

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)  Smith Tactical, LLC 103 Brookmoor Ln. Hot Springs, AR 71913, Garland		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 777060-2016-0320-B1B	
		3. PERMIT/LICENSE NUMBER 571051019B02636	4a. TARGET DATE 8/31/2016
		4b. TARGET HOURS 40	
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)			
6. ATF OFFICER(S) ASSIGNED (b) (6) Lead Investigator			
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b) (6) , Area Supervisor, 05/31/2016			
8. PURPOSE/SPECIAL INSTRUCTIONS Conduct a full DE inspection of this licensee.			
9. INSPECTION RESULTS		10. TRAVEL EXPENSES (OPTIONAL)	
<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC			
NO. OF VIOLATIONS	1	NO. OF REFERRALS	2111 - PER DIEM
NO. OF TECS CHECKS	2	NO. OF TECS HITS	2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES	2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS	2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b) (6) - Investigator  Submitted on: 11/14/2016  Violts WL ONLY and No Recall Inspection			
12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)			
JUL 2016		4.00	
AUG 2016		4.00	
SEP 2016		4.00	
OCT 2016		4.00	
NOV 2016		26.00	
ATF OFFICER'S SUBTOTAL		42.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS		42.00	
13. REVIEW AND ROUTING			
REVIEW COMMENTS AND RECOMMENDATION Inspection on 10/27/16 disclosed (b) (3) unreported multiple sale involving (b) (3) handguns. A Warning Letter was mailed on 11/18/16. No recall is necessary.  Street name should be corrected in FLS to: 'Brookmoor Ln'.  Violts WL ONLY and No Recall Inspection			
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input checked="" type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION			
SIGNATURE AND TITLE (b) (6) - Area Supervisor		REVIEW DATE 11/18/2016	

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ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_  
☐ 2. \_\_\_\_\_  
☐ 3. \_\_\_\_\_  
☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_



## Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Smith Tactical, LLC	Street Address 103 Brookemoor Ln	City Hot Springs	State AR	ZIP Code 71913	County Garland	Page <u>1</u> of <u>1</u> Pages
License/Permit/Registry Number (if any) 5-71-051-01-9B-02636		Expiration Date 02/01/19	Date(s) or Period of Inspection 27 Oct 2016			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1	27CFR478.126a	Failure to report the sale or other disposition of two pistols during any five consecutive business days to an unlicensed person.	File ATF Form 3310.4 as required.	10.27/16

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title of ATF Officer

(b) (6)

Owner  
ATF INVESTIGATOR

Date  
11-8-16

Date  
11-8-16

Copy 1 - Proprietor's Copy

ATF E-Form 5030.5  
Revised April 2005





U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

425 West Capitol Avenue, Suite 775  
Little Rock, Arkansas 72201

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[www.atf.gov](http://www.atf.gov)

November 18, 2016

777060(b) (6)  
5300

CERTIFIED MAIL

Mr. Lucian Smith  
Managing Member  
Smith Tactical, LLC  
103 Brookmoor Lane  
Hot Springs, Arkansas 71913

*Federal Firearms License 5-71-051-01-9B-02636*

Dear Mr. Smith:

During a recent compliance inspection at your firearms business covering the period of October 27, 2015, until October 27, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Smith Tactical, LLC

Should you have any questions regarding this matter, please contact Investigator (b) (6) at (b) (6)

Sincerely yours,

(b) (6)

Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center  
Little Rock II Field Office