U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
M&M GUNS AND SUPPLIES LLC 16 MAIN STREET SAINT PETERS,MO 63376, SAINT CHARLES CO. (183)	779055-2017-0200-B1B		
	3. PERMIT/LICENSE NUMBER 543183019G07617	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator
(b)(6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 01/03/2017

8. PURPOSE/SPECIAL INSTRUCTIONS

9. INSPECTION RESULTS		☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	30	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 03/16/2017
Investigator would like to recommend Report of Violations and Warning Letter with No Recall Inspection.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)

FEB 2017	48.00
MAR 2017	28.00
ATF OFFICER'S SUBTOTAL	76.00

ATF OFFICER'S SIGNATURE

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)

FEB 2017	38.00
ATF OFFICER'S SUBTOTAL	38.00

ATF OFFICER'S SIGNATURE

TOTAL HOURS 114.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Warning letter sent 03/17/17.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE
(b)(6) - Area Supervisor

REVIEW DATE
03/17/2017

(b)(6)

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	3. PERMIT/LICENSE NUMBER 543183019G07617	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	Page 1 of 4 Pages
M&M GUNS AND SUPPLIES LLC	16 MAIN STREET	SAINT PETERS	MO	63376-	SAINT CHARLES CO.	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
543183019G07617	7/1/2019	02/06/2017 through 03/10/2017

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

On [redacted] occasion the licensee transferred a firearm to a non-licensee who left question 10a blank (Ethnicity), on [redacted] occasions the licensee transferred a firearm to a non-licensee who left question 11a blank (Are you the actual buyer?), on [redacted] occasions the licensee failed to record firearm information in question 18 (type of firearm), on [redacted] occasions the licensee transferred a firearm to a non-licensee who left question 24/22(new form) blank (Buyer's signature), on [redacted] occasions the licensee transferred a firearm to a non-licensee who left question 25/23(new form) blank (recertification date).

Citation: 27 CFR 478.21(a)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, and make corrections to the copy and attach the copy to the original Form 4473.

Number: 2

Nature of Violation:

On [redacted] occasions the licensee transferred a firearm to a non-licensee with no proof that a background check was completed.

Citation: 27 CFR 478.102(a)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* Licensee was instructed on federal regulations regarding the proper completion and retaining of ATF F 4473 and completing a background check.

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

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Name of Proprietor	Street Address	City	State	Zip Code	County	Page 2 of 4 Pages
M&M GUNS AND SUPPLIES LLC	16 MAIN STREET	SAINT PETERS	MO	63376-	SAINT CHARLES CO.	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
543183019G07617	7/1/2019	02/06/2017 through 03/10/2017

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

On [redacted] occasion the licensee transferred a firearm to a non-licensee who left question 10b blank (race), on [redacted] occasions the licensee transferred a firearm to a non-licensee who left question 11a blank (Are you the actual buyer?), on [redacted] occasion the licensee transferred a firearm to a non-licensee who failed to respond to question 11b (under indictment?), on [redacted] occasion the licensee transferred a firearm to a non-licensee who failed to responded "yes" to question 11e (unlawful user of narcotic drugs?), on [redacted] occasions the licensee transferred a firearm to a non-licensee who failed to respond to question 11g (dishonorable discharge?), on [redacted] occasions the licensee transferred a firearm to a non-licensee who failed to respond or answered "yes" to question 11i (convicted of a misdemeanor crime of domestic violence?), on [redacted] occasions the licensee transferred a firearm to a non-licensee who failed to respond to question 11j (renounced US citizenship?), on [redacted] occasions the licensee transferred a firearm to a non-licensee who failed to respond to or answered "yes" to question 11k (alien illegally in the US?), on [redacted] occasion the licensee transferred a firearm to a non-licensee who failed to respond to question 11l (alien admitted to the US under a nonimmigrant visa?), on [redacted] occasion the licensee transferred a firearm to a non-licensee who recorded the incorrect information or left blank question 16 (buyer's signature), On [redacted] occasions the licensee transferred a firearm to a non-licensee who recorded the incorrect information or left blank question 17/15(new form) (certification date).

