

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Mike Strong, Inc. A Pawn Shop 519 N. Main St. Newton, KS 67114, HARVEY	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779025-2016-0309-B1B		
	3. PERMIT/LICENSE NUMBER 548079029G02232	4a. TARGET DATE 9/15/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE) 04/21/2016		

6. ATF OFFICER(S) ASSIGNED

(b)(6) - Assigned Investigator
(b)(6) - Assigned Investigator
(b)(6) - Lead Investigator
(b)(6) - Assigned Investigator
(b)(6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 04/21/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
Conduct DE Compliance inspection. Licensee was linked to the recent workplace shooting in Hesston, KS.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS	1	2111 - PER DIEM	
NO. OF TECS CHECKS	27	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 09/07/2016
Alternate Recommendation: From Warning Conference to Viols WL ONLY and Recall Inspection

In August 2016, a compliance inspection of the licensee, Mike Strong, Inc. dba A Pawn Shop, was conducted. The inspection resulted in the identification of several violations of 27 CFR 478.125(e). Specifically, IOIs identified (b)(6) acquisition related violations which was 12.3% of the licensee's total acquisitions for the inspection period. According to ATF O 5370.1B, Section 6.d.1, the identification of acquisition related violations in (b)(7)(E) of the licensee's total acquisitions merits a warning conference.

Justification for Alternate Recommendation:

When the licensee began business in June 2010, it was unaware of how to enter firearms that were related to Internet transfers into its computer database, Pawn Master. Due to this, the licensee kept handwritten records as its official ATF acquisition and disposition records but also utilized Pawn Master as unofficial records to keep track of all firearms acquired and/or disposed of by the licensee that were related to pawns and/or inventory sales. During the course of business, the licensee explained that it became complacent in maintaining its handwritten records because its computer system contained the most current information regarding a majority of its firearms acquisitions and dispositions. Because of this, the licensee failed to log in (b)(6) firearms into its handwritten (official) A&D record. These omissions accounted for 12.3% of the licensee's total acquisitions for the inspection period.

IOI (b)(6) reviewed the licensee's unofficial computerized records which contained the information required in 27 CFR 478.125(e). Additionally, all (b)(6) firearms noted above were logged into the computerized records system. During the inspection, the licensee learned how to enter firearms related to Internet transfers into Pawn Master and advised IOI (b)(6) that it planned to convert its record keeping to a computerized record keeping system. IOI (b)(6) provided the licensee with a copy of ATF Ruling 2016-1 and advised the licensee of its retention requirements for its handwritten records.

Since the licensee's unofficial records contained the information required by regulation and because the licensee intends to utilize Pawn Master as its official records, it is recommended that a warning letter be mailed to the licensee and a recall inspection be conducted.

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Mike Strong, Inc. A Pawn Shop 519 N. Main St. Newton, KS 67114, HARVEY	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779025-2016-0309-B1B		
	3. PERMIT/LICENSE NUMBER 548079029G02232	4a. TARGET DATE 9/15/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE) 04/21/2016		

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
AUG 2016	7.00
ATF OFFICER'S SUBTOTAL	7.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
AUG 2016	75.50
SEP 2016	4.00
ATF OFFICER'S SUBTOTAL	79.50
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
AUG 2016	9.00
ATF OFFICER'S SUBTOTAL	9.00
ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
AUG 2016	8.00
ATF OFFICER'S SUBTOTAL	8.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	103.50

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

ALTERNATE RECOMMENDATION: WARNING LETTER – RECALL

The inspection meets the standard for a Warning Conference under the current Administrative Action Policy (2/8/2013). The licensee was cited for failing to timely/correctly record acquisition information in the A&D record (instances – 12%) – WC at Sec. 6.d.(1). Additional violations meeting administrative action standards include Violation 1 – failure to timely/correctly record disposition information (WL at Sec. 6.c.2); Violation 4 – failure to record any ID on the F4473 forms(2%); and Violation 6 – failure to complete the Report of Multiple Handgun Sales form (instance firearms) WL at Sec. 6.c.7. Remaining violations did not rise to the level of administrative action.

