U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
ACADEMY LTD ACADEMY SPORTS & OUTDOORS #18 10375 N FREEWAY HOUSTON,TX 77037, HARRIS - 201	782050-2016-0155-B1B		
	3. PERMIT/LICENSE NUMBER 576201018C00021	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator
(b)(6) - Assigned Investigator
(b)(6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 02/05/2016

8. PURPOSE/SPECIAL INSTRUCTIONS

9. INSPECTION RESULTS		☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	9	NO. OF REFERRALS	4	2111 - PER DIEM	
NO. OF TECS CHECKS	22	NO. OF TECS HITS	4	2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 12/30/2016
A warning conference is warranted due to the failure to conduct a NICS check or obtain alternative permit, and the person is not prohibited, (b)(3) - Public instance. The licensee is a high volume retailer of firearms. A total of 2,460 ATF Forms 4473 were reviewed for the past 12 months. If not for the above error, this inspection would have resulted in Violations, Warning Letter Only and No Recall based on failure to retain 14 ATF Forms 4473.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
MAR 2016	2.00	
SEP 2016	2.00	
OCT 2016	63.00	
NOV 2016	56.00	
DEC 2016	20.00	
ATF OFFICER'S SUBTOTAL	143.00	ATF OFFICER'S SIGNATURE
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
OCT 2016	40.00	
ATF OFFICER'S SUBTOTAL	40.00	ATF OFFICER'S SIGNATURE
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
OCT 2016	30.00	
ATF OFFICER'S SUBTOTAL	30.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	213.00	

U.S. Department of Justice
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Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
ACADEMY LTD ACADEMY SPORTS & OUTDOORS #18 10375 N FREEWAY HOUSTON,TX 77037, HARRIS - 201	782050-2016-0155-B1B		
	3. PERMIT/LICENSE NUMBER 576201018C00021	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

A/S concurs with IOI's alternate recommendation of Viols WL Only and No Recall inspection. The inspection disclosed violations, to include one violation warranting a Warning Conference (Failure to conduct a NICS check and purchaser was not prohibited) and one warranting a Warning Letter. However, an alternate recommendation is being proposed due to the low percentage of error in comparison to volume of transactions.

FFLC should note the following updates needed to FLS database records concerning Jonathan Johnson, Responsible Person:

~~Please add "Deshawn" for Mr. Johnson's middle name.~~
- Please Change Mr. Johnson's title to "Store Director".
- Please update Mr. Johnson's address to "(b)(6)".
- Please add the following email address: (b) (6) @academy.com

Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☐ FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
(b)(6) - Area Supervisor	12/14/2016

REVIEW COMMENTS AND RECOMMENDATION

During this inspection, there were nine violations cited. One violation warrants a recommendation of warning conference. The error was discovered by the licensee's internal audit team.

There were no untimely acquisitions or dispositions, no missing firearms, no transfers to prohibited persons, no unreported multiple sales and no unsuccessful firearm traces. An alternate recommendation of warning letter and no recall will be rendered.

~~Viols WL ONLY and No Recall Inspection~~

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
TLCARTER - Director, Industry Operations	01/17/2017

ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	
ACADEMY LTD ACADEMY SPORTS & OUTDOORS #18	10375 N FREEWAY	HOUSTON	TX	77037-	HARRIS - 201	Page 1 of 9 Pages

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
576201018C00021	3/1/2018	10/11/2015 through 10/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

27 CFR 478.21(a)- Failure to provide information as called for on the headings and instructions of the ATF Forms 4473 on [redacted] forms. Specifically:

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Question 24 and 25 blank. No buyer signature or recertification date:

Question 33 and 35 blank. No seller name and title on 45 forms. See ROV attachment.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee shall ensure that forms in the future are properly completed as prescribed by the instructions on the form and the regulation.
(If not corrected immediately)

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

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ACADEMY LTD ACADEMY SPORTS & OUTDOORS #18	10375 N FREEWAY	HOUSTON	TX	77037-	HARRIS - 201	Page 2 of 9 Pages

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
576201018C00021	3/1/2018	10/11/2015 through 10/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

27 CFR 478.124(c)(1)- Failure to obtain any/the correct response from a transferee prior to the transfer of a firearm on [redacted] orms. Specifically:

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Question 11 k blank. The customer did not answer the question which asks, "Are you an alien illegally in the United States"?

