U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
Lance Leroy Clothey Clothy & Sons Gunsmithing 10769 Woodside Ave, Ste 101 Santee,CA 92071, San Diego	784050-2016-0182-B1B		
	3. PERMIT/LICENSE NUMBER	4a. TARGET DATE	4b. TARGET HOURS
	933073016L03582	6/28/2016	40
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 04/28/2016

8. PURPOSE/SPECIAL INSTRUCTIONS

Conduct full scope firearm compliance inspection (last compliance 10/2010). Please utilize trafficking detection strategies from the disposition emphasis inspection program and additional strategies unique to the southwest border. Ensure that your investigation is conducted in alignment with current policies, procedures, and requirements established in the IO Manual (June 2014). Obtain and verify the following:

•Print FLS and Identify and input all R/P's in N-Spect.
•Verify business entity and trade name.
•Verify offsite storage/ other business locations.
•Obtain GPS for N-Spect.
•Verify business is in compliance with State and local licensing requirements.
•Verify trace history, NFA records, DROS/NICS checks.

9. INSPECTION RESULTS	☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC			10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 08/02/2016

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
JUN 2016	11.50	
JUL 2016	21.50	
AUG 2016	4.50	
ATF OFFICER'S SUBTOTAL	37.50	ATF OFFICER'S SIGNATURE
TOTAL HOURS	37.50	

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
(b)(6) - Area Supervisor	08/04/2016

ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	Page 1 of 1 Pages
Lance Leroy Clothey Clothy & Sons Gunsmithing	10769 Woodside Ave, Ste 106	Santee	CA	92071-	San Diego	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
933073016L03582	11/1/2016	07/01/2015 through 06/28/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed to complete an Amended Application ATF F5300.38 not less than 30 days prior to removing their business or activity to a new location at which they intended to regularly carry on such business or activity as required.

Citation: 27 CFR 478.52(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* The licensee must notify the ATF Federal Firearms Licensing Center by completing an Amended Application ATF F5300.38 not less than 30 days prior to removing their business to a new location from now on.

Number: 2

Nature of Violation:

During the inventory there were [redacted] firearms on site and [redacted] open entries in the A & D Records book. There were [redacted] firearms found on site that were not entered in to the A & D Records and [redacted] firearm was logged out when it was found onsite. The licensee was cited for [redacted] instances of failing to record an acquisition of a firearm before the close of the following business day. There were [redacted] firearms that were recorded in the A & D records with incorrect serial numbers and [redacted] had an incorrect manufacturer and model. The balance of [redacted] firearms where left for the licensee to reconcile. After several days the licensee located shipping information to another licensee or paperwork they have the customers complete resolving all of the [redacted] open entries. This totals [redacted] instances.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* During the course of the reconciliation all of the errors were being corrected immediately with the dates of acquisition, correct serial numbers or dates of disposition. The licensee needs to timely enter all the required information from now on.

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*	Date
Signature and Title of ATF Officer	Date

DEPARTMENT OF JUSTICE

Bureau of Alcohol, Tobacco,
Firearms and Explosives
9449 Balboa Avenue, Suite 200
San Diego, California 92123

August 4, 2016

784050: KMF
5300

Lance Leroy Clothey
10769 Woodside Ave, Ste 101
Santee, CA 92071
RE: 9-33-03582

Dear Mr. Clothey:

During a recent inspection at your firearms business covering the period July 1, 2015 through June 28, 2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator(s). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

DEPARTMENT OF JUSTICE

Bureau of Alcohol, Tobacco,
Firearms and Explosives
9449 Balboa Avenue, Suite 200
San Diego, California 92123

Lance Leroy Clothey

Should you have any questions regarding this matter, please contact (b)(6), Area Supervisor at (b)(6).

(b)(6)
Area Supervisor

Enclosure
cc: Federal Firearms Licensing Center
San Diego III (IO) Field Office

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

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