JUSTIFICATION FOR ALTERNATE: The licensee is a storefront pawnshop. This was the licensee's first compliance inspection since obtaining the FFL in 2010. Because the licensee did not understand how to use its computerized A&D system to record Internet transfers, it had been using a hardcopy A&D book for its official record in conjunction with a computerized system. This dual record keeping method led to the discrepancies. Prior to closing, the licensee sought instruction on how to record Internet transfers through the computerized system as a means of consolidating its A&D information into one system per ATF Ruling 2016-1. No losses resulted from the inspection. The licensee had successful trace within the past 12 months.

AS Review: 9/12/2016
Sent to DIO: 9/12/2016
DIO Concur - 9/12/2016
Warning Letter sent via certified mail: 9/12/2016
PII sent 9/12/2016

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☐ FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 09/12/2016
---	---------------------------

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Mike Strong, Inc. A Pawn Shop 519 N. Main St. Newton, KS 67114, HARVEY	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 779025-2016-0309-B1B		
	3. PERMIT/LICENSE NUMBER 548079029G02232	4a. TARGET DATE 9/15/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE) 04/21/2016		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Concur with alternate for warning letter.

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

WLBOWERS - Director Industry Operations

REVIEW DATE

09/12/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Mike Strong, Inc. A Pawn Shop	Street Address 519 N. Main St.	City Newton	State KS	Zip Code 67114-	County HARVEY	Page 1 of 6 Pages
License/Permit/Registry Number (If any) 548079026G02232		Expiration Date 7/1/2019		Date(s) or Period of Inspection 08/04/2016 through 08/26/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure of the licensee to record, not later than the close of the next business day, the purchase or other acquisition of a firearm showing the date of receipt, the name and address or the name and license number of the person from whom received, the name of the manufacturer and importer (if any), the model, serial number, type, and the caliber or gauge of the firearm in instances.

Additionally, the licensee failed to record, not later than 7 days following the date of disposition, the date of the sale or other disposition of each firearm, the name and address of the person to whom the firearm is transferred, or the name and license number of the of the person to whom transferred if such person is a licensee, or the ATF F 4473 serial number if filed numerically in instances.

See list attached to the signed ROV which is uploaded in the source documents folder for specific details of this violation.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made: 08/26/2016
(If not corrected immediately)

Corrective Action to be Taken: Licensee is to update its acquisition and disposition record by correcting the incorrect serial numbers, logging in the acquisition of the firearms identified to correct the serial numbers that were logged incorrectly for the above listed firearms have not been logged in at the time of the inspection, voiding the duplicate entry, voiding the dispositions for the firearms in inventory, and logging out the firearms that were sold.

For Official Use Only

October 9 2019 Production

933

ATF E-Form 5030 5
Revised April 2005

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Mike Strong, Inc. A Pawn Shop	Street Address 519 N. Main St.	City Newton	State KS	Zip Code 67114-	County HARVEY	Page 2 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 548079026G02232		Expiration Date 7/1/2019	Date(s) or Period of Inspection 08/04/2016 through 08/26/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

Failure of the licensee to obtain all information called for in each form as indicated by the heading on the form and the instructions on or pertaining to the form on (b)(3) 112 Public Law 55 125 STAT 552 (b)(6) ATF F 4473 transactions. Specifically:

In (b)(3) 112 Public Law 55 125 STAT 552 (b)(6) instances, the licensee failed to ensure the transferee completed blocks #24 and #25 of the ATF F 4473 (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

In (b)(3) 112 Public Law 55 125 STAT 552 (b)(6) instance, the licensee failed to ensure the transferee accurately answered block #11a of the ATF F 4473 (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee is to ensure future compliance by confirming the transferee has wholly and accurately completed Sections A & C of the ATF F 4473 prior to the transfer of a firearm.