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee shall ensure that forms in the future are properly completed as prescribed by the instructions on the form and the regulation.
(If not corrected immediately)

Report of Violations

Instructions

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Name of Proprietor	Street Address	City	State	Zip Code	County	Page 3 of 9 Pages
ACADEMY LTD ACADEMY SPORTS & OUTDOORS #18	10375 N FREEWAY	HOUSTON	TX	77037-	HARRIS - 201	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
576201018C00021	3/1/2018	10/11/2015 through 10/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citizen".

Question 17, Certification Date incorrect or blank.

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Cit

Number: 3

Nature of Violation:

27 CFR 478.124(c)(3)(i)- Failure to record, or correctly record, the information from the identification document on the ATF Form 4473 on [redacted] orms. Specifically:

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Ci

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee shall ensure that forms in the future are properly completed as prescribed by the instructions on the form and the regulation.
(If not corrected immediately)

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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Name of Proprietor	Street Address	City	State	Zip Code	County	Page 4 of 9 Pages
ACADEMY LTD ACADEMY SPORTS & OUTDOORS #18	10375 N FREEWAY	HOUSTON	TX	77037-	HARRIS - 201	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
576201018C00021	3/1/2018	10/11/2015 through 10/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

27 CFR 478.124(c)(3)(iii)- Failure to record (b)(3) - Public ATF Form 4473 of a nonimmigrant alien, the type of documentation provided showing eption to the nonimmigrant visa prohibition. Specifically:

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Cit 27 CFR 478.124(c)(3)(iii)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee shall ensure the type of documentation provided showing an exception to the nonimmigrant visa prohibition is recorded on the ATF Forms 4473 in the future.
(If not corrected immediately)

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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Name of Proprietor	Street Address	City	State	Zip Code	County	Page 5 of 9 Pages
ACADEMY LTD ACADEMY SPORTS & OUTDOORS #18	10375 N FREEWAY	HOUSTON	TX	77037-	HARRIS - 201	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
576201018C00021	3/1/2018	10/11/2015 through 10/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

27 CFR 478.124(c)(3)(iv)- Failure to comply with the requirements of NICS and record on the form the date (Question 21a), the NICS Transaction Number (Question 21b), the initial response (Question 21c), and/or the follow up response (Question 21d) provided by NICS [redacted] forms. Specifically:

[redacted]

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: The licensee shall ensure that forms in the future are properly completed as prescribed by the instructions on the form and the regulation.
(If not corrected immediately)

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

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Name of Proprietor	Street Address	City	State	Zip Code	County	Page 6 of 9 Pages
ACADEMY LTD ACADEMY SPORTS & OUTDOORS #18	10375 N FREEWAY	HOUSTON	TX	77037-	HARRIS - 201	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
576201018C00021	3/1/2018	10/11/2015 through 10/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Cit 27 CFR 478.124(c)(3)(iv)

Number: 6

Nature of Violation:

27 CFR 478.124(c)(4)- Failure to record on the ATF Form 4473 the Importer (Question 26) of the firearm transferred on [redacted] forms. Specifically:

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Cit

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* The licensee shall make a copy of the ATF Form 4473, make corrections to the copy and attach it to the original form. Additionally, the licensee shall ensure that forms in the future are properly completed as prescribed by the instructions on the form and the regulation.

Number: 7

Nature of Violation:

27 CFR 478.124(c)(5)- Failure to sign or date the ATF Form 4473 with the date of disposition on [redacted] forms. Specifically:

See ROV Attachment

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* The licensee shall ensure that forms in the future are properly completed as prescribed by the instructions on the form and the regulation.

