

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) JSU Enterprises, LLC Hilltop Gun Shop 1380 FM 580 East Lampasas, TX 76550, LAMPASAS- 281		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 782065-2016-0084-B1B		
		3. PERMIT/LICENSE NUMBER 574281016G06294	4a. TARGET DATE 9/23/2016	4b. TARGET HOURS
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 10/02/2015				
8. PURPOSE/SPECIAL INSTRUCTIONS Please conduct a compliance inspection of a Type 01 Dealer of Firearms. (b) (3) - Public Law 112-55 (125 Stat. 552) (b) (3) - Public Law 112-55 (125 Stat. 552)				
9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF VIOLATIONS	5	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	40	NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Submitted on: 04/25/2016 The Federal Firearms Licensing Center should note the following: The license registration report received from the FLS prior to inspection does not list an Employer Identification Number (EIN) for the licensee. The report should be updated to reflect the EIN of (b) (6). Additionally, the license registration report lists the title of Scotty Underwood as "Owner". This should be updated to reflect the title of "Member" as listed on the Certificate of Formation of the LLC. All other information listed on the license registration report is complete and accurate. Viols WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
FEB 2016		2.00		
MAR 2016		2.00		
APR 2016		52.00		
ATF OFFICER'S SUBTOTAL		56.00		
		ATF OFFICER'S SIGNATURE		
TOTAL HOURS		56.00		

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) JSU Enterprises, LLC Hilltop Gun Shop 1380 FM 580 East Lampasas, TX 76550, LAMPASAS- 281	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 782065-2016-0084-B1B		
	3. PERMIT/LICENSE NUMBER 574281016G06294	4a. TARGET DATE 9/23/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

The Federal Firearms Licensing Center should note the following:

The license registration report received from the FLS prior to inspection does not list an Employer Identification Number (EIN) for the licensee. The report should be updated to reflect the EIN of (b) (6).

Additionally, the license registration report lists the title of Scotty Underwood as "Owner". This should be updated to reflect the title of "Member" as listed on the Certificate of Formation of the LLC.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

(b)(6)

Digitaly signed by (b)(6)
DN cn=(b)(6),
ou=ATF,
c=US
Date: 2016.08.25.16:34:07 -0500

REVIEW DATE

04/22/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor JSU Enterprises, LLC Hilltop Gun Shop	Street Address 1380 FM 580 East	City Lampasas	State TX	Zip Code 76550-	County LAMPASAS- 281	Page 1 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 574281016G06294	Expiration Date 7/1/2016	Date(s) or Period of Inspection 04/05/2016 through 04/13/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee was cited for failure to contact NICS prior to the sell, delivery or transfer of a firearm to a non-licensee who does not meet one of the exceptions to a NICS check, and before the completion of the transfer. Two occurrences.

Specifically, a Houston Police Department (Retired) Permit was accepted as a valid permit exempting a NICS background check.

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.102(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

On all future firearms transactions the licensee will ensure that NICS is contacted prior to the transfer of a firearm to a non-licensee who does not meet one of the exceptions to a NICS check, and that all relevant information provided by NICS regarding the transaction is recorded on an ATF Form 4473.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor JSU Enterprises, LLC Hilltop Gun Shop	Street Address 1380 FM 580 East	City Lampasas	State TX	Zip Code 76550-	County LAMPASAS- 281	Page 2 of 6 Pages
License/Permit/Registry Number (If any) 574281016G06294	Expiration Date 7/1/2016	Date(s) or Period of Inspection 04/05/2016 through 04/13/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

The licensee was cited for failure to obtain a complete or accurately executed ATF Form 4473 from the non-licensee completing the form, prior to making an over-the-counter transfer of a firearm. (b)(3) Public Law occurrences.

Specifically, on five forms Questions 11i, 11j or 11k were blank.

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Specifically, on (b)(3) forms questions in Section A were answered incorrectly or were incomplete.

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

On all future firearms transactions, the licensee will ensure that when executing an ATF form 4473, the non-licensee completing the form provides complete and accurate information.

Report of Violations

Instructions

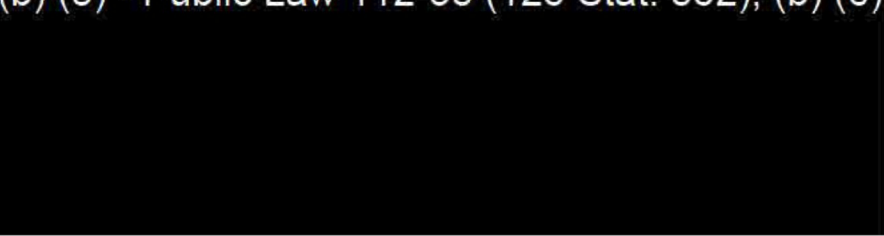
Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor JSU Enterprises, LLC Hilltop Gun Shop	Street Address 1380 FM 580 East	City Lampasas	State TX	Zip Code 76550-	County LAMPASAS- 281	Page 3 of 6 Pages
License/Permit/Registry Number (If any) 574281016G06294	Expiration Date 7/1/2016	Date(s) or Period of Inspection 04/05/2016 through 04/13/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)



