

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)  Gaida, William L. Rockloc 2910 N Main Street Victoria ,TX 77901, Victoria	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 782110-2016-0060-B1B		
	3. PERMIT/LICENSE NUMBER 574469077B04577	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED  
(b)(6) - Lead Investigator  
(b)(6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
(b)(6), Area Supervisor, 10/01/2015

8. PURPOSE/SPECIAL INSTRUCTIONS  
NEED TO SUBMIT MANUFACTURING DOCUMENTS

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	1	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	1	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION  
Submitted by (b)(6) - Industry Operations Investigator  
Submitted on: 11/20/2015  
  
Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
OCT 2015	12.00		
NOV 2015	30.00		
ATF OFFICER'S SUBTOTAL	42.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
OCT 2015	19.50		
NOV 2015	8.00		
ATF OFFICER'S SUBTOTAL	27.50	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	69.50		

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### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☒ FINAL DISPOSITION

#### SIGNATURE AND TITLE

(b)(6) - Area Supervisor

(b)(6)

Digitally signed by (b)(6)  
DN: cn=(b)(6), o=(b)(6), ou=(b)(6), email=(b)(6)

#### REVIEW DATE

11/30/2015

#### ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_



**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

*McAllen II Field Office*

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**NOV 30 2015**

*McAllen, TX 78504*

[www.atf.gov](http://www.atf.gov)

\*\*\*CERTIFIED\*\*\*

782110: EDS  
1000

William L Gaida  
Rockloc  
2910 N Main St  
Victoria, TX 77901

Dear Mr. Gaida:

During a recent compliance inspection at your firearms business covering the period of October 01, 2014 to October 14, 2015 you were cited for violations of 27 Code of Federal Regulations, Part 48. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) IO Investigator(s). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

William L Gaida

Should you have any questions regarding this matter, please contact (b)(6), Area Supervisor at (b)(6).

Sincerely yours

(b)(6)

Area Supervisor, McAllen II

Enclosure

c: National Firearms Licensing Center  
McAllen II Area Office

## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page 1 of 1 Pages
Gaida, William L. d/b/a Rockloc	2910 N Main Street	Victoria	Texas	77901	Victoria	
License/Permit/Registry Number (if any)	Expiration Date	Date(s) or Period of Inspection				
5-74-469-07-7B-04577	February 2, 2017	October 14, 2015 - November 2, 2015				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1.	27 CFR 478.125(e)	<p>Failure to enter, or properly enter, into a record the acquisition of individual firearms, in two instances:</p> <p>(b)(3), Public Law 112-55, 125 STAT 552, (b)(6)</p> <p>(b)(3), Public Law 112-55, 125 STAT 552, (b)(6)</p>	<p>The licensee entered the required acquisition information in the acquisition and disposition (A&amp;D) record for each firearm. In the future, the licensee will ensure to record the acquisition of all firearms within the required period of time as required by the regulations.</p>	Immediately

Proprietor's signature and title	Date
(b)(6) OWNER	11-04-2015
Signature and Title of ATF Officer	Date
(b)(6) Industry Operations Investigator	11/4/2015

Copy 2 - Inspection Report Copy

ATF E-Form 5030.5  
Revised April 2005