

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) FKB, LLC PLATTE VALLEY PAWN 723 N JEFFERS ST NORTH PLATTE, NE 69101, LINCOLN (111)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779080-2015-0285-B1B		
	3. PERMIT/LICENSE NUMBER 547111027C01890	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b) (6) Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b) (6), Area Supervisor, 02/20/2015

8. PURPOSE/SPECIAL INSTRUCTIONS
DE. SEE REFERRAL FROM (b) (6). IF FFL IS OOB, LOCATE OWNERS FOR RECORDS

9. INSPECTION RESULTS		☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	3	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b) (6) - Industry Operations Investigator

Submitted on: 01/25/2016
Licensee confirmed as OOB. Failed to notify ATF of discontinuance of business and failed to turn records in to ATF. Member/Manager (b) (6).
Viols and Revocation / Denial of Renewal App

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b) (6)	
JUN 2015	14.00		
AUG 2015	30.00		
OCT 2015	13.00		
NOV 2015	4.00		
JAN 2016	3.50		
ATF OFFICER'S SUBTOTAL	64.50	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	64.50		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
(b) (6). FFL LICENSE IS ACTIVE UNTIL MARCH 2017. RECOMMENDATION OF REVOCATION IS A FORMALITY TO ENSURE THIS FFL WILL BE DENIED SHOULD ANY FUTURE APPLICATIONS BE RECEIVED BY HIM OR ANY MEMBER OF HIS FAMILY.
CONCUR WITH IOI RECOMMENDATION FOR REVOCATION.

4/8/2016 DAD Graham approved removal of this case from the Monitored Case Program.
Viols and Revocation / Denial of Renewal App

☒ REVIEWED	☒ CONCUR	☒ SEE COMMENTS	☒ FINAL DISPOSITION
SIGNATURE AND TITLE (b) (6) - Area Supervisor		REVIEW DATE 10/29/2015	

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) FKB, LLC PLATTE VALLEY PAWN 723 N JEFFERS ST NORTH PLATTE, NE 69101, LINCOLN (111)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779080-2015-0285-B1B		
	3. PERMIT/LICENSE NUMBER 547111027C01890	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor FKB, LLC PLATTE VALLEY PAWN	Street Address 723 JEFFERS ST	City NORTH PLATTE	State NE	Zip Code 69101-	County LINCOLN (111)	Page 1 of 1 Pages
License/Permit/Registry Number <i>(If any)</i> 547111027C01890		Expiration Date 3/1/2017		Date(s) or Period of Inspection 04/17/2015 through 06/26/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:
LICENSEE DOES NOT HAVE AN AUTHORIZED PLACE OF BUSINESS.

Citation: 27 CFR 478.47(b)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Number: 2

Nature of Violation:
LICENSEE FAILED TO TURN LICENSE IN TO ATF AND PROVIDE NOTICE OF DISCONTINUANCE OF BUSINESS.

Citation: 27 CFR 478.57(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Number: 3

Nature of Violation:
LICENSEE FAILED TO TURN RECORDS IN TO ATF PURSUANT TO A DISCONTINUANCE OF BUSINESS.

Citation: 27 CFR 478.127

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

Notice to Revoke or Suspend License and/or
Impose a Civil Fine

In the matter of License Number 5-47-111-02-7C-01890, as a/an Dealer, Including Pawnbroker, in Firearms

Other Than Destructive Devices issued to:

Name and Address of Licensee (Show number, street, city, State and ZIP Code)

FKB, LLC
d/b/a Platte Valley Pawn
723 Jeffers Street
North Platte, NE 69101

Notice Is Hereby Given That:

Pursuant to the statutory provisions and reasons stated in the attached page(s), the Director or his/her designee, Bureau of Alcohol, Tobacco, Firearms and Explosives, intends to take action on the license described above.

- ☒ The above identified license may be revoked pursuant to 18 U.S.C. 923(e), 922(j)(5) or 924(p).
☐ The above identified license may be suspended pursuant to 18 U.S.C. 922(j)(5) or 924(p).
☐ The above identified licensee may be fined pursuant to 18 U.S.C. 922(j)(5) or 924(p).

Pursuant to U.S.C. 923(f)(2) and/or 922(j)(5), you may file a request with the Director of Industry Operations, Bureau of Alcohol, Tobacco, Firearms and Explosives, at 1251 NW Briarcliff Parkway, Suite 600, Kansas City MO 64116, in duplicate, for a hearing to review the revocation, suspension and/or fine of your license. The request must be received at the above address within 15 days of your receipt of this notice. Where a timely request for a hearing is made, the license shall remain in effect pending the outcome of the hearing; and if the license is due to expire, the license will remain in effect provided a timely application for renewal is also filed. The hearing will be held as provided in 27 CFR Part 478.

