

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) The Range Tool Company LLC Range Tool 310 North Broadway Gilbert, MN 55741, ST. LOUIS		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 778035-2016-0054-B1B		
		3. PERMIT/LICENSE NUMBER 341137077J03595	4a. TARGET DATE 9/23/2016	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED (b) (6) Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b) (6) Acting Area Supervisor, 10/06/2015				
8. PURPOSE/SPECIAL INSTRUCTIONS Conduct a manufacturer of firearms DE compliance inspection.				
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	5	NO. OF REFERRALS		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF TECS CHECKS		NO. OF TECS HITS		2111 - PER DIEM
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2112 - P.O.A.
		\$ VALUE OF TAX DECREASES		2113 - COMM. AIR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2114 - RENTAL CAR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2115 - GPV EXPENSES
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b) (6) Industry Operations Investigator Submitted on: 03/15/2016 The violations disclosed merit consideration of a Warning Conference due to the nature of the violations and the statistical volume of violations. Licensee was cited for failing to record complete identification information on the ATF Forms 4473. However, the licensee had been making a photo copy of the valid identification and inserting the respective photo copy in corresponding ATF F4473. Additionally, the licensee was cited for failing to record NICS information on the ATF F4473. However, the licensee had been conducting NICS e-checks, and was inserting the printed copy of the NICS response into the corresponding ATF F4473. The licensee was provided with remedial instruction. Due to the low volume of non-licensee transfers, IOI (b) (6) believes that the violations disclosed merit an alternate recommendation of a Warning Letter and No Recall Inspection. The licensee is a holder of a Type 07 Manufacturing FFL and will be inspected in five years. Violts WL ONLY and No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)				
OCT 2015	1.00			
DEC 2015	1.00			
JAN 2016	20.00			
FEB 2016	10.00			
MAR 2016	9.00			
ATF OFFICER'S SUBTOTAL	41.00	ATF OFFICER'S SIGNATURE		
TOTAL HOURS	41.00			

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

I concur with the alternate recommendation of Viols, WL Only and No Recall Inspection due to the nature of the violations, the fact that corrective information was maintained and the ease of correction going forward.

WL sent 4/11/2016

Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SI (b) (6)	TITLE Area Supervisor	REVIEW DATE 03/28/2016
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REVIEW COMMENTS AND RECOMMENDATION

Although violations warrant consideration of a Warning Conferece, based on the circumstances and supporting documentation maintained, a Warning Letter is sufficient.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☐ FINAL DISPOSITION

SIGNATURE AND TITLE (b) (6) Director, Industry Operations	REVIEW DATE 04/07/2016
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ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor The Range Tool Company LLC Range Tool	Street Address 310 North Broadway	City Gilbert	State MN	Zip Code 55741-	County ST. LOUIS	Page 1 of 2 Pages
License/Permit/Registry Number <i>(If any)</i> 341137077J03595		Expiration Date 9/1/2017		Date(s) or Period of Inspection 01/20/2016 through 01/21/2016		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

On (b)(3)-Public Law 112-55, TF 4473 Forms, licensee failed to complete form as required in instructions:
Item (b)(3)-Public Law 112-55 - Type of Firearm TF F4473s blank
Item 30 - Caliber or Gauge (b)(3)-Public Law 112-55 ATF F4473s blank
Item 31 - Trade or Corporate Name and Address (b)(3)-Public Law 112-55 TF F4473s blank
Item 32 - Federal Firearms License Number (b)(3)-Public Law 112-55 TF F4473s blank
Item 33 - Transferor's/Seller's Name (b)(3)-Public Law 112-55 TF F4473s blank
Item 35 - Transferor's/Seller's Title (b)(3)-Public Law 112-55 TF F4473s blank

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee was instructed to properly complete ATF Form 4473 as required prior to the transfer of a firearm.
(If not corrected immediately)

Number: 2

Nature of Violation:

