

## Assignment and Report

|                                                                                                                                                                                 |   |                                                                                 |                                |                     |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|---------------------------------------------------------------------------------|--------------------------------|---------------------|
| 1. OPERATING NAME AND ADDRESS<br>(INCLUDE ZIP CODE AND COUNTY)<br>Spec Ops Defense Systems LLC<br>S O D S<br>1500 New Natchitoches Rd.<br>West Monroe, LA 71292, Oauchita       |   | 2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)<br>777070-2017-0065-B1B |                                |                     |
|                                                                                                                                                                                 |   | 3. PERMIT/LICENSE NUMBER<br>572073076H04339                                     | 4a. TARGET DATE<br>11/25/2016  | 4b. TARGET HOURS    |
| 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)                                                                                                                                     |   |                                                                                 |                                |                     |
|                                                                                                                                                                                 |   |                                                                                 |                                |                     |
| 6. ATF OFFICER(S) ASSIGNED<br>(b) (6) - Lead Investigator                                                                                                                       |   |                                                                                 |                                |                     |
| 7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)<br>(b) (6), Area Supervisor, 10/25/2016                                                                                              |   |                                                                                 |                                |                     |
| 8. PURPOSE/SPECIAL INSTRUCTIONS<br>Determine if the owners are prohibited persons                                                                                               |   |                                                                                 |                                |                     |
|                                                                                                                                                                                 |   |                                                                                 |                                |                     |
| 9. INSPECTION RESULTS<br><input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC                                                                                      |   |                                                                                 | 10. TRAVEL EXPENSES (OPTIONAL) |                     |
| NO. OF VIOLATIONS                                                                                                                                                               |   | NO. OF REFERRALS                                                                | 1                              | 2111 - PER DIEM     |
| NO. OF TECS CHECKS                                                                                                                                                              | 2 | NO. OF TECS HITS                                                                | 2                              | 2112 - P.O.A.       |
| NO. OF TAX ADJUSTMENTS                                                                                                                                                          |   | \$ VALUE OF TAX INCREASES                                                       |                                | 2113 - COMM. AIR    |
|                                                                                                                                                                                 |   | \$ VALUE OF TAX DECREASES                                                       |                                | 2114 - RENTAL CAR   |
| NO. OF ASSESSMENTS                                                                                                                                                              |   | \$ VALUE OF ASSESSMENTS                                                         |                                | 2115 - GPV EXPENSES |
| NO. OF CLAIMS                                                                                                                                                                   |   | \$ VALUE OF CLAIMS                                                              |                                | 2116 - MISC.        |
| NO. OF TAX PERIODS                                                                                                                                                              |   | \$ VALUE OF TAXES VERIFIED                                                      |                                | TOTAL \$ FOR INSP.  |
| 11. ATF OFFICER'S RECOMMENDATION<br>Submitted by (b) (6) - Industry Operations Investigator<br><br>Submitted on: 01/25/2017<br><br>Viols and Revocation / Denial of Renewal App |   |                                                                                 |                                |                     |
| <b>12. TIME ACCOUNTING DATA</b>                                                                                                                                                 |   |                                                                                 |                                |                     |
| ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)                                                                                                                                 |   |                                                                                 |                                |                     |
| OCT 2016 8.00                                                                                                                                                                   |   |                                                                                 |                                |                     |
| NOV 2016 27.00                                                                                                                                                                  |   |                                                                                 |                                |                     |
| DEC 2016 26.00                                                                                                                                                                  |   |                                                                                 |                                |                     |
| JAN 2017 58.00                                                                                                                                                                  |   |                                                                                 |                                |                     |
| ATF OFFICER'S SUBTOTAL                                                                                                                                                          |   | 119.00                                                                          | ATF OFFICER'S SIGNATURE        |                     |
| TOTAL HOURS                                                                                                                                                                     |   | 119.00                                                                          |                                |                     |
| <b>13. REVIEW AND ROUTING</b>                                                                                                                                                   |   |                                                                                 |                                |                     |
| REVIEW COMMENTS AND RECOMMENDATION<br>Viols and Revocation / Denial of Renewal App                                                                                              |   |                                                                                 |                                |                     |
|                                                                                                                                                                                 |   |                                                                                 |                                |                     |
| <input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input type="checkbox"/> SEE COMMENTS <input type="checkbox"/> FINAL DISPOSITION        |   |                                                                                 |                                |                     |
| SIGNATURE AND TITLE<br>(b) (6) - Area Supervisor                                                                                                                                |   |                                                                                 | REVIEW DATE<br>01/27/2017      |                     |

## Assignment and Report

|                                                                                                                                                                           |                                                                                 |                               |                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------|-------------------------------|------------------|
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|                                                                                                                                                                           | 3. PERMIT/LICENSE NUMBER<br>572073076H04339                                     | 4a. TARGET DATE<br>11/25/2016 | 4b. TARGET HOURS |
|                                                                                                                                                                           | 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)                                     |                               |                  |
|                                                                                                                                                                           |                                                                                 |                               |                  |

### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

See PII dated 1/31/17 (b) (6)

Viols and Revocation / Denial of Renewal App

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

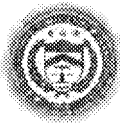
SIGNATURE AND TITLE  
MDWREN - DIO

REVIEW DATE  
01/31/2017

#### ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_



U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

Marlinsburg, WV 25405

[www.atf.gov](http://www.atf.gov)

March 2, 2017

Certified Mail – Return Receipt Requested

901020:TR/LED  
5340

FFL: 5-72-04339 SPEC OPS DEFENSE SYSTEMS, LLC

SUBJECT: Denial of Firearms Renewal Application

Dear Applicant:

This is to inform you that your renewal application for a Federal Firearms License has been denied. Please refer to the Notice of Denial that was previously mailed to you from the field division that services your area.