If you do not request a hearing, or your request for a hearing is not received by ATF on time, a final notice of revocation, suspension, and/or imposition of civil fine (ATF Form 5300.13) shall be issued.

☒ Please see included brochure

Date	Name and Title of Bureau of Alcohol, Tobacco, Firearms and Explosives Official	Signature
12/17/2015	William Bowers, Director of Industry Operations	

I certify that on the date shown below I served the above notice on the person identified below by:

☒ Certified mail to the address shown below. Tracking Number: 7015 0640 0004 4642 2154 m. ☐ Delivering a copy of the notice to the address shown below.

Date Notice Served 12-17-15 Title of Person Serving Notice Executive Assistant

(b) (6)

Print Name and Title of Person Served

Signature of Person Served

Frank or Elizabeth Johnson

Address Where Notice Served

FKB, LLC
d/b/a Platte Valley Pawn
723 Jeffers Street
North Platte, NE 69101
5-47-111-02-7C-01890

203070 MEC
9730 KAC-15-226504

Under the provisions of 18 U.S.C. § 923(e) and 27 C.F.R. § 478.73, notice is hereby given of the revocation of the Federal firearms license specified above, in that the Director, Industry Operations, United States Department of Justice, Bureau of Alcohol, Tobacco, Firearms and Explosives ("ATF"), Kansas City Field Division, has reason to believe that FKB, LLC d/b/a Platte Valley Pawn, 723 Jeffers Street, North Platte, NE 69101, Federal Firearms License # 5-47-111-02-7C-01890 ("licensee"), a Dealer, Including Pawnbroker, in Firearms Other Than Destructive Devices, willfully violated the provisions of the Gun Control Act of 1968, as amended, 18 U.S.C. Chapter 44, and the regulations issued thereunder, 27 C.F.R. Part 478.

Compliance History

ATF issued a Federal firearms license to the licensee following a qualification inspection conducted in February 2014. During this time, ATF reviewed and explained the federal firearms laws and regulations with the licensee and provided reference information.

