

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) YOUNT, JAMES HOWARD 797 FM 2021 LUFKIN, TX 75901, ANGELINA	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 781025-2016-0259-B1B			
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 575005018H04831</td> <td style="width: 33%;">4a. TARGET DATE</td> <td style="width: 33%;">4b. TARGET HOURS</td> </tr> </table>	3. PERMIT/LICENSE NUMBER 575005018H04831	4a. TARGET DATE	4b. TARGET HOURS
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5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 03/02/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
 Conduct a Southwest border firearms compliance inspection. Ensure the licensee is compliant with local zoning and ATF regulations.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	5	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	1	NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b)(6)** - Industry Operations Investigator

Submitted on: 03/22/2016
 Violations, Warning Letter Only, and Recall Inspection

The violations disclosed warrant a warning conference. However, IOI **(b)(6)** recommends Violations, Warning Letter Only, and Recall Inspection. This recommendation is based on the fact that the licensee has a limited inspection history, no unreported multiple sales, no domestic or international traces, and no transfers to prohibited persons.

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)			
MAR 2016		16.00	(b)(6)
ATF OFFICER'S SUBTOTAL		16.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS		16.00	

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
 Recommendation for Alternate to WC.

The violations disclosed warrant a warning conference. The Area Supervisor recommends a WL and No Recall inspection because of the low volume of transactions for the dealer.

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Director, Industry Operations	REVIEW DATE 03/22/2016
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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and No Recall Inspection

REVIEWED CONCUR SEE COMMENTS FINAL DISPOSITION

SIGNATURE AND TITLE KRMURPHY - Director, Industry Operations	REVIEW DATE 03/28/2016
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ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor YOUNT, JAMES HOWARD	Street Address 797 FM 2021	City LUFKIN	State TX	Zip Code 75901-	County ANGELINA	Page 1 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 575005018H04831	Expiration Date 8/1/2018	Date(s) or Period of Inspection 03/02/2016 through 03/17/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to obtain and record all required transferee information on [REDACTED] ATF Form 4473.

On [REDACTED] form item # 3 as incomplete.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will obtain and record all required transferee information all ATF Forms 4473.

Number: 2

Nature of Violation:

Failure to verify and record proper transferee's identification documents on [REDACTED] ATF Forms 4473.

On [REDACTED] forms, item #20a was either blank or completed with errors.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will verify and record proper transferee's identification documents on all ATF Forms 4473.

Number: 3

Nature of Violation:

Failure to record all required NICS transaction information on [REDACTED] ATF Form 4473.

On one form, item #21(a) was blank.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will record all required NICS transaction information on all ATF Forms 4473.

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License/Permit/Registry Number <i>(If any)</i> 575005018H04831		Expiration Date 8/1/2018	Date(s) or Period of Inspection 03/02/2016 through 03/17/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(3)(iv)

Number: 4

Nature of Violation:

Failure to accurately or completely record [REDACTED] acquisitions in the A&D Record. Failure to accurately or correctly record [REDACTED] dispositions in the A&D Record.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will accurately and completely record both the acquisition and disposition of all firearms in accordance with 27 CFR 478.125(e).

Number: 5

Nature of Violation:

Failure to obtain and/or furnish all information required in each ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to it.

In [REDACTED] instances, the licensee utilized an obsolete version of the ATF Form 4473.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will utilize the most current version of the ATF Form 4473 for all future firearm transactions.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

Sep 10 2018 Production

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U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

April 1, 2016

www.atf.gov

781025: (b) (6)
5000

YOUNT, JAMES HOWARD
797 FM 2021
LUFKIN, TX 75901-
RE: 575005018H04831

Dear Mr. James Yount:

During a recent inspection at your firearms business covering the period March 2, 2015 to March 1, 2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator(s). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

YOUNT, JAMES HOWARD

Should you have any questions regarding this matter, please contact (b)(6),
Industry Operations Investigator at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor for Dallas Group V

Enclosure

cc: Federal Firearms Licensing Center
Dallas V (IO) Field Office