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, make corrections to the copy and attach the copy to the original Form 4473.

U.S. Department of Justice
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Report of Violations

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M&M GUNS AND SUPPLIES LLC	16 MAIN STREET	SAINT PETERS	MO	63376-	SAINT CHARLES CO.	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
543183019G07617	7/1/2019	02/06/2017 through 03/10/2017

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(1)

Number: 4

Nature of Violation:
On [redacted] occasions the licensee failed to record or left blank the response to question 21a (Date NICS contacted), on [redacted] occasions the licensee failed to record or left blank the response in question 21c/19c(new form) (Initial NICS response), on [redacted] occasions licensee failed to record or left blank the response to question 21d (NICS response after an initial "delayed" response).

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future make corrections to the copy and attach the copy to the original Form 4473.

Citation: 27 CFR 478.124(c)(3)(iv)

Number: 5

Nature of Violation:
On [redacted] occasions the licensee failed to correctly record or left blank response to question 36/37(new form) (date transferred).

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* Licensee was instructed on federal regulations regarding the proper completion of ATF F 4473. Licensee was instructed to make a copy of pages to be corrected in the future, make corrections or have customer make corrections to the copy, and attach the copy to the original Form 4473.

Citation: 27 CFR 478.124(c)(5)

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

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Name of Proprietor	Street Address	City	State	Zip Code	County	Page 4 of 4 Pages
M&M GUNS AND SUPPLIES LLC	16 MAIN STREET	SAINT PETERS	MO	63376-	SAINT CHARLES CO.	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
543183019G07617	7/1/2019	02/06/2017 through 03/10/2017

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:
On (b)(3) 112 Public occasions the licensee recorded a firearm as logged out when in inventory, on (b)(3) 112 Pub (b)(3) 112 P occasions the licensee failed to record the disposition of firearms into the A&D book, on (b)(3) 112 Publ occasion the disposition couldn't be reconciled which resulted in the completion of ATF F 3310.11, ATF Theft/Loss Report.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made: 03/03/2017
(If not corrected immediately)

Corrective Action to be Taken: The licensee was instructed to complete ATF F 3310.11, ATF Theft/Loss Report for the missing firearm. The licensee was also instructed to record the dispositions and acquisitions into the A&D book and to make corrections to incorrect entries.
(If not corrected immediately)

Number: 7

Nature of Violation:
On (b)(3) 112 Public occasions, licensee was missing ATF Forms 4473 for the following firearms:

(b) (3) - Public Law 112-55 (125 Stat. 552)

Citation: 27 CFR 478.129(b)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Licensee was instructed on federal regulations regarding retaining ATF Forms 4473.
(If not corrected immediately)

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)* (b)(6) — Date 03-07-2017

Sig[illegible] (b)(6) — Date 3-7-2017

For [illegible] (b)(6) ction

ATF E-Form 5030.5
Revised April 2005

U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
1222 Spruce Street, Room 6.205
St. Louis, Missouri 63103

www.atf.gov

March 17, 2017

779055: (b) (6)
5300

CERTIFIED MAIL – Return Receipt Requested

Mr. Michael E. Almstedt
M&M Guns and Supplies LLC
16 Main Street
St. Peters, Missouri 63376

RE: Federal Firearms License: #5-43-183-01-9G-07617

Dear Mr. Almstedt:

During a recent inspection at your firearms business covering the period February 06, 2016 to February 05, 2017, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, was issued to you.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that

future compliance is achieved. Should you have any questions regarding this matter, please contact (b)(6), Industry Operations Investigator at (b)(6).

(b)(6)
Area Supervisor
Saint Louis, Missouri

Enclosure

c: Federal Firearms Licensing Center