

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Ford, Eric Horse Thief Gun Company 407 Kenyon Jetmore, KS 67854, HODGEMAN		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779025-2017-0201-B1B		
		3. PERMIT/LICENSE NUMBER 548083017C02461	4a. TARGET DATE 9/15/2017	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE) 10/01/2016		
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6), Area Supervisor, 10/03/2016				
8. PURPOSE/SPECIAL INSTRUCTIONS Compliance. Kansas Priority 3.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	6	NO. OF REFERRALS		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF TECS CHECKS	9	NO. OF TECS HITS		2111 - PER DIEM
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2112 - P.O.A.
		\$ VALUE OF TAX DECREASES		2113 - COMM. AIR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2114 - RENTAL CAR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2115 - GPV EXPENSES
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION				
Submitted by (b)(6) - Industry Operations Investigator				
Submitted on: 04/21/2017				
Alternate Recommendation: Violations Warning Conference in lieu of Revocation				
Eric Ford is the sole proprietor and only Responsible Persons on current Type 01 license. This is Licensee's first compliance inspection since receiving his license on 2/8/2011.				
Inspection meets the standards for Revocation under the Adverse Action Policy for violations disclosed during the current inspection. There were five (5) violations incurred at inspection including an identified straw purchased.				
Even though making a false statement on an ATF F 4473 meets revocation of the license per Adverse Action Policy, Licensee reported that at the time, he was unsure about what constitutes a straw purchase however, agreed to implement controls in order to identify straw purchase further situations. Licensee also agreed to develop a second level of review in order to identify discrepancies in all records. Licensee is a low level dealer only transferring approximately 33 firearms a year. Licensee has had no trace activity since receiving license.				
Eric Ford admitted being at fault by not exercising his due diligence in maintaining the license and displayed a great concern in the violations cited. Ford expressed a genuine desire to become compliant.				
Viols WC with WL and Recall				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
MAR 2017		7.00		
APR 2017		33.50		
ATF OFFICER'S SUBTOTAL		40.50		ATF OFFICER'S SIGNATURE
TOTAL HOURS		40.50		

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Ford, Eric Horse Thief Gun Company 407 Kenyon Jetmore, KS 67854, HODGEMAN	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779025-2017-0201-B1B		
	3. PERMIT/LICENSE NUMBER 548083017C02461	4a. TARGET DATE 9/15/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE) 10/01/2016		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

ALTERNATE RECOMMENDATION: Warning Letter – Recall

The inspection meets the standard for Revocation under the current Administrative Action Policy, ATF O 5370.1C (2/21/2017). The licensee was cited for failing to prevent a straw purchase – Revocation at Sec. 6.f.(5)(c). Additional violations include failure to obtain accurate information on 7% of F4473s – Warning Letter at Sec. 6.c.(3); and failure to record complete and/or any ID on over 14% of F4473s – Warning Letter at Sec. 6.c.(4) & (5).

JUSTIFICATION FOR ALTERNATE: The violations do not appear to support the standard of willfulness or to have negatively affected public safety or firearm traceability. Details of the straw purchase show that the actual buyer marked "yes" to 11i – MCDV conviction, and received a delayed response from NICS. The licensee explained he allowed the buyer's father to complete a separate transfer and take possession of the firearm because it was part of a NWTF event. NICS proceeded actual buyer a few days later and IOI's secondary background check confirmed the he is not prohibited. This was the licensee's first compliance inspection. He is a low-volume dealer, who does not maintain an inventory, and transfers less than 50 firearms annually. Additionally, the licensee is (b)(6) and would be unable to attend a warning conference as his unit is deploying in the next month to Afghanistan for at least 9 months. AO would conduct a recall inspection within the next 2 years.

AS reviewed: 4/26/17
Sent to DIO: 4/26/17
DIO concurs: 4/26/17
WL sent: 4/26/17
Recall staged
PII sent 4/26/17

Viols WL ONLY and Recall Inspection

☒ REVIEWED ☐ CONCUR ☒ SEE COMMENTS ☐ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 04/26/2017
---	---------------------------

REVIEW COMMENTS AND RECOMMENDATION

Concur with alternate for warning letter.

