

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) API INVESTORS LLC SPORTING SUPPLY 1700 W MECHANIC ST HARRISONVILLE, MO 64701, CASS	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <p style="text-align: center;">779075-2017-0058-B1B</p> 3. PERMIT/LICENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS <p style="text-align: center;">543037019J11345 9/30/2017</p> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)
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6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 10/03/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
 RECALL

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b)(6)** - Industry Operations Investigator

 Submitted on: 02/15/2017
 The following change should be made in FLS:
 Brandon Plunkett's address is **(b)(6)**.
 Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
JAN 2017	10.00	
FEB 2017	23.00	
ATF OFFICER'S SUBTOTAL	33.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	33.00	

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
 Viols WL ONLY and Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CONCUR	<input type="checkbox"/> SEE COMMENTS	<input checked="" type="checkbox"/> FINAL DISPOSITION
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SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 02/15/2017
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ROUTING SEQUENCE AND DATE

1. _____

2. _____

3. _____

4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor API INVESTORS LLC SPORTING SUPPLY	Street Address 1700 W MECHANIC ST	City HARRISONVILLE	State MO	Zip Code 64701-	County CASS	Page 1 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 543037019J11345	Expiration Date 9/1/2017	Date(s) or Period of Inspection 01/31/2017 through 02/02/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee failed to obtain all required information on Section A of the ATF Form 4473.

- Transferee's Address: (b)(6) forms
- Prohibiting Persons Questions (b)(6) forms
- State of Residence: (b)(6) forms
- Country of Citizenship: (b)(6) forms
- Transferee's Signature: (b)(6) forms
- Transferee's Certification Date (b)(3) forms

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee must review Section A of the form prior to transferring a firearm.
(If not corrected immediately)

Number: 2

Nature of Violation:

The licensee sold a long gun to a CA resident.

Citation: 27 CFR 478.99(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee was advised that a MO licensee may not sell a long gun to a CA resident.
(If not corrected immediately)

Number: 3

Nature of Violation:

In (b)(3)(1) instances the licensee sold firearms to a transferee who certified on the ATF Form 4473 that he was prohibited.

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee was advised that the firearms should not have been sold.
(If not corrected immediately)

Report of Violations

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Name of Proprietor API INVESTORS LLC SPORTING SUPPLY	Street Address 1700 W MECHANIC ST	City HARRISONVILLE	State MO	Zip Code 64701-	County CASS	Page 2 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 543037019J11345		Expiration Date 9/1/2017	Date(s) or Period of Inspection 01/31/2017 through 02/02/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.99(c)

Number: 4

Nature of Violation:

In [redacted] instances the licensee sold firearms to a transferee who didn't certify he was the actual buyer of the firearm.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was advised to obtain a response to item 11a on the ATF Form 4473.

Number: 5

Nature of Violation:

In [redacted] instance the transferee provided a passport as a form of identification.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee should have obtained a second form of government identification.

Number: 6

Nature of Violation:

The licensee failed to correctly document NICS information on the ATF Form 4473.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee was advised how to correctly document NICS information on the form.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

API INVESTORS LLC
SPORTING SUPPLY
1700 W MECHANIC ST
HARRISONVILLE, MO 64701-
RE: 543037019J11345

Dear Brandon Plunkett:

During a recent inspection at your firearms business covering the period 1/31/2016 to 1/31/2017 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator(s). You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

API INVESTORS LLC

Should you have any questions regarding this matter, please contact (b)(6)
(b)(6), Area Supervisor at (816)410-6000.

Sincerely yours,

(b)(6)
Area Supervisor, KC VI

Enclosure

c: Federal Firearms Licensing Center
Kansas City VI (IO) Field Office