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

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License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
576201018C00021	3/1/2018	10/11/2015 through 10/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(5)

Number: 8

Nature of Violation:

27 CFR 478.102(a)- Failure to conduct a NICS background check prior to transferring a firearm to a non-licensee on prior Form. Specifically:

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Cit

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*

On all future firearms transactions, the licensee will ensure NICS is contacted prior to the transfer of a firearm to a non-licensee, and all relevant information provided by NICS regarding the transaction is recorded on an ATF Form 4473.

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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ACADEMY LTD ACADEMY SPORTS & OUTDOORS #18	10375 N FREEWAY	HOUSTON	TX	77037-	HARRIS - 201	Page 8 of 9 Pages

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
576201018C00021	3/1/2018	10/11/2015 through 10/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 9

Nature of Violation:

27 CFR 478.129(b)- Failure to retain ATF Forms 4473 for a period of not less than 20 years after the date of sale or disposition in [redacted] instances. Specifically:

The licensee was unable to locate the following forms.

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Failure to retain [redacted] ATF Forms 4473 for a period of not less than five years after the date of denial. Specific

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: In the future, the licensee shall retain forms for the length of time as prescribed by the regulation.
(If not corrected immediately)

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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Name of Proprietor	Street Address	City	State	Zip Code	County	Page 10 of 10 Pages
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License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
576201018C00021	3/1/2018	10/11/2015 through 10/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) 112 Public Law 55, 125 STAT 552, (b)(6)

Citation: 27 CFR 478.129(b)

(b)(6) Store Director 12/2/16

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)* Date

Sign (b)(6) -IOI Date 12-2-16

For Official Use Only

ATF E-Form 5030.5
Revised April 2005

U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

5825 N. Sam Houston Pkwy, W., Suite 300
Houston, Texas 77086

January 18, 2017

www.atf.gov

782050
5373 (b) (6)

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

Mr. Johnathan Johnson
Academy LTD
Academy Sports & Outdoors #18
1800 N. Mason Rd
Katy, Texas 77449

REF: FFL# 5-76-201-01-8C-00004

Dear Mr. Johnson:

During a recent compliance inspection at your firearms business covering the period October 11, 2015 to October 11, 2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection, is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations, and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain, and the business operations you conduct, are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

Mr. Johnathan Johnson
Academy LTD
Academy Sports & Outdoors #18

REF: FFL# 5-76-201-01-8C-00004

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Investigator (b)(6) (b)(6) or me at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor
Houston VI Field Office

Enclosure: Report of Violations

cc: Federal Firearms Licensing Center
Houston VI Field Office

August 9 2018 Production

U.S. DEPARTMENT OF JUSTICE
BUREAU OF ALCOHOL, TOBACCO,
FIREARMS AND EXPLOSIVES
Area Supervisor Houston VI
5825 N. Sam Houston Parkway West
Suite 300
Houston, Texas 77086

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JAN 18 2017

Academy Sports & Outdoors #18
Sent To
Mr. Johnathan Johnson
Street, Apt. No.; or PO Box No. 1800 N. Mason Rd.
City, State, ZIP+4 Katy, Texas 77449
PS Form 3800, August 2006 See Reverse for Instructions

7014 0150 0000 2240 6282

5-76-201-01-8C-00004
782050-2016-0155

Mr. Johnathan Johnson
Academy LTD
Academy Sports & Outdoors #18
1800 N. Mason Rd.
Katy, Texas 77449

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Mr. Johnathan Johnson
Academy LTD
Academy Sports & Outdoors #18
1800 N. Mason Rd.
Katy, Texas 77449

COMPLETE THIS SECTION ON DELIVERY

A. Signature
X ☐ Agent ☐ Addressee

B. Received by (Printed Name) C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type
☑ Certified Mail ☐ Express Mail
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ C.O.D.

4. Restricted Delivery? (Extra Fee) ☐ Yes

2. Article Number (Transfer from service label) 7014 0150 0000 2240 6282

PS Form 3811, February 2004 Domestic Return Receipt 102595-02-M-1540

JAN 18 2017