Current Inspection

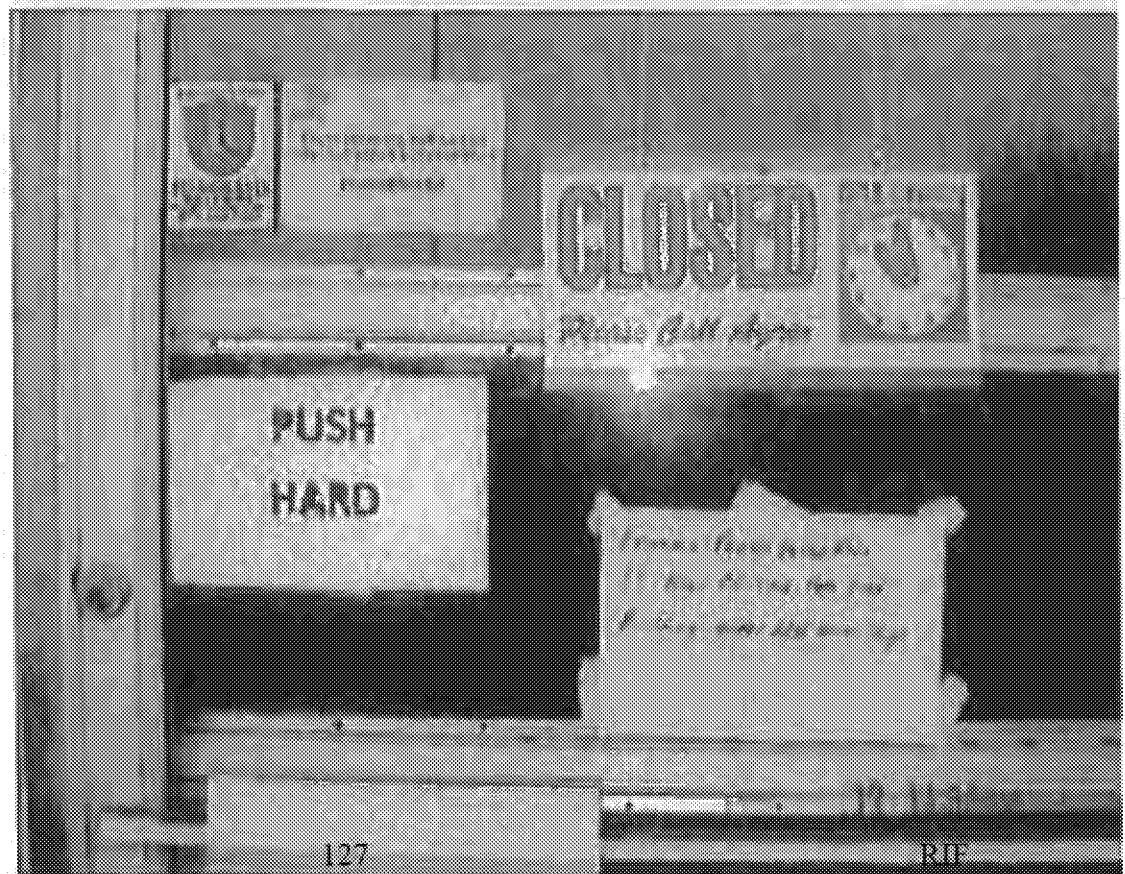
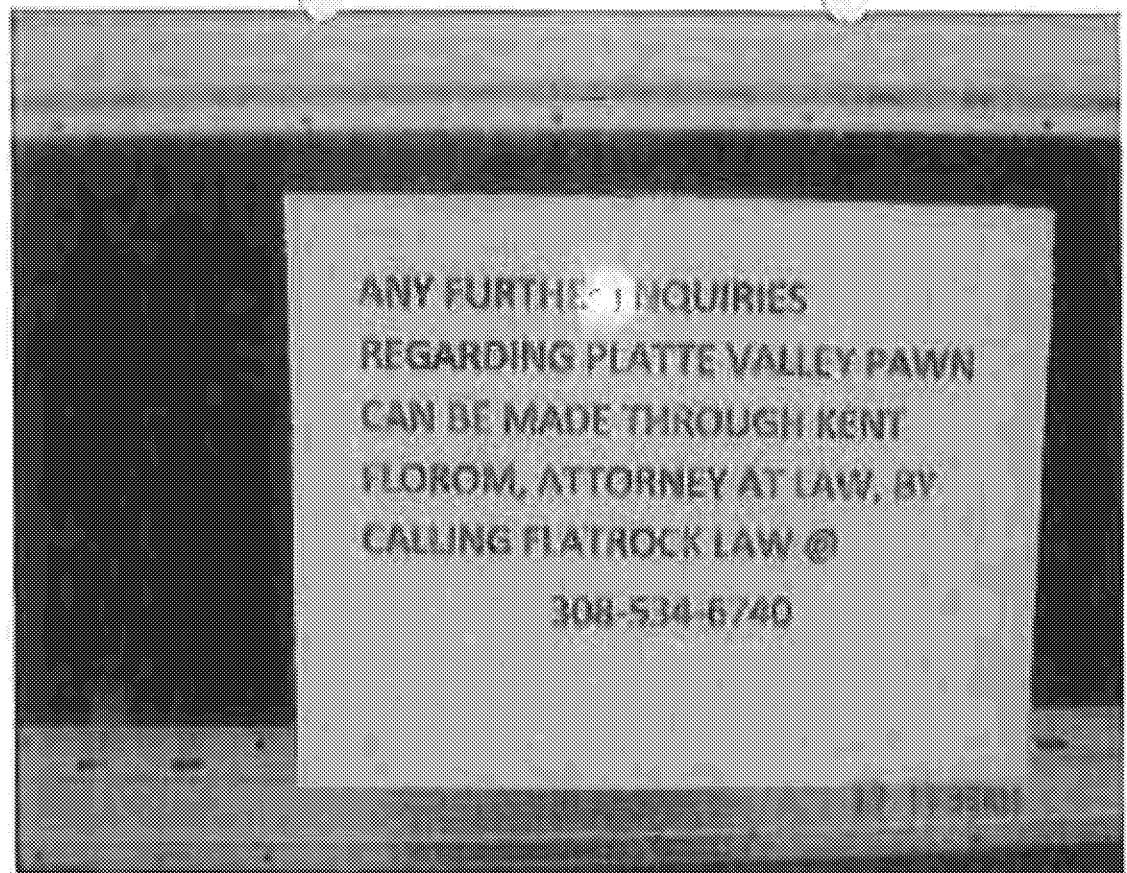
Beginning on April 17, 2015, ATF Industry Operations Investigator (IOI) (b) (6) made attempts to contact the licensee's listed responsible persons, (b) (6), in order to conduct a compliance inspection of the licensee's premises. After multiple attempts to initiate the inspection, IOI (b) (6) was able to determine the following violations occurred:

Right of Entry

1. The licensee, by and through its agents and employees, willfully denied, or otherwise failed to allow, ATF access to the licensed premises on April 17, 2015, and June 26, 2015, in violation of 18 U.S.C. § 923(g)(1)(B)(ii)(I) and 27 C.F.R. § 478.23(b)(2)(i).