Citation: 27 CFR 478.124(c)(1)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor JSU Enterprises, LLC Hilltop Gun Shop	Street Address 1380 FM 580 East	City Lampasas	State TX	Zip Code 76550-	County LAMPASAS- 281	Page 4 of 6 Pages
License/Permit/Registry Number (If any) 574281016G06294	Expiration Date 7/1/2016	Date(s) or Period of Inspection 04/05/2016 through 04/13/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The licensee was cited for failure to obtain or record a valid form of identification; or to obtain or record current, accurate or complete information from the identification document provided; or to obtain or record accurate or complete alternate or supplemental identification documentation; prior to the transfer of a firearm to a non-licensee. (b)(3) Public occurrences.

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)



Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

On all future firearms transactions the licensee will ensure that a valid form of identification is obtained and recorded; that current, accurate and complete information is obtained and recorded from the identification document provided; and that accurate and complete alternate or supplemental identification documentation is obtained or recorded when necessary; prior to the transfer of a firearm to a non-licensee.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor JSU Enterprises, LLC Hilltop Gun Shop	Street Address 1380 FM 580 East	City Lampasas	State TX	Zip Code 76550-	County LAMPASAS- 281	Page 5 of 6 Pages
License/Permit/Registry Number (If any) 574281016G06294	Expiration Date 7/1/2016	Date(s) or Period of Inspection 04/05/2016 through 04/13/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

The licensee was cited for failure to accurately or timely enter each receipt and disposition of a firearm into an acquisition and disposition (A&D) record. (b)(3) PUS occurrence.

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee shall correct or amend any inaccurate or missing information currently present in the A&D record. In addition, on all future firearms transactions, the licensee will ensure that all acquisition and disposition information required by the regulations, are entered accurately and timely into the A&D record.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor JSU Enterprises, LLC Hilltop Gun Shop	Street Address 1380 FM 580 East	City Lampasas	State TX	Zip Code 76550-	County LAMPASAS- 281	Page 6 of 6 Pages
License/Permit/Registry Number (If any) 574281016G06294	Expiration Date 7/1/2016	Date(s) or Period of Inspection 04/05/2016 through 04/13/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

The licensee was cited for failure to retain each ATF Form 4473 for a period of not less than 20 years after the date of sale or disposition. (b)(3) Pub occurrence.

(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)

Citation: 27 CFR 478.129(b)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

On all future firearms transactions the licensee shall retain each ATF Form 4473 for a period of not less than 20 years after the date of sale or disposition.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)	Date
(b)(6) member 04/13/2016	04/13/2016
Signature and Title of ATF Officer (b)(6) TOT	Date
	04-13-16



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
8610 Broadway, Ste. 410
San Antonio, TX 78217

April 25, 2016

www.atf.gov

782065(b)(6)
5373

WARNING LETTER

CERTIFIED MAIL- RETURN RECEIPT REQUESTED

JSU Enterprises LLC
Attn: James Scott Underwood
1380 FM 580 East
Lampasas, TX 76550
RE: 5-74-281-01-6G-06294

Dear Mr. Underwood:

During a recent inspection at your firearms business covering the period April 1, 2015, to April 5, 2016, you were cited for violations of Title 27, Code of Federal Regulations, Parts 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator(s). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

JSU Enterprises LLC
Attn: James Scott Underwood
RE: 5-74-281-01-6G-06294

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6) at (b)(6).

Sincerely,

(b)(6)

Area Supervisor
San Antonio II Field Office

Enclosure

cc: Federal Firearms Licensing Center
San Antonio II Field Office
Houston FD

CERTIFIED MAIL™

DEPARTMENT OF JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS
AND EXPLOSIVES

8610 Broadway, Suite 410
San Antonio, Texas 78217
OFFICIAL BUSINESS
PENALTY FOR PRIVATE USE \$300



7012 2920 0001 9842 6043



JSU Enterprises LLC
Attn: James Underwood
1380 FM 580 East
Lampasas, TX 76550

E 1363.3

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

JSU Enterprises LLC
Attn: James Underwood
1380 FM 580 East
Lampasas, TX 76550

2. Article Number
(Transfer from service label)

7012 2920 0001 9842 6043

PS Form 3811, February 2004

Domestic Return Receipt

COMPLETE THIS SECTION ON DELIVERY

A. Signature

X

- ☐ Agent
☐ Addressee

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type

- ☐ Certified Mail ☐ Express Mail
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ C.O.D.

4. Restricted Delivery? (Extra Fee) ☐ Yes

102595-02-M-1540