Viols WL ONLY and Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE WLBOWERS - Director Industry Operations	REVIEW DATE 04/26/2017
--	---------------------------

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Ford, Eric Horse Thiefgun Company	Street Address 407 Kenyon	City Jetmore	State KS	Zip Code 67854-	County HODGEMAN	Page 1 of 3 Pages
License/Permit/Registry Number <i>(If any)</i> 548083017C02461		Expiration Date 3/1/2020		Date(s) or Period of Inspection 03/22/2016 through 03/22/2017		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

By failure of licensee to identify a straw purchase. Licensee knowingly allowed a straw purchase or should have known a straw purchase occurred. On (b)(3) - 112 Public Law 55 125 Stat 552 (b)(6) attempted to purchase a Heritage Rough Rider 22 cal single shot pistol, however, received a delay from NICS. (b)(3) 112 Public Law 55 125 STAT 552 (b)(6) volunteered to purchase the firearm for (b)(3) 112 Public Law 55 125. NICS approved the transaction for (b)(3) 112 Public Law 55 125 and licensee conducted the transfer. This occurred on (b)(3) 112 occasion.

Citation: 27 CFR 478.128(c)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: licensee is to develop procedures to help identify straw purchases.
(If not corrected immediately)

Number: 2

Nature of Violation:

By failure of the licensee to provide any information as required by regulation for Items #31, #33 and #35 in Section D of ATF F 4473 (2012 edition). Items # 33, #34 and 36 in section D of ATF F 4473 (2016 edition). This occurred on a total of (b)(3) 112 occasions.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made: 04/12/2017

(If not corrected immediately)

Corrective Action to be Taken: Make corrections on copies of ATF F 4473 and attach to original form
(If not corrected immediately)

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	Page 2 of 3 Pages
Ford, Eric Horse Thiefgun Company	407 Kenyon	Jetmore	KS	67854-	HODGEMAN	

License/Permit/Registry Number (If any)	Expiration Date	Date(s) or Period of Inspection
548083017C02461	3/1/2020	03/22/2016 through 03/22/2017

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

By failure of the licensee to obtain correct answers in Item #11i and Item #17 in section A of ATF F 4473 (2012 addition). On 03/16/16 occasion, licensee accepted a wrong answer pertaining to the misdemeanor domestic violence conviction question. On 03/16/16 occasion, licensee failed to obtain the certification date from transferee.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

04/14/2017

Corrective Action to be Taken:

(If not corrected immediately)

Obtain correct answers from transferee on copies of ATF F 4473 and attach to original form.

Number: 4

Nature of Violation:

By failure of the licensee to record complete Identification documentation as required in Item #20a (2016 edition) and Item #18a (2012 edition) of ATF F 4473. This occurred on a total of 03 occasions.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Obtain complete ID information and record on a copy of ATF F 4473 and attach to the form.

For Official Use Only

October 9 2019 Production

822

ATF E-Form 5030 5
Revised April 2005

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Ford, Eric Horse Thiefgun Company	Street Address 407 Kenyon	City Jetmore	State KS	Zip Code 67854-	County HODGEMAN	Page 3 of 3 Pages
--	------------------------------	-----------------	-------------	--------------------	--------------------	----------------------

License/Permit/Registry Number <i>(If any)</i> 548083017C02461	Expiration Date 3/1/2020	Date(s) or Period of Inspection 03/22/2016 through 03/22/2017
---	-----------------------------	--

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:
By failure of the licensee to record complete qnd proper NICS information in Items #21a, # 21b, #21c and #21e in section B of ATF F 4473 (2012 edition) and Item #19a and #19b (2016 edition) as required by regulations. This occurred on a total 039 occasions.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Correct discrepancies on copies of ATF F 4473 and attach to original form.