Failure to Provide Notice of Discontinuance of Business and Turn in Licensee Records

2. The licensee, by and through its agents and employees, willfully failed to furnish the Federal Firearms Licensing Center notification of the discontinuance of business within 30 days thereof; and willfully failed to deliver the licensee business records to the ATF Out-of-Business Records Center within 30 days following the business discontinuance, in violation of 18 U.S.C. § 923(g)(4) and 27 C.F.R. §§ 478.57(a) and 478.127.



**Final Notice of Denial of Application, Revocation,
Suspension and/or Fine of Firearms License**

In the matter of:

- ☐ The application for license as a/an _____, filed by:
or
☒ License Number 3-47-111-02-7C-01593 at a/an Dealer, including Pawnbroker, in Firearms
Other Than Destructive Devices, issued to:

Name and Address of Applicant or Licensee: (Show number, street, city, state and ZIP Code)

EKB, LLC d/b/a Platte Valley Pawn
723 Jaffers Street
North Platte, NE 69101

Notice Is Hereby Given That:

- ☒ A request for hearing pursuant to 18 U.S.C. § 923(f)(2) and/or 923(i)(5) was not timely filed. Based on the findings set forth in the attached document, your
- ☒ license described above is revoked pursuant to 18 U.S.C. 923(e), 922(i)(5) or 924(p), effective:
- ☐ 15 calendar days after receipt of this notice, or ☒ immediately.
- ☐ license is suspended for _____ calendar days, effective _____, pursuant to 18 U.S.C. § 923(i)(5) or 924(p).
- ☐ licensee is fined \$ _____, payment due: _____, pursuant to 18 U.S.C. § 923(i)(5) or 924(p).
- ☐ After due consideration following a hearing held pursuant to 18 U.S.C. § 923(f)(2) and/or 922(i)(5), and on the basis of findings set out in the attached copy of the findings and conclusions, the Director or his/her designee concludes that your
- ☐ application for license described above is denied, pursuant to 18 U.S.C. 923(d).
- ☐ application for renewal of license described above is denied pursuant to 18 U.S.C. 923(d), effective:
- ☐ 15 calendar days after receipt of this notice, or ☐ _____.
- ☐ license described above is revoked pursuant to 18 U.S.C. 923(e), 922(i)(5) or 924(p), effective:
- ☐ 15 calendar days after receipt of this notice, or ☐ _____.
- ☐ license is suspended for _____ calendar days, effective _____, pursuant to 18 U.S.C. § 923(i)(5) or 924(p).
- ☐ licensee is fined \$ _____, payment due: _____, pursuant to 18 U.S.C. § 923(i)(5) or 924(p).

If, after the hearing and receipt of these findings, you are dissatisfied with this action you may, within 60 days after receipt of this notice, file a petition pursuant 18 U.S.C. § 923(f)(3), for judicial review with the U.S. District Court for the district in which you reside or have your principal place of business. If you intend to continue operations after the effective date of this action while you pursue filing for judicial review or otherwise, you must request a stay of the action from the Director of Industry Operations (DIO), Bureau of Alcohol, Tobacco, Firearms and Explosives, at 1231 NW Briarcliff Parkway, Suite 600, Kansas City Missouri 64116, prior to the effective date of the action set forth above. You may not continue licensed operations unless and until a stay is granted by the DIO.

Records prescribed under 27 CFR Part 478 for the license described above shall either be delivered to ATF within 30 days of the date the business is required to be discontinued or shall be documented to reflect delivery to a successor. See 18 U.S.C. 923(g)(4) and 27 CFR § 478.127.

After the effective date of a license denial of renewal, revocation, or suspension, you may not lawfully engage in the business of dealing in firearms. Any disposition of your firearms business inventory must comply with all applicable laws and regulations. Your local ATF office is able to assist you in understanding and implementing the options available to lawfully dispose of your firearms business inventory.

