

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Beejay Incorporated ELITE FIREARMS ANNEX 990-B North Tustin Ave. Orange, CA 92867, Orange	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 784060-2016-0115-B1B			
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 933059019A04715</td> <td style="width: 33%;">4a. TARGET DATE 3/31/2016</td> <td style="width: 33%;">4b. TARGET HOURS 35</td> </tr> </table>	3. PERMIT/LICENSE NUMBER 933059019A04715	4a. TARGET DATE 3/31/2016	4b. TARGET HOURS 35
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	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			

6. ATF OFFICER(S) ASSIGNED
 (b)(6) - Assigned Investigator
 (b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
 (b)(6), Area Supervisor, 01/15/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
 Conduct full scope firearm compliance inspection (Qualification inspection 12/2012). Please verify business activity as a dealer. Please ensure that your investigation is conducted in alignment with the requirements established by the Industry Operations Handbook (ATF H 5030.2C). Obtain and verify the following:

- Provide ATF Rulings that apply to dealer/gunsmith.
- Verify EIN or SSN for N-Spect.
- Identify and input all R/P's in N-Spect.
- Verify business entity and trade name.
- Verify offsite storage/ other business locations.
- Obtain GPS for N-Spect.
- Verify business is in compliance with State and local licensing requirements.
- Verify trace history, NFA records, DROS/NICS checks.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	41	NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by (b)(6) - Industry Operations Investigator

Subm 6/2016
 SIOI (b)(6) and IOI (b)(6) conducted a full scope firearms compliance inspection following an attempted burglary at Elite Firearms Annex.

A full inventory was conducted. The results of the inventory disclosed discrepancies with the A&D record. (b)(6) of the discrepancies were reconciled. (b)(6) firearms were deemed missing. The licensee filed the required Theft/Loss Report, ATF F 3310.11. The licensee was cited for the violations.

After review with the DIO, an ROV, Warning Letter, and Recall inspection are recommended.

Please update the FLS to reflect the corrected address: 990-B N Tustin AVE.
 Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
JAN 2016	4.00		
FEB 2016	8.00		
ATF OFFICER'S SUBTOTAL	12.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
JAN 2016	18.00		

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933059019A04715	3/31/2016	35					

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
FEB 2016	48.00	
MAR 2016	16.00	
ATF OFFICER'S SUBTOTAL	82.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	94.00	

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Beejay Incorporated d/b/a/ Elite Firearms Annex
 Type-01 SWB FFL Compliance Inspection, Rds. Key 9-33-04715

Lead IOI (b)(6)

Summary: On 12 January 2016 Investigators (b)(6) began an on-site Type-01 Firearms Compliance Inspection. At the conclusion of the inspection on 12 February 2016 a closing conference was conducted and a Report of Violations issued for six (6) violations of the GCA uncovered during the inspection.

After a review with the Acting DIO, an ROV, Warning Letter, and Recall inspection are recommended.

Please update the FLS to reflect the corrected address: 990-B N Tustin AVE.

Viols WL ONLY and Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
(b)(6) - Area Supervisor	04/19/2016

ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Beejay Incorporated ELITE FIREARMS ANNEX	Street Address 990-B North Tusin Ave.	City Orange	State CA	Zip Code 92867-	County Orange	Page 1 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 933059019A04715	Expiration Date 1/1/2019	Date(s) or Period of Inspection 01/12/2015 through 01/29/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Firearms receipt and disposition by dealers.
 The licensee failed to properly maintain the firearms Acquisition & Disposition record (A&D):

In **03** instances, the licensee failed to record the acquisition information timely.

In **03** instances, the licensee failed to record disposition information timely.

In **03** instances, disposition information could not be reconciled. The disposition remained "Open." The **03** firearms were declared missing:

(b)(3) 112 Public Law 55 125 STAT 552



Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

- The licensee was instructed to:
1. Enter into the A&D record the **03** firearms found in the inventory with no acquisition information.
 2. Complete an ATF Form 3310.11, Federal Firearms Licensee Inventory Theft/Loss report for the **03** missing firearms.
 - 3 Close the **03** open dispositions with the information found on corresponding ATF Forms 4473 and dealer's invoices.

The licensee was encouraged to conduct an inventory at least annually and to review the firearms record (A&D) on a regular basis.

Citation: 27 CFR 478.125(e)

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

Sales or deliveries of firearms on or after November 30, 1998 - Background checks
 In one instance, the licensee failed to conduct the required NICS prior to the completion of the transfer. A receipt was found indicating an S&W Model M&P10, Serial Number (b)(6) Rifle, Caliber .308 was sold to (b)(6) No California Dealer Record of Sale was found.

Citation: 27 CFR 478.102(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee was instructed to conduct a NICS - Background check - prior to the transfer of a firearm to a non-licensee.

(If not corrected immediately)

Number: 3

Nature of Violation:

Record retention
 In (b)(6) instances, the licensee failed to retain ATF Forms 4473.

Citation: 27 CFR 478.129(b)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee was instructed to retain each ATF Form for a period of not less than 20 years after the date of disposition.

(If not corrected immediately)

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

Reporting multiple sales or other disposition of pistols and revolvers

In instances involving firearms, the licensee failed to report the multiple dispositions of pistols and revolvers.

Citation: 27 CFR 478.126a

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was instructed to file the required ATF Forms 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers. The reports were filed during the inspection.

Number: 5

Nature of Violation:

Firearms transaction record
On ATF Forms 4473, the licensee failed to obtain the required information to properly identify the transferee. Missing or incomplete information included: middle name, complete address, place of birth, race, State of residence, and certification that the transferee was not prohibited by the Gun Control Act from transporting or shipping a firearm in interstate or foreign commerce or receiving a firearm.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was instructed to review the ATF Forms 4473 for completeness and accuracy prior to transferring a firearm.

Report of Violations

Instructions

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License/Permit/Registry Number <i>(If any)</i> 933059019A04715		Expiration Date 1/1/2019	Date(s) or Period of Inspection 01/12/2015 through 01/29/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:

Forms prescribed
 The licensee failed to properly complete ATF Forms 4473. All of the information called for in each form shall be furnished as indicated by the headings on the form and the instructions on or pertaining to the form.
 On ⁶ forms in Section A, Item 10a, "Ethnicity" was in error or blank.
 On ¹ forms in Section C, Item 25, the Recertification Date was incorrect.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee was instructed to review the transferee's information on the ATF Form 4473 for completeness and accuracy prior to the release of a firearm.
(If not corrected immediately)

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

Beejay Incorporated
ELITE FIREARMS ANNEX
990-B North Tusin Ave.
Orange, CA 92867-
RE: 933059019A04715

Dear Mr. Gonzales:

During a recent inspection at your firearms business covering the period January 12, 2015 to January 12, 2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator(s). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Beejay Incorporated

Should you have any questions regarding this matter, please contact (b)(6)
(b)(6), Area Supervisor at (b)(6).

Sincerely yours,

Enclosure

c: Federal Firearms Licensing Center
Santa Ana II (IO) Field Office