

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Tarpley, Steven Jay Steve's Gun Shop 2516 Hwy 174 S. Hope, AR 71801, Hempstead	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <p style="text-align: center;">777060-2017-0132-B1B</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 571057019B36485</td> <td style="width: 33%;">4a. TARGET DATE 8/31/2017</td> <td style="width: 33%;">4b. TARGET HOURS 40</td> </tr> </table> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)	3. PERMIT/LICENSE NUMBER 571057019B36485	4a. TARGET DATE 8/31/2017	4b. TARGET HOURS 40
3. PERMIT/LICENSE NUMBER 571057019B36485	4a. TARGET DATE 8/31/2017	4b. TARGET HOURS 40		

6. ATF OFFICER(S) ASSIGNED
 (b) (6) Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
 (b) (6) Area Supervisor, 10/03/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
 Conduct a full DE compliance inspection of this licensee.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	1	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	1	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
 Submitted by (b) (6) Industry Operations Investigator

Submitted on: 01/13/2017
 An announced compliance inspection was conducted on 12/15/2016. The closing conference was conducted on 1/6/2017. The licensee was cited for one violation of the GCA. The licensee submitted ATF Form 3310.11 to report one missing firearm during the inspection. Report of Violation issued. Recommend Warning Letter and No Recall Inspection.

Viols ROV ONLY No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)	
NOV 2016	1.00
DEC 2016	4.00
JAN 2017	13.50
ATF OFFICER'S SUBTOTAL	18.50
TOTAL HOURS	18.50

ATF OFFICER'S SIGNATURE

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
 Inspection concluded on 1/6/17 disclosing one violation. A Warning Letter is warranted due to the missing firearm. The WL was mailed on 1/27/17. No recall is necessary.

Viols WL ONLY and No Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/> CONCUR	<input checked="" type="checkbox"/> SEE COMMENTS	<input checked="" type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b) (6) - Area Supervisor		(b) (6) <small>Digitally signed by (b) (6) DN: c(b) (6), o=New Orleans Field Division of ATF, e=(b) (6), ou=ATF, cn=US Date: 2017.01.27 15:06:00</small>	REVIEW DATE 01/27/2017

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Tarpley, Steven Jay Steve's Gun Shop 2516 Hwy 174 S. Hope, AR 71801, Hempstead	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 777060-2017-0132-B1B		
	3. PERMIT/LICENSE NUMBER 571057019B36485	4a. TARGET DATE 8/31/2017	4b. TARGET HOURS 40
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			

ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in quadruplicate. The original copy and the suspense copy (where required) will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page <u>1</u> of <u>1</u>
Steven Jay Tandy	2516 Hwy 174 S.	Hope	AL	71801	Humphreys	1 Pages
License/Permit/Registry Number (if any)	Expiration Date	Date(s) or Period of Inspection				
5-71-057-01-90-36485	2/1/19	12/17/2015 - 12/17/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1	27 CFR 478.25(e)	Failure to record the disposition information for [redacted] firearms. [redacted] occasion, the licensee failed to record the disposition of a firearm. [redacted] occasion, the licensee could not reconcile the disposition of a firearm.	Licensee will timely record the disposition of all firearms. STOT Cynthia will be contacted to the A&D record and an [redacted] be returned as submitted ATF Form 3310.11 for one missing firearm.	

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Steven Jay Tandy Owner
Senior Melvin Owen Owner

Date 1-6-2017
 Date 1/6/2017

(b) (6)

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Tarpley, Steven Jay Steve's Gun Shop	Street Address 2516 Hwy 174 S.	City Hope	State AR	Zip Code 71801-	County Hempstead	Page 1 of 1 Pages
License/Permit/Registry Number <i>(If any)</i> 571057019B36485	Expiration Date 2/1/2019	Date(s) or Period of Inspection 12/15/2016 through 01/06/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to record the disposition information for three firearms. (b) (5) - PUBLIC occasions, the licensee failed to record the disposition of a firearm. (b) (5) - PUBLIC occasion, the licensee could not reconcile the disposition of a firearm.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

The licensee will timely record the disposition of all firearms. SIO (b) (6) verified the corrections to the A&D Record and assisted the licensee in submitting ATF Form 3310.11 for one missing firearm.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

425 West Capitol Avenue, Suite 775
Little Rock, Arkansas 72201

www.atf.gov

January 27, 2017

777060 (b) (6)
5300

CERTIFIED MAIL

Mr. Steven Tarpley
Steve's Gun Shop
2516 Highway 174 South
Hope, Arkansas 71801

Federal Firearms License 5-71-057-01-9B-36485

Dear Mr. Tarpley:

During a recent compliance inspection at your firearms business covering the period of December 15, 2015, until December 15, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Tarpley, Steven Jay

Should you have any questions regarding this matter, please contact Investigator (b) (6)
at (b) (6)

Sincerely yours,

(b) (6)

Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center
Little Rock II Field Office