

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) CASH AMERICA PAWN LP CASH AMERICA PAWN OF VICTORIA #01 5508 N NAVARRO VICTORIA, TX 77904, VICTORIA	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 782110-2017-0019-B1B			
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 574469028A07467</td> <td style="width: 33%;">4a. TARGET DATE</td> <td style="width: 33%;">4b. TARGET HOURS</td> </tr> </table>	3. PERMIT/LICENSE NUMBER 574469028A07467	4a. TARGET DATE	4b. TARGET HOURS
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5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				

6. ATF OFFICER(S) ASSIGNED  
**(b)(6)** - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
**(b)(6)**, Area Supervisor, 10/04/2016

8. PURPOSE/SPECIAL INSTRUCTIONS

9. INSPECTION RESULTS			<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	4	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	3	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION  
 Submitted by **(b)(6)** - Industry Operations Investigator  
 Submitted on: 11/16/2016

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	<b>(b)(6)</b>
OCT 2016	34.00
NOV 2016	15.00
ATF OFFICER'S SUBTOTAL	49.00
TOTAL HOURS	49.00
ATF OFFICER'S SIGNATURE	

**13. REVIEW AND ROUTING**

REVIEW COMMENTS AND RECOMMENDATION  
 Viols WL ONLY and Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CO <b>(b)(6)</b> TS	<input checked="" type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE <b>(b)(6)</b> - Area Supervisor		REVIEW DATE 11/22/2016

ROUTING SEQUENCE AND DATE

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

# Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor CASH AMERICA PAWN LP CASH AMERICA PAWN OF VICTORIA #01	Street Address 5508 N NAVARRO	City VICTORIA	State TX	Zip Code 77904-	County VICTORIA	Page 1 of 3 Pages
License/Permit/Registry Number <i>(If any)</i> 574469028A07467	Expiration Date 1/1/2018	Date(s) or Period of Inspection 10/21/2016 through 10/31/2016				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 1

**Nature of Violation:**

Failure to obtain and/or furnish all information required in each ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form. Violation occurred in **(b)(3)** forms.

**(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)**

**Citation:** 27 CFR 478.21(a)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee shall obtain and/or furnish all information required in each ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form. A system of double-checking the ATF Form 4473 before the transfer of a firearm to a non-licensee was discussed with the licensee.

**Number:** 2

**Nature of Violation:**

Failure to obtain a properly completed ATF Form 4473, Section A, prior to making an over-the-counter transfer of a firearm to a non-licensee, who is a resident of the State in which the licensee's premises are located. Violation occurred in **(b)(3)** Form.

**(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)**

**Citation:** 27 CFR 478.124(c)(1)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee shall obtain a properly completed ATF Form 4473, Section A, prior to making an over-the-counter transfer of a firearm to a non-licensee, who is a resident of the State in which the licensee's premises are located. A system of double-checking the ATF Form 4473 before the transfer of a firearm to a non-licensee was discussed with the licensee.

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 3

**Nature of Violation:**

Failure to prepare and submit a report of multiple sales or other disposition (ATF Form 3310.4) whenever the licensee sells or otherwise disposes of two or more pistols or revolvers, or any combination of pistols or revolvers totaling two or more to an unlicensed person at one time or during any five consecutive business days. Violation occurred in  instance.

**(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)**

**Citation:** 27 CFR 478.126a

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee shall prepare and submit a report of multiple sales or other disposition (ATF Form 3310.4) whenever the licensee sells or otherwise disposes of two or more pistols or revolvers, or any combination of pistols or revolvers totaling two or more, to an unlicensed person at one time or during any five consecutive business days. The licensee was advised on multiple sale transaction regulations, and on implementing a tracking mechanism to report multiple sale transactions timely.

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 4

**Nature of Violation:**

Failure to retain the ATF Form 4473 for the prescribed period of time, where the licensee initiated a NICS check for a proposed firearms transaction, but the sale, delivery, or transfer of the firearm was not made. Violation occurred in \_\_\_\_\_ instance.

**(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)**

**Citation:** 27 CFR 478.129(b)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee shall retain the ATF Form 4473, for the prescribed period of time, where the licensee initiated a NICS check for a proposed firearms transaction, but the sale, delivery, or transfer of the firearm was not made. The licensee was instructed to file all no sale, no transfer and denied ATF Forms 4473 for the prescribed five years in a locked file cabinet.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

*McAllen II Field Office*

NOV 22 2016

McAllen, TX 78504

www.atf.gov

CERTIFIED MAIL- RETURN RECEIPT REQUESTED

782110: (b)(6)  
1000

Cash America Pawn LP  
d/b/a Cash America Pawn of Victoria #01  
5508 N Navarro  
Victoria, TX 77904

REF: 5-74-469-02-8A-07467

Dear Mr. Aleman:

During a recent compliance inspection at your firearms business covering the period of October 21, 2015 to October 20, 2016 you were cited for violations of 27 Code of Federal Regulations, Part 48. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) IO Investigator(s). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Cash America Pawn LP

Should you have any questions regarding this matter, please contact (b)(6) Area Supervisor at (b)(6)

Sincerely yours,

(b)(6)

Area Supervisor, McAllen II

Enclosure

c: National Firearms Licensing Center  
McAllen II Area Office