

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) MSG&P LLC MAIN STREET GUN & PAWN LLC 106 S PINE MOUNTAIN VIEW, MO 65548, HOWELL	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779075-2016-0485-B1B		
	3. PERMIT/LICENSE NUMBER 543091028H10111	4a. TARGET DATE 9/30/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED  
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
(b)(6), Area Supervisor, 03/31/2016

8. PURPOSE/SPECIAL INSTRUCTIONS  
FULL COMPLIANCE

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	9	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	6	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION  
Submitted by (b)(6) - Investigator  
Submitted on: 05/20/2016  
  
Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
MAY 2016	17.00
ATF OFFICER'S SUBTOTAL	17.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	17.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION  
Viols WL ONLY and Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CONCUR	<input type="checkbox"/> SEE COMMENTS	<input type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b)(6) - Area Supervisor		REVIEW DATE 05/20/2016	

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### 13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION  
Viols WL ONLY and No Recall Inspection

☒ REVIEWED      ☒ CONCUR      ☐ SEE COMMENTS      ☒ FINAL DISPOSITION

SIGNATURE AND TITLE WLBOWERS - Director Industry Operations	REVIEW DATE 05/23/2016
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#### ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor MSG&P LLC MAIN STREET GUN & PAWN LLC	Street Address 106 S PINE	City MOUNTAIN VIEW	State MO	Zip Code 65548-	County HOWELL	Page 1 of 5 Pages
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License/Permit/Registry Number <i>(If any)</i> 543091028H10111	Expiration Date 8/1/2018	Date(s) or Period of Inspection 05/12/2016 through 05/18/2016
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:  
The licensee failed to execute ATF F 4473 and a NICS background check on an employee before transferring the firearm (Firearms were transferred for gunsmithing purposes).

Citation: 27 CFR 478.102(a)(1)

Date Corrections to be Made:  
*(If not corrected immediately)*

Corrective Action to be Taken: Mr. Larry Cowen is going to get his own ATF license to prevent future violations.  
*(If not corrected immediately)*

Number: 2

Nature of Violation:  
The licensee transferred firearms to different individuals who answered "Yes" to 11b. - 11k. individuals received a proceed from NICS)

Citation: 27 CFR 478.99(c)

Date Corrections to be Made:  
*(If not corrected immediately)*

Corrective Action to be Taken: The licensee will begin reviewing the 4473 before the firearm is transferred. If the transferee has provided prohibiting information the licensee will not transfer a firearm to that individual.

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ATF E-Form 5030 5  
Revised April 2005

# Report of Violations

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Name of Proprietor MSG&P LLC MAIN STREET GUN & PAWN LLC	Street Address 106 S PINE	City MOUNTAIN VIEW	State MO	Zip Code 65548-	County HOWELL	Page 2 of 5 Pages
License/Permit/Registry Number <i>(If any)</i> 543091028H10111		Expiration Date 8/1/2018		Date(s) or Period of Inspection 05/12/2016 through 05/18/2016		

## Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

<b>Number:</b> 3	<b>Date Corrections to be Made:</b> 05/18/2016 <i>(If not corrected immediately)</i>
<b>Nature of Violation:</b> The licensee failed to record the acquisition of at least (b)(3) separate occasions.  The licensee failed to record the disposition of at least (b)(3) firearms.  The licensee failed to record the correct serial number from the firearm to the A & D Record on (b)(3) 112 Public Law 9 separate occasions.  The licensee failed to record the Importer from the firearm to the A & D Record on (b)(3) 112 Public Law 9 separate occasions.	<b>Corrective Action to be Taken:</b> The licensee will record all (b)(3) firearms into the A & D record immediately.  The licensee will find the corresponding 4473s and record all (b)(3) firearms as disposed in the A & D record immediately.  The licensee will begin recording the correct serial number and importer from the firearm to the A & D Record and / or the 4473.
<b>Citation:</b> 27 CFR 478.125(e)	
<b>Number:</b> 4	<b>Date Corrections to be Made:</b> 05/18/2016 <i>(If not corrected immediately)</i>
<b>Nature of Violation:</b> The licensee failed to execute ATF F 3310.4 when transferring more than one firearm to the same individual within five business days.	<b>Corrective Action to be Taken:</b> The licensee will execute (b)(3) 112 separate ATF F 3310.4s for the missing transactions. Further the licensee will begin executing ATF F 3310.4 when transferring more than one firearm to the same individual within five business days.
<b>Citation:</b> 27 CFR 478.126a	

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License/Permit/Registry Number *(If any)*  
543091028H10111

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Date Corrections to be Made:**  
(If not corrected immediately)

**Corrective Action to be Taken**  
(If not corrected immediately)

: IOI (b)(6) reorganized the 4473s from 2015 and 2016 while reviewing them. The licensee will ensure that all previous forms, as well as all future forms, stay in date of transfer order from this point forward.

**Date Corrections to be Made:**  
(If not corrected immediately)

**Corrective Action to be Taken:**  
(If not corrected immediately)

: The licensee will ensure the 4473 is complete and accurate before the firearm is transferred.

The licensee failed to require a response to questions 13 and 14 of ATF F 4473 on (b)(3) occasion.

U.S. Department of Justice  
Bureau of Alcohol, Tobacco, Firearms and Explosives

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:  
The licensee transferred a firearm to an individual without first recording a valid government issued Identification Document.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken:  
(If not corrected immediately)

The licensee will ensure the 4473 is complete and accurate before the firearm is transferred.

Number: 8

Nature of Violation:  
The licensee failed to record the date the firearm was transferred on (b)(3) occasions and failed to record his signature on (b)(3) occasion.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken:  
(If not corrected immediately)

The licensee will ensure the 4473 is complete and accurate before the firearm is transferred.

Number: 9

Nature of Violation:  
The licensee transferred a firearm to an individual who provided a NO answer to 11a. on (b)(3) occasion.

(b)(3) 112 Public Law 55 125 STAT 552

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken:  
(If not corrected immediately)

The licensee will ensure the 4473 is complete and accurate before the firearm is transferred.

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Revised April 2005

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.21(a)

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

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ATF E-Form 5030 5  
Revised April 2005

1251 NW Briarcliff Pkwy, Suite 600  
Kansas City, MO 64116

May 20, 2016

MSG&P, LLC  
106 S. PPINE  
MOUNTAIN GROVE, MO 65418  
RE: 5-43-309-02-8h-10111

Dear Mr. Randy Reese:

During a recent inspection at your firearms business covering the period 05/01/2015 through 05/12/2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You indicated that you understood the requirements of the explosive laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your explosives business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to explosives. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact (b)(6), Industry Operations Investigator, Springfield Field Office at (b)(6).

Sincerely yours,

(b)(6)  
Area Supervisor, KC VI