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Inspection Results	
An examination of your premises, records and operations has disclosed the following violations which have been explained to you:	

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(If not corrected immediately)

(If not corrected immediately)

: Licensee is to ensure future compliance by confirming the transferee has wholly and accurately completed Section A of the ATF F 4473 prior to the licensee proceeding to Section B of the ATF F 4473.

In ^{(b)(3) 112 Pub} instances, the licensee failed to ensure the transferee dated block #17 of the ATF F

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Mike Strong, Inc. A Pawn Shop	Street Address 519 N. Main St.	City Newton	State KS	Zip Code 67114-	County HARVEY	Page 4 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 548079026G02232		Expiration Date 7/1/2019		Date(s) or Period of Inspection 08/04/2016 through 08/26/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

Failure of the licensee to verify the identity of the transferee by examining the identification document present, and noting on the ATF F 4473 the type of identification used in (b)(3) ATF F 4473 transactions. Specifically:

In (b)(3) 112 Pub transactions, the licensee failed to record identification information in block #20a (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

In (b)(3) transactions, the licensee failed to record complete identification information in block #20a. The licensee examined proper photo identification of the transferee and attached a copy of the identification to the form; however, the licensee did not record the identification information in block #20a as required (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

In (b)(3) 112 Pub instances, the licensee failed to obtain alternate documentation to satisfy the identification document requirements of the law (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee is to ensure future compliance by recording all required information in Block #20a-b of the ATF F 4473 as well as obtain a secondary form of identification when the transferee presents an identification document that does not match the address provided by the transferee in block #2 of the ATF F 4473.

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Mike Strong, Inc. A Pawn Shop	Street Address 519 N. Main St.	City Newton	State KS	Zip Code 67114-	County HARVEY	Page 5 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 548079026G02232		Expiration Date 7/1/2019		Date(s) or Period of Inspection 08/04/2016 through 08/26/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5	Date Corrections to be Made: <i>(If not corrected immediately)</i>	
Nature of Violation: Failure of the licensee to not sell or otherwise dispose of any firearm or ammunition to any person knowing or having reasonable cause to believe that such person is prohibited by the Act. In (b)(3) 112 Public Law 55-125 STAT 552 ATF F 4473 transactions, the licensee transferred a firearm(s) to a transferee who answered "yes" to one or more questions in blocks #11b – 11k. Specifically: The licensee transferred a firearm to a transferee who answered yes to block #11i which asks if the transferee has ever been convicted in any court of a misdemeanor crime of domestic violence (b)(3) 112 Public Law 55-125 STAT 552 (b)(3) Citation: 27 CFR 478.99(c)	Corrective Action to be Taken: <i>(If not corrected immediately)</i> Licensee is to ensure future compliance by canceling the transfer of a firearm to any transferee to indicate he/she is prohibited under the Act by answering "yes" to any question in Block #11b-k.	
Number: 6	Date Corrections to be Made: 08/26/2016 <i>(If not corrected immediately)</i>	
Nature of Violation: Failure of the licensee to prepare a report of multiple sales or other disposition whenever the licensee sells or otherwise disposes of, at one time or during any five consecutive business days, two or more pistols, or revolvers, or any combination of pistols or revolvers totaling two or more in (b)(3) 112 Public Law 55-125 STAT 552 ATF F 4473 transactions. Specifically: On (b)(3) 112 Public Law 55-125 STAT 552, the licensee transferred (b)(3) 112 Public Law 55-125 STAT 552 handguns to (b)(3) 112 Public Law 55-125 STAT 552. No multiple sale report was filed for this transaction.	Corrective Action to be Taken: <i>(If not corrected immediately)</i> Licensee is to submit an ATF F 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers for the above listed transactions in which two or more firearms were transferred to a person within five (5) consecutive business days. Licensee is to also ensure future compliance by submitting the ATF F 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers by the close of the business day in which the transaction occurred for all future transfers that meet the reporting requirement.	

