewelry 5000 E. Speedway Blvd. Tucson, AZ 85712

Re: FFL# 9-86-019-02-7B-07028

Dear EZ Pawn Arizona, Inc. dba USA Pawn & Jewelry,

During a recent inspection at your firearms business covering the period June 1, 2015, to June 1, 2016, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

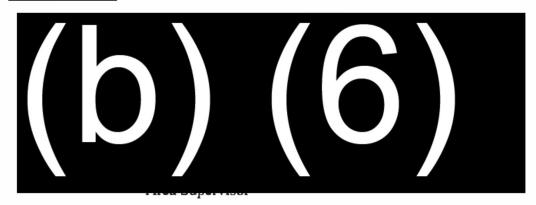
The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal Laws and Regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

EZ Pawn Arizona, Inc. USA Pawn & Jewelry

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You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal Laws and Regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigate (b) (6) or me at (b) (6)



Enclosure

cc: Federal Firearms Licensing Center Tucson III Field Office