On (b)(3)-Public Law 112-55, TF 4473 Forms, licensee failed to document the identity of the purchaser; the licensee obtained a photo copy of the purchaser's government issued identification.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: The licensee was instructed to document purchaser's government issued identification on ATF 4473 Form prior to the transfer of a firearm.
(If not corrected immediately)

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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License/Permit/Registry Number <i>(If any)</i> 341137077J03595	Expiration Date 9/1/2017	Date(s) or Period of Inspection 01/20/2016 through 01/21/2016
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:
(b)(3) - F06
ATF 4473 Forms, licensee failed to properly record some or all of the required NICS transaction information.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee was instructed to properly record all required NICS transaction information on ATF 4473 Form prior to the transfer of a firearm.

Number: 4

Nature of Violation:
(b)(3) - F06
ATF 4473 Forms, licensee failed to identify the firearm transferred, Items 26-30.

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee was instructed to properly complete ATF Form 4473 as required prior to the transfer of a firearm.

Number: 5

Nature of Violation:
(b)(3) - F06
ATF 4473 Forms, licensee failed to sign and date the form prior to the transfer of a firearm, Items 34 and 36.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

The licensee was instructed to properly complete ATF Form 4473 as required prior to the transfer of a firearm.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

October 9 2018 Document Production

153

For Official Use Only

ATF E-Form 5030 5
Revised April 2005



U.S. Department of Justice

**Bureau of Alcohol, Tobacco,
Firearms and Explosives**

St. Paul Field Division

30 East 7th Street, Room 1900
St. Paul, MN 55101
www.atf.gov

April 11, 2016

The Range Tool Company, LLC
310 North Broadway
Gilbert, MN 55741
ATTN: Michael Peterson

FFL: 3-41-137-07-7J-03595

Dear: Mr. Peterson,

During a recent compliance inspection of your Federal Firearms License conducted at your business premises from January 20-21, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b) (6). You indicated that you understood the requirements of the Federal firearms laws and regulations to which you are subject. You further indicated that immediate corrective action would be taken to eliminate future violations.

The records you are required to maintain and the business practices you are required to follow are important to ATF's continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. Ensuring compliance with these laws and regulations is critical to carrying out ATF's mission to protect the public and ensure that prohibited persons do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal firearms laws and regulations. Any future violations, repeat or otherwise, may be viewed as willful and could result in the revocation of your license. This correspondence serves as a warning of the possibility of such action. In the future, please ensure that full compliance with all applicable Federal firearms laws and regulations is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b) (6)

Sincerely,

(b) (6)

Area Supervisor

Bureau of Alcohol, Tobacco, Firearms, and Explosives
Saint Paul II Field Office (IO)

Enclosure

Cc: National Licensing Center
St. Paul II Area Office

FFL: 3-41-137-07-7J-03595
UI: 778035-2016-0054-B1B

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Return Receipt Fee (Endorsement Required)	
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$

Postmark
Here
4/11/16
(b) (6)

Sent to **THE RANGE TOOL COMPANY**
 Street & Apt. No.,
 or PO Box No. **310 NORTH BROADWAY**
 City, State, ZIP+4 **GILBERT, MN 55741**

PS Form 3800, July 2014

See Reverse for Instructions

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

The Range Tool Company, LLC
 310 North Broadway
 Gilbert, MN 55741

ATTN: Michael Peterson

COMPLETE THIS SECTION ON DELIVERY

A. Signature *[Signature]* ☐ Agent
☐ Addressee

B. Received by (Printed Name) *Michael Peterson* C. Date of Delivery *4-11-16*

D. Is delivery address different from item 1? ☐ Yes
 If YES, enter delivery address below: ☒ No

3. Service Type
☒ Certified Mail® ☐ Priority Mail Express™
☐ Registered ☒ Return Receipt for Merchandise
☐ Insured Mail ☐ Collect on Delivery

4. Restricted Delivery? (Extra Fee) ☐ Yes

2. Article Number
 (Transfer from Service Label)

7014 1820 0000 0597 1072

PS Form 3811, July 2013

Domestic Return Receipt