U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
RISON OF KC INC NATIONAL PAWN 6223 E TRUMAN RD KANSAS CITY,MO 64126, JACKSON	779075-2016-0045-B1B		
	3. PERMIT/LICENSE NUMBER 543095026E10932	4a. TARGET DATE 1/30/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator
(b)(6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 10/01/2015

8. PURPOSE/SPECIAL INSTRUCTIONS
ENHANCED ENFORCEMENT INITIATIVE - FULL COMPLIANCE

9. INSPECTION RESULTS		☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	10	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 12/07/2015

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
NOV 2015	8.00	
DEC 2015	4.00	
ATF OFFICER'S SUBTOTAL	12.00	ATF OFFICER'S SIGNATURE
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
NOV 2015	8.00	
DEC 2015	5.00	
ATF OFFICER'S SUBTOTAL	13.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	25.00	

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☐ FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
(b)(6) - Area Supervisor	12/08/2015

Assignment and Report

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
WLBOWERS - Director Industry Operations	12/14/2015

ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	Page 1 of 4 Pages
RISON OF KC INC NATIONAL PAWN	6223 E TRUMAN RD	KANSAS CITY	MO	64126-	JACKSON	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
543095026E10932	5/1/2016	11/17/2015 through 11/17/2015

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to Maintain A&D Record as Prescribed by Regulation.
(b)(3) untimely disposition
(b)(3) missing firearm

Citation: 27 CFR 478.125(e)

Date Corrections to be Made: 12/01/2015
(If not corrected immediately)

Corrective Action to be Taken: Fix A&D Record by providing missing dispositions.
(If not corrected immediately)

Prove ATF has missing firearm by 12/01/15 by providing documentation.

Number: 2

Nature of Violation:

Failure to Furnish Required Information on ATF F 4473.

Block 18 - (b)(3) instances.
Blocks 24+25 - (b)(3) instances.
Block 30a - (b)(3) instances.
Block 30b - (b)(3) instances.
Blocks 31+32 - (b)(3) instances.
Block 33 - (b)(3) instances.
Block 35 - (b)(3) instances.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Ensure required information is provided on every ATF F 4473.
(If not corrected immediately)

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543095026E10932	5/1/2016	11/17/2015 through 11/17/2015

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

Failure to Verify / Record Identifying Document Information.

20a - [redacted] instances
20b - [redacted] instances

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Verify and record identifiying document information accurately.
(If not corrected immediately)

Number: 4

Nature of Violation:

Failure to Conduct / Record NICS Check as Required.

Box 21a blank - [redacted] instances.
Box 21b - [redacted] instances.
Box 21c blank or incorrect - [redacted] instances.
Box 21d - [redacted] instance.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Ensure NICS check is made and fully recorded.
(If not corrected immediately)

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543095026E10932	5/1/2016	11/17/2015 through 11/17/2015

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

Failure to Sign / Date ATF F 4473.

Box 36 blank - [redacted] instances.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Sign + date ATF F 4473 on day firearm(s) leave store.
(If not corrected immediately)

Number: 6

Nature of Violation:

Failure to File Multiple Sale Form.

[redacted] instances.

Citation: 27 CFR 478.126a

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: File ATF F 3310.4 with ATF and local PD.
(If not corrected immediately)

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Bureau of Alcohol, Tobacco, Firearms and Explosives

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RISON OF KC INC NATIONAL PAWN	6223 E TRUMAN RD	KANSAS CITY	MO	64126-	JACKSON	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
543095026E10932	5/1/2016	11/17/2015 through 11/17/2015

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:

Failure to Execute ATF F 4473 and Obtain Information ([redacted] instances).

Box 2, [redacted] instances.
Box 3, [redacted] instances.
Box 11e, [redacted] instance.
Box 16, [redacted] instance.
Box 17, [redacted] instances.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Ensure forms are filled out properly and institute a system to double check all forms before customer leaves store.
(If not corrected immediately)

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*	Date
Signature and Title of ATF Officer	Date

Kansas City, Missouri

December 7, 2015

779075(b)(6)
5373

CERTIFIED MAIL, RETURN RECEIPT REQUESTED

DENNIS GLEN RUSSELL, PRESIDENT
RISON OF KC INC. d/b/a NATIONAL PAWN
6223 E TRUMAN RD
KANSAS CITY, MISSOURI 64126

RE: 5-43-095-02-6E-10932

Dear Mr. Russell:

During a recent inspection at your firearms business covering the period November 2014 to November 2015, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigators (b)(6) (b)(6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat

Dennis Glen Russell, President
Rison of KC Inc.

or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6).

Sincerely yours,

(b)(6)
Area Supervisor, Kansas City VI Area Office

Enclosure

cc: Federal Firearms Licensing Center
Kansas City VI Field Office