U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
Rely A Pawn, LLC 3500 East Broadway Ste B West Memphis,AR 72301, Crittenden	777060-2017-0069-B1B		
	3. PERMIT/LICENSE NUMBER	4a. TARGET DATE	4b. TARGET HOURS
	571035027J02071	6/30/2017	80
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b) (6) - Assigned Investigator
(b) (6) - Lead Investigator
(b) (6) - Assigned Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b) (6) Area Supervisor, 10/03/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
Inspection in FY15 resulted in a WC. Conduct a full recall inspection.

9. INSPECTION RESULTS		☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	4	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	114	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b) (6) - Industry Operations Investigator

Submitted on: 02/06/2017

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)		
DEC 2016	17.00	
ATF OFFICER'S SUBTOTAL	17.00	ATF OFFICER'S SIGNATURE
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)		
DEC 2016	33.50	
JAN 2017	29.50	
FEB 2017	8.50	
ATF OFFICER'S SUBTOTAL	71.50	ATF OFFICER'S SIGNATURE
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)		
DEC 2016	17.00	
ATF OFFICER'S SUBTOTAL	17.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	105.50	

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Rely A Pawn, LLC 3500 East Broadway Ste B West Memphis,AR 72301, Crittenden	777060-2017-0069-B1B		
	3. PERMIT/LICENSE NUMBER 571035027J02071	4a. TARGET DATE 6/30/2017	4b. TARGET HOURS 80
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Inspection concluded on 2/2/17 disclosing four violations. A second WC could be warranted due to the missing firearm (WL in 2016); however, the licensee resolved all of the violations that resulted in a WC in 2016 and reduced their other errors. A Warning Letter with no Recall inspection should be sufficient. The WL was mailed on 2/20/17.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☒ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE		REVIEW DATE
(b) (6) - Area Supervisor	(b) (6)	02/28/2017

ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	Page 1 of 2 Pages
Rely A Pawn, LLC	3500 East Broadway Ste B	West Memphis	AR	72301-	Crittenden	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
571035027J02071	9/1/2017	12/14/2016 through 12/15/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to maintain an accurate and/or timely Acquisition & Disposition (A&D) record. (b) (3) - Pub [redacted] instances, transferred firearms were not logged out of the A&D record. (b) (3) - Public La [redacted] stance, a firearm could not be found and was reported missing.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* The Acquisition & Disposition (A&D) record shall be maintained accurately and all entries timely-made. The manufacturer, model, serial number, caliber/gauge, type of firearm, date of acquisition, from whom acquired, date of disposition, and to whom disposed shall be accurate and complete.

Citation: 27 CFR 478.125(e)

Number: 2

Nature of Violation:

Failure to obtain and maintain a complete and accurate ATF Form 4473 on two ATF Forms 4473 (b) (3) - Public La [redacted] nstance. the county of the transferee was blank (#2). (b) (3) - Public Law [redacted] tance, certification 11L was blank.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* The ATF Form 4473 must be accurate and complete. Section A (and Section C if necessary) must be fully completed accurately by the transferee (customer). Sections B and D must be fully completed accurately by the transferor (licensee or clerk).

Citation: 27 CFR 478.124(c)(1)

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Name of Proprietor	Street Address	City	State	Zip Code	County	Page 2 of 2 Pages
Rely A Pawn, LLC	3500 East Broadway Ste B	West Memphis	AR	72301-	Crittenden	

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
571035027J02071	9/1/2017	12/14/2016 through 12/15/2016

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

Failure to complete the ATF Form 4473 or have it completed according to the subheadings and instructions. [redacted] instances, the recertification signature and date were omitted. [redacted] instance, the type of firearm to be transferred (#18) was blank.

Citation: 27 CFR 478.~~124(a)~~ 21(a) (b) (6) 2/14/17

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* The ATF Form 4473 must be completed accurately according to the instructions and subheadings.

Number: 4

Nature of Violation:

Failure to accurately record information concerning the NICS background check. [redacted] instances, the final response from NICS (#21d) was omitted.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* All information regarding contact with NICS must be accurately and completely recorded on the ATF Form 4473. The date of initial contact, the NICS transaction number, the initial response from NICS, the final response from NICS (if applicable) and the final resolution after the firearm was transferred (if applicable) must be accurately completed.

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*	Date
(b) (6) member	Date 2/2/17
For O... (b) (6) Senior Investigator	ATF E-Form 5030.5 Revised April 2004 2-22-17

(b) (6)
October 9 2018 Document Production

U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
425 West Capitol Avenue, Suite 775
Little Rock, Arkansas 72201

www.atf.gov

February 28, 2017

777060 (b) (6)
5300

CERTIFIED MAIL

Ms. Cynthia Pearcy
Member
Rely A Pawn, LLC
3500 East Broadway, Suite B
West Memphis, Arkansas 72301

Federal Firearms License 5-71-035-02-7J-02071

Dear Ms. Pearcy:

During a recent compliance inspection at your firearms business covering the period of December 14, 2015, until December 13, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Rely A Pawn, LLC

Should you have any questions regarding this matter, please contact Investigato(b) (6)t (b) (6)

Sincerely yours,

(b) (6)

Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center
Little Rock II Field Office