Date <u>11/15/16</u>	Name and Title of Bureau of Alcohol, Tobacco, Firearms and Explosives Official William Bowers, Director of Industry Operations (ATF Kansas City)	Signature <i>William L Bowers</i>
-------------------------	---	--------------------------------------

I certify that, on the date below, I served the above notice on the person identified below by:

☐ Certified mail to the address shown below.
Tracking Number: _____

Or

☐ Delivering a copy of the notice to
the address shown below.

Date Notice Served	Title of Person Serving Notice	Signature of Person Serving Notice
Print Name and Title of Person Served		Signature of Person Served
Address Where Notice Served		

Note: Previous Edition is Obsolete

UNITED STATES OF AMERICA
DEPARTMENT OF THE JUSTICE
BUREAU OF ALCOHOL, TOBACCO, FIREARMS AND EXPLOSIVES

IN THE MATTER OF THE:

NOTICE OF REVOCATION OF
LICENSES ISSUED TO:

FKB, LLC

d/b/a PLATTE VALLEY PAWN

723 JEFFERS STREET

NORTH PLATTE NE 69101

LICENSE NO: 5-47-111-02-7C-01890

*

*

*

*

*

*

*

*

*

KANSAS CITY FIELD DIVISION

DEPARTMENT OF JUSTICE

BUREAU OF ALCOHOL, TOBACCO

FIREARMS AND EXPLOSIVES

SUMMARY OF FINDINGS AND CONCLUSIONS

On December 17, 2015, the Director of Industry Operations, Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF), Kansas City Field Division, issued to FKB, LLC d/b/a Platte Valley Pawn (licensee), 723 Jeffers Street, North Platte, NE 69101, a Notice of Revocation of License, ATF Form 4500, with reasons therefor attached, as to its license as a dealer, including pawnbroker, in firearms other than destructive devices, Federal Firearms License # 5-47-111-02-7C-01890.

FINDINGS

1. FKB, LLC d/b/a Platte Valley Pawn holds a Federal firearms license, license number 5-47-111-02-7C-01890, as a dealer, including pawnbroker, in firearms other than destructive devices.

2. The Director of Industry Operations has reason to believe and does believe that FKB, LLC d/b/a Platte Valley Pawn has willfully violated the provisions of Title 18, United States Code, chapter 44, and the regulations issued thereunder as set forth in the Notice of Revocation of License issued as to the licensee.

3. The Notice of Revocation of License, ATF Form 4500, was sent to the licensee's licensed business premises via U.S. Postal Service certified mail on December 17, 2015, and the mailing was subsequently returned to sender with a stamp dated December 19, 2015, noting "moved left no address unable to forward." A courtesy copy of the Notice of Revocation of License was also sent to a mailing address listed with ATF for the licensee's

responsible person(s) via U.S. Postal Service on December 17, 2015, and the mailing was subsequently returned to sender with a stamp dated January 3, 2015, noting "not deliverable as addressed unable to forward." Another courtesy copy of the Notice of Revocation of License was sent via U.S. Postal Service on December 17, 2015, to a law office address listed on a sign at the licensee's abandoned premises. No request for a hearing on the matter was ever received by ATF from the licensee or any representative of the licensee.

CONCLUSIONS

5. The license held by FKB, LLC d/b/a Platte Valley Pawn is subject to revocation for the reasons set forth in the Notice of Revocation of License issued December 17, 2015, and such license is hereby revoked effective immediately.



William L. Bowers
Director of Industry Operations
ATF Kansas City Field Division

OFFICIAL USE

7015 0640 0004 4642 2272

U.S. Postal Service[®] CERTIFIED MAIL[®] RECEIPT

For delivery information, visit our website at usps.com/cmr

☐ Return Receipt by First-class mail, with return receipt ☐ Return Receipt by First-class mail, with return receipt ☐ Certified Mail Restricted Delivery [®] ☐ Certified Mail Signature Required [®] ☐ Certified Mail Restricted Delivery [®] Signature Required [®]		Postmark Date
Certified Mail Fee \$ _____		Postage and Fees \$ _____
Total \$ _____		

Date 06/10/15		Postmark Date
Certified Mail Fee \$ _____		Postage and Fees \$ _____
Total \$ _____		

Date 06/10/15		Postmark Date
Certified Mail Fee \$ _____		Postage and Fees \$ _____
Total \$ _____		

Date 06/10/15		Postmark Date
Certified Mail Fee \$ _____		Postage and Fees \$ _____
Total \$ _____		