

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) FOX, DENNIS G 16477 HIGHWAY D CLARKSVILLE ,MO 63336, PIKE (163)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779055-2016-0244-B1B		
	3. PERMIT/LICENSE NUMBER 543163019B04296	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED  
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)  
(b)(6), Area Supervisor, 02/19/2016

8. PURPOSE/SPECIAL INSTRUCTIONS  
LAST INSPECTION WAS 12/21/06.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	2	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION  
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 04/20/2016  
On March 30, 2016, IOI (b)(6) conducted an announced DE Compliance Inspection with Dennis Fox (owner). Advance notification was given due to licensee work schedule. Positive identification was made with Missouri Driver's License # (b)(6). Licensee was available for the duration of the inspection. The scope of the inspection period covered 4/30/15-4/30/16.

See narrative for further details.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
MAR 2016	9.00		
APR 2016	16.00		
ATF OFFICER'S SUBTOTAL	25.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	25.00		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION  
DIO will close assignment; warning letter issued 04/19/16.

Viols WL ONLY and No Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CONCUR	<input checked="" type="checkbox"/> SEE COMMENTS	<input type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b)(6) - Area Supervisor		REVIEW DATE 04/19/2016	

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ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_  
☐ 2. \_\_\_\_\_  
☐ 3. \_\_\_\_\_  
☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor FOX, DENNIS G	Street Address 16477 HIGHWAY D	City CLARKSVILLE	State MO	Zip Code 63336-	County PIKE (163)	Page 1 of 1 Pages
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License/Permit/Registry Number <i>(If any)</i> 543163019B04296	Expiration Date 2/1/2019	Date(s) or Period of Inspection 03/30/2016 through 03/30/2016
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:  
On [REDACTED] occasions, licensee failed to verify the identity of transferee by examining the identification document (government issued license) on the ATF F 4473 item 20a.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken:  
(If not corrected immediately)

Licensee was instructed of federal regulations regarding proper completion of ATF F 4473. Licensee was instructed on what constitutes a valid government issued identification document and required to be recorded on the ATF F 4473.

Number: 2

Nature of Violation:  
On [REDACTED] occasion, licensee failed to prepare and submit ATF F 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers involving [REDACTED] hand guns.

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken:  
(If not corrected immediately)

Licensee was instructed of federal regulations requiring the reporting of multiple sales of pistols and/or revolvers. ATF F 3310.4 is required to be completed by the end of the business day in which the multiple sale occurs. Licensee immediately completed and forwarded ATF F 3310.4.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

Sep 10 2018 Production

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For Official Use Only

ATF E-Form 5030 5  
Revised April 2005



**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives  
1222 Spruce Street, Room 6.205  
St. Louis, Missouri 63103

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[www.atf.gov](http://www.atf.gov)

April 19, 2016

779055 (b) (6)  
5300

**CERTIFIED MAIL – Return Receipt Requested**

Mr. Dennis Fox  
16477 Highway D  
Clarksville, MO 63336

Federal Firearms License: 5-43-163-01-9B-04296

Dear Mr. Fox:

During a recent inspection at your firearms business covering the period March 30, 2015 to March 28, 2016, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Senior Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise,

could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact (b)(6), Senior Industry Operations Investigator at (b)(6).

Sincerely yours,

(b)(6)  
Area Supervisor  
Saint Louis, Missouri

Enclosure

c: Federal Firearms Licensing Center