A copy of your renewal application is enclosed marked denied; a refund has been generated if applicable, and you will receive your refund once it has processed through all of the proper channels.

If you have any questions regarding your denial, you may contact the Federal Firearms Licensing Center at 304-816-4600.

Sincerely,

Tracey Robertson  
Chief, Federal Firearms Licensing Center

Attachment:  
Copy of Renewal Application





5-72-073-07-BH-04339

SPEC OPS DEFENSE SYSTEMS LLC

FFL TYPE: 01P  
CLASS: 01P

FEDERAL FIREARMS

Premises Address: 1500 NEW NATCHITOCHES RD  
WEST MONROE, LA 71292-Expiration Date:  
August 1, 2016

C. Answer question 1, and by checking "yes" or "no" in the boxes to the right of the questions, or N/A, if applicable.

|                                                                                                                                                                                                                                                                                                                                                                                                                                          |  | Check YES or NO                                                     |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------------------------------------------------------------|
| 1. Are you a resident of the State of Louisiana?                                                                                                                                                                                                                                                                                                                                                                                         |  | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| 2. Within thirty days after this application has been approved, will the firearms or ammunition activity comply with the requirements of State and local law applicable to the conduct of the firearms or ammunition business or collection of curios or relics?                                                                                                                                                                         |  | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| 3. Will the requirements of State and local law that are applicable to the firearms or ammunition activity or collection of curios or relics, be met prior to the start of the business or collection activity?                                                                                                                                                                                                                          |  | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| 4. a. Is the premises secured?<br>b. Is the premises secured by a locked door?<br>c. Is the premises secured by a locked door and a locked door?                                                                                                                                                                                                                                                                                         |  | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| 5. Are there any new responsible persons to be added under any responsible persons to be removed from the license? If yes, please attach a separate sheet of paper to provide their identifying information as listed in #3 on the application Instructions Sheet that accompanied this application.                                                                                                                                     |  | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| 6. How many firearms have you bought or acquired with your license license over the past 3 years? If none, enter "0".<br>* If you hold multiple FFLs, please only indicate the number of firearms relating to this FFL you are renewing.                                                                                                                                                                                                 |  | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| 7. How many firearms have you sold or disposed of over the past 3 years? If none, enter "0".<br>* If you hold multiple FFLs, please only indicate the number of firearms relating to this FFL you are renewing.                                                                                                                                                                                                                          |  | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| 8. Have you conducted or do you intend to conduct any other business from which you receive income?                                                                                                                                                                                                                                                                                                                                      |  | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| <p>to any other responsible person who has the power to direct the management and policies of firearms activities. Answer questions 9 - 19 by checking "yes" or "no" in the boxes to the right of the questions.</p> <p>Are you charged by information as under indictment or any other pending criminal case for which the penalty could be death or life imprisonment for more than one year? An "information" is a formal charge.</p> |  |                                                                     |

**SPEC OPS DEFENSE SYSTEMS LLC**  
SODS  
1500 NEW NATCHITOCHES RD  
WEST MONROE, LA 71292-

|                                                                                                                                                                                                                                                                                                                                                                             |  | Check YES or NO                                                     |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|---------------------------------------------------------------------|
| 1. Have you ever been convicted of a crime involving the use of a firearm?                                                                                                                                                                                                                                                                                                  |  | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |
| 2. Are you a fugitive from justice?                                                                                                                                                                                                                                                                                                                                         |  | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |
| 3. Are you an unlawful user of or addicted to marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?                                                                                                                                                                                                                                     |  | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |
| 4. Have you ever been adjudicated mentally defective (which includes a determination by a court, board, commission or other lawful authority) and you are a danger to yourself or to others or are incompetent to manage your own affairs? Or have you ever been committed to any mental institution?                                                                       |  | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |
| 5. Have you been dishonored from the Armed Forces under dishonorable conditions?                                                                                                                                                                                                                                                                                            |  | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |
| 6. Are you an alien illegally or unlawfully in the United States?                                                                                                                                                                                                                                                                                                           |  | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |
| 7. Have you ever renounced your United States citizenship?                                                                                                                                                                                                                                                                                                                  |  | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |
| 8. Have you been convicted in any court of a misdemeanor crime of domestic violence? This includes any misdemeanor conviction involving the use or attempted use of a firearm, or the use or attempted use of a dangerous weapon, or the use or attempted use of force against a spouse, parent, or child, or a person with whom the applicant has a romantic relationship. |  | <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO |

PRINTED NAME of signature above: William J. Brade Jr. Owner

Telephone no.: (b) (6)

FOR ATE USE ONLY - Application Status

Approved ☒ Abandoned ☐ Withdrawn ☐ Signature of Licensing Official: Tracy Robertson

Reason for Denial: 258

FFL (3310.11) Part II Revised (June 2014)