U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
North Shore Trap & Skeet Club Inc Winchester Gun Club 3109 US Hwy 41 Franksville,WI 53126, Racine	778025-2017-0175-B1B		
	3. PERMIT/LICENSE NUMBER 339101019F12738	4a. TARGET DATE 9/22/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 10/03/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
Conduct a DE compliance inspection. Not inspected since FY 08.

9. INSPECTION RESULTS		☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	17	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 01/20/2017

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)

OCT 2016	2.00
JAN 2017	21.00

ATF OFFICER'S SUBTOTAL	23.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	23.00	

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
(b)(6) - Area Supervisor	01/18/2017

ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	Page 1 of 3 Pages
North Shore Trap & Skeet Club Inc Winchester Gun Club	3109 US Hwy 41	Franksville	WI	53126-	Racine	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
339101019F12738	6/1/2019	01/05/2016 through 01/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:
In [redacted] instance, licensee transferred a firearm (shotgun) to an Illinois resident, but failed to verify that the individual possessed a Firearms Owners Identification (FOID) Card – a requirement of the State of Illinois. [redacted].

Citation: 27 CFR 478.99(a)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* Mrs. Joerndt stated she conducted the transfer and was unaware of the requirement.

Number: 2

Nature of Violation:
In [redacted] instance, licensee recorded a NICS Contact date in Item 21a of Form 4473 that was incorrect. Licensee recorded [redacted].

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* Licensee was instructed to correct the NICS date in question on a photo copy of Form 4473 and ensure NICS contact dates are accurate hereafter.

Number: 3

Nature of Violation:
In [redacted] instances, licensee failed to sign/date Form 4473 at items 34 and 36. [redacted]

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* Licensee was instructed to sign/date on a photo copy of Form 4473 and ensure Forms 4473 are signed/dated in the future.

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North Shore Trap & Skeet Club Inc Winchester Gun Club	3109 US Hwy 41	Franksville	WI	53126-	Racine	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
339101019F12738	6/1/2019	01/05/2016 through 01/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:
In [redacted] instances, licensee failed to record the acquisition of a firearm (reference [redacted]). In [redacted] instance, licensee could not account for the disposition of a firearm [redacted]

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*
Licensee was instructed to file ATF F3310.11, Federal Firearms Licensee Theft/Loss Report, for the missing [redacted] shotgun. Licensee was further instructed to record the other [redacted] shotguns in the acquisition record and to maintain a current and accurate A/D record hereafter.

Number: 5

Nature of Violation:
In [redacted] instances, licensee failed to maintain a Form 4473 where a NICS Background Check had been conducted. [redacted], licensee contacted the NICS and received "proceed" responses. Licensee believes Forms 4473 were completed in regard to these NICS checks, but later discarded when the firearm transfer did not occur.

Citation: 27 CFR 478.129(b)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*
Licensee was instructed that Forms 4473 must be retained where the purchaser completes Section A and a NICS or State background check is called in.

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License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
339101019F12738	6/1/2019	01/05/2016 through 01/11/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:

In [redacted] instances, licensee failed to have transferee sign/date Form 4473 at Items 24/25 upon return to accept delivery of a firearm. (b)(3) 112 Public Law 55 125 STAT 552. In [redacted] instances, licensee failed to include Name and Title on Form 4473 Items 33 and 35. Reference Forms #[redacted].

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)*

Licensee was instructed to complete items 33/35 on photocopy of Form 4473 and attach. Licensee was instructed to ensure transferee signs/dates Form 4473 at 24/25 whenever transfer takes place a different day than the day transferee signs/dates items 16/17.

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*	Date
Signature and Title of ATF Officer	Date

U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

1000 N. Water Street, Suite 1400
Milwaukee, WI 53202

January 19, 2017

www.atf.gov

778025: (b)(6)
5373

WARNING LETTER

CERTIFIED MAIL - RETURN RECEIPT REQUESTED

North Shore Trap & skeet Club, Inc.
ATTN: Judith Joerndt
3109 US Hwy 41
Franksville, WI 53126

FFL # 3-39-12738

Dear Ms. Joerndt:

During a recent compliance inspection conducted on January 5, 2017, at your firearms business covering the period of January 5, 2016, through January 5, 2017, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

North Shore Trap & Skeet Club, Inc.
3-39-12738

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6) at (414)727-6200.

Sincerely yours,

(b)(6)

Area Supervisor
Milwaukee II Field Office

Enclosure

Cc: Federal Firearms Licensing Center
Milwaukee II Field Office