

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) EZ PAWN ARIZONA, INC USA PAWN & JEWELRY 5000 E SPEEDWAY BLVD. TUCSON, AZ 85712, PIMA		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 785090-2016-0079-B1B		
		3. PERMIT/LICENSE NUMBER 986019027B07028	4a. TARGET DATE 9/22/2016	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator (b)(6) - Assigned Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 11/04/2015				
8. PURPOSE/SPECIAL INSTRUCTIONS PAWNBROKER INITIATIVE. SEE ATTACHED.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	6	NO. OF REFERRALS	1	10. TRAVEL EXPENSES (OPTIONAL)
NO. OF TECS CHECKS	45	NO. OF TECS HITS		2111 - PER DIEM
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2112 - P.O.A.
		\$ VALUE OF TAX DECREASES		2113 - COMM. AIR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2114 - RENTAL CAR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2115 - GPV EXPENSES
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 09/12/2016 EZ Pawn Arizona, Inc. d/b/a USA Pawn & Jewelry was cited for nine violations. A Report of Violations was issued for one Administrative, one Conduct of Business/Operations, and seven Records & Reports Violations. Recommend Warning Letter Only and Recall Inspection. Investigator (b)(6) recommends a Warning Letter Only and a Recall Inspection in lieu of a Warning Conference with Warning Letter and Recall Inspection. Alternate Recommendation is recommended due to this being the licensee's first compliance inspection and given that the errors disclosed the Acquisition & Disposition (A&D) Record, on the ATF Forms 4473, and Multiple Sales Reports were immediately addressed and corrected. The following change and/or correction was submitted to (b)(6), Legal Instrument Examiner for the Federal Firearms Licensing Center (FFLC) to be made to the FLS Database: Premises Address: Change Avenue to Boulevard. Violts WL ONLY and Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
MAY 2016	3.00			
JUN 2016	61.00			
JUL 2016	55.30			
AUG 2016	16.50			
SEP 2016	1.50			
ATF OFFICER'S SUBTOTAL	137.30	ATF OFFICER'S SIGNATURE		
TOTAL HOURS	137.30			

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Concur with IOI's alternate recommendation. EZ Pawn Arizona, Inc holds multiple FFLs within the State of Arizona. Recent inspections of the EZ Pawn Arizona, Inc stores resulted in violations of the Federal Gun Control Act. Due to recent inspection results, EZ Pawn Arizona, Inc reached out to ATF and requested an Outreach Seminar by ATF to address the recent issues, errors and mistakes that were found during recent ATF inspections. On August 16, 2016, ATF Industry Operation Investigators held an outreach seminar for the managers / employees of the local EZ Pawn Arizona, Inc stores to provide assistance and guidance as it pertains to compliance with Federal Firearms Regulations.

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

(b)(6)

Digitally signed by (b)(6)
DN: cn=(b)(6), o=U.S. Department of Justice,
ou=ATF, email=(b)(6), c=US
Date: 2016.09.16 09:59:41 -0700

REVIEW DATE

09/14/2016

REVIEW COMMENTS AND RECOMMENDATION

I concur with the IOI and Area Supervisor's alternate recommendation of Warning Letter and Recall inspection.

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☐ FINAL DISPOSITION

SIGNATURE AND TITLE

MSMITCHEM - Director of Industry Operations

REVIEW DATE

09/15/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor EZPAWN ARIZONA, INC USA PAWN & JEWELRY	Street Address 5000 E SPEEDWAY AVE	City TUCSON	State AZ	Zip Code 85712-	County PIMA	Page 1 of 3 Pages
License/Permit/Registry Number <i>(If any)</i> 986019027B07028	Expiration Date 2/1/2017	Date(s) or Period of Inspection 06/01/2015 through 06/01/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to furnish or obtain all information as required by the headings and instructions on ATF Forms 4473.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

: On all future ATF Forms 4473 ensure all required information is obtained and recorded accurately as required by the regulations.

Number: 2

Nature of Violation:

Failure to initiate a new NICS check prior to the transfer of a firearm to an unlicensed individual when the initial NICS check has exceeded 30 calendar days in [REDACTED] instance.

Citation: 27 CFR 478.102(c)

Date Corrections to be Made:

(If not corrected immediately)


Corrective Action to be Taken:

(If not corrected immediately)

: On all future ATF Forms 4473 ensure that if the transaction is not completed within the 30-day period, a new NICS check will be initiated prior to completion of the transfer as required by the regulations.

Number: 3

Nature of Violation:

Failure to verify and record proper transferee's identification documents on  ATF Forms 4473.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

: On all future ATF Forms 4473 ensure all required information is verified, obtained, and recorded accurately as required by the regulations.

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

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License/Permit/Registry Number <i>(If any)</i> 986019027B07028		Expiration Date 2/1/2017	Date(s) or Period of Inspection 06/01/2015 through 06/01/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

Failure to record all required NICS transaction information on XXXX ATF Forms 4473.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

On all future ATF Forms 4473 ensure all required NICS information is obtained and recorded accurately as required by the regulations.

Number: 5

Nature of Violation:

Failure to record required acquisition and disposition information in an Acquisition & Disposition (A&D) Record in XXXX instances. Specifically, failure to accurately or correctly record XXXX acquisitions in the A&D Record. Failure to accurately or correctly record XXXX dispositions in the A&D Record.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

07/14/2016
Always ensure that all required information for A&D Record acquisition and disposition entries is obtained and recorded accurately as required by the regulations.

August 9 2019 Document Production

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For Official Use Only

ATF E-Form 5030 5
Revised April 2005

Report of Violations

Instructions

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License/Permit/Registry Number <i>(If any)</i> 986019027B07028		Expiration Date 2/1/2017	Date(s) or Period of Inspection 06/01/2015 through 06/01/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:
Failure to timely or correctly report the sale of two or more pistols, or revolvers, or a combination thereof, on the same day or within five consecutive business days in 3 instances.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately) On all future ATF Forms 4473 in which two or more handguns are sold to the same person at one time or within five business days, the licensee will ensure that a Report of Multiple Sales or Other Disposition of Pistols and Revolvers (ATF Form 3310.4) is completed and submitted as required by the regulations.

Citation: 27 CFR 478.126a

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
Tucson Field Office
2255 W. Ina Road, Tucson, AZ 85741

www.atf.gov

September 16, 2016

785090 (b) (6)
5300

EZ Pawn Arizona, Inc.
USA Pawn & Jewelry
5000 E. Speedway Blvd.
Tucson, AZ 85712

Re: FFL# 9-86-019-02-7B-07028

Dear EZ Pawn Arizona, Inc. dba USA Pawn & Jewelry,

During a recent inspection at your firearms business covering the period June 1, 2015, to June 1, 2016, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal Laws and Regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

EZ Pawn Arizona, Inc.
USA Pawn & Jewelry

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You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal Laws and Regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigator (b) (6) (b) (6) or me at (b) (6)

(b) (6)

Enclosure

cc: Federal Firearms Licensing Center
Tucson III Field Office