Number: 6

Nature of Violation:
By failure of the licensee to properly record complete information in Items #34 and Items #36 (2012 edition) and Items #35 and #37 (2016 edition) located in section D of ATF F 4473. This occurred on 039 occasions.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Correct discrepancies on copies of ATF F 4473 and attach to original form.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

For Official Use Only

October 9 2019 Production

823

ATF E-Form 5030 5
Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Kansas City Field Division – KC III (Regulatory)

1251 NW Briarcliff Pkwy, Ste 600
Kansas City, MO 64116
www.atf.gov

April 26, 2017

779025 (b)(6)
5373

CERTIFIED MAIL – RETURN RECEIPT REQUESTED

Mr. Eric Ford
Dba: Horse Thief Gun Company
407 Kenyon
Jetmore, KS 67854

RE: 5-48-083-01-0C-02461

Dear Mr. Ford:

This letter summarizes the issues discussed with you conducted on February 8, 2017, related to your Federal Firearms License (FFL) for the period of February 8, 2016 through February 8, 2017. You were cited for repeat violations of Title 27, Code of Federal Regulations, Part 202, Section 202.1(a)(1) and (2) Report of Violations, Form 5030.5 issued at the time

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You are responsible for the firearms laws and regulations. Additionally, you are required to take action to prevent future violations.

The records your business is maintaining are not continuing efforts to reduce violations with all Federal laws and regulations regarding firearms dealer. This is critical that criminals do not gain access to firearms and industry members make in regard.

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Mr. Eric Ford
Dba: Horse Thief Gun Company
407 Kenyon
Jetmore, KS 67854

2. Article Number
(Transfer from label)

U.S. Postal ServiceTM
CERTIFIED MAILTM RECEIPT
(Domestic Mail Only; No Insurance Coverage Provided)
For delivery information visit our website at www.usps.com

OFFICIAL USE

Postage	\$
Certified Fee	
Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$

Postmark Here

Sent To
Mr. Eric Ford Dba: Horse Thief Gun Company
Street, Apt. No.,
or PO Box No. 407 Kenyon
City, State, ZIP+4
Jetmore KS 67854
PS Form 3800, August 2006 See Reverse for Instructions

COMPLETE THIS SECTION ON DELIVERY

A. Signature
x Mary J Ford ☐ Agent
☐ Addressee

B. Received by (Printed Name)
MARY J. FORD

C. Date of Delivery
5/3/17

D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type
☒ Certified Mail[®] ☐ Priority Mail Express[™]
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ Collect on Delivery

4. Restricted Delivery? (Extra Fee) ☐ Yes

7012 3460 0002 8653 6098



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Kansas City Field Division – KC III (Regulatory)

1251 NW Briarcliff Pkwy, Ste 600
Kansas City, MO 64116
www.atf.gov

April 26, 2017

779025: (b)(6)
5373

CERTIFIED MAIL – RETURN RECEIPT REQUESTED

Mr. Eric Ford
Dba: Horse Thief Gun Company
407 Kenyon
Jetmore, KS 67854

RE: 5-48-083-01-0C-02461

Dear Mr. Ford:

This letter summarizes the issues discussed with you during the recent compliance inspection conducted on February 8, 2017, related to your Federal firearms license. The inspection covered the period of February 8, 2016 through February 8, 2017. During the inspection, your business was cited for repeat violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5 issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. Additionally, you assured IOI (b)(6) that your business would take action to prevent future violations.

The records your business is required to maintain are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your business' firearms activities as a licensed firearms dealer. This is critical to ATF carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area and we look forward to continuing to work with you in that regard.

Mr. Eric Ford
Dba: Horse Thief Gun Company
Page 2

You are reminded that retention of your business' Federal firearms license is conditioned upon its compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of the license. Please contact the ATF Kansas City Group III Office at (816) 410-6000 or our Wichita Satellite Office at (316) 269-6229, if you have any questions regarding this matter.

Sincerely

(b)(6)

Area Supervisor
Industry Operations (Kansas)
Kansas City Group III (Regulatory)
Kansas City Field Division

cc: National Licensing Center
Director of Industry Operations