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Mike Strong, Inc. A Pawn Shop	Street Address 519 N. Main St.	City Newton	State KS	Zip Code 67114-	County HARVEY	Page 6 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 548079026G02232		Expiration Date 7/1/2019		Date(s) or Period of Inspection 08/04/2016 through 08/26/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.126a

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*

Date

Signature and Title of ATF Officer

Date

For Official Use Only

October 9 2019 Production

938

ATF E-Form 5030 5

Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Kansas City Field Division – KC III (Regulatory)

1251 NW Briarcliff Pkwy, Ste 600
Kansas City, MO 64116
www.atf.gov

September 12, 2016

779025:
5373

(b)(6)

CERTIFIED MAIL – RETURN RECEIPT REQUESTED

Mike Strong, Inc.
Dba A Pawn Shop
Attn: Mr. Mikey Strong, President
519 N. Main St.
Newton, KS 67114

RE: 5-48-079-02-9G-02232

Dear Mr. Strong:

This letter summarizes the issues discussed with you conducted on August 4, 2016 through August 26, 2016 regarding your firearms license. The inspection covered the period from August 4, 2016 through August 26, 2016. During the inspection, your company was cited for violations of 27 CFR Regulations, Part 478. A copy of the Report of Violations is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. Additionally, you agreed to take action to prevent future violations.

The records your company is maintaining are continuing efforts to comply with all Federal firearms laws and regulations. The public and ensure you and other industries in that regard.

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

Mike Strong, Inc.
Dba A Pawn Shop
Attn: Mr. Mikey Strong, President
519 N. Main St.
Newton, KS 67114

2. Article Number

(Transfer from service label)

COMPLETE THIS SECTION ON DELIVERY

A. Signature

X *Kenny Marvin*

- ☐ Agent
☐ Addressee

B. Received by (Printed Name)

Kenny Marvin

C. Date of Delivery

9-15-16

- D. Is delivery address different from item 1? ☐ Yes
If YES, enter delivery address below: ☐ No

3. Service Type

- ☒ Certified Mail® ☐ Priority Mail Express™
☐ Registered ☐ Return Receipt for Merchandise
☐ Insured Mail ☐ Collect on Delivery

4. Restricted Delivery? (Extra Fee)

- ☐ Yes

7012 3460 0002 8653 5350



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Kansas City Field Division – KC III (Regulatory)

1251 NW Briarcliff Pkwy, Ste 600
Kansas City, MO 64116
www.atf.gov

September 12, 2016

779025: (b)(6)
5373

CERTIFIED MAIL – RETURN RECEIPT REQUESTED

Mike Strong, Inc.
Dba A Pawn Shop
Attn: Mr. Mikey Strong, President
519 N. Main St.
Newton, KS 67114

RE: 5-48-079-02-9G-02232

Dear Mr. Strong:

This letter summarizes the issues discussed with you during the recent compliance inspection conducted on August 4, 2016 through August 26, 2016, related to your company's Federal firearms license. The inspection covered the period of August 4, 2015 through August 4, 2016. During the inspection, your company was cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5 issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. Additionally, you ensured IOI (b)(6) that your company would take action to prevent future violations.

The records your company is required to maintain are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that your company comply with all Federal laws and regulations that govern your company's firearms activities as a licensed firearms dealer/pawnbroker. This is critical to ATF carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area and we look forward to continuing to work with you in that regard.

Mike Strong, Inc.
Dba A Pawn Shop
Attn: Mr. Mikey Strong, President
Page 2

You are reminded that retention of your company's Federal firearms license is conditioned upon its compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and could result in the revocation of the license. Please contact Investigator (b) (6) or the ATF Kansas City Group III Office if you have any questions regarding this matter at (816) 410-6000 or (b)(6)

Sincerely,

(b)(6)

Area Supervisor
Industry Operations (Kansas)
Kansas City Group III (Regulatory)
Kansas City Field Division

cc: National Licensing Center
Director of Industry Operations