

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Strain, Alan Brian Ridge Runner Arms 712 CR 1034 Clarkridge, AR 72623, Baxter		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 777060-2016-0146-B1B		
		3. PERMIT/LICENSE NUMBER 571005028A02240	4a. TARGET DATE 10/31/2016	4b. TARGET HOURS 40
5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				
6. ATF OFFICER(S) ASSIGNED				
(b) (6) Assigned Investigator				
(b) (6) - Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)				
(b) (6) Area Supervisor, 12/08/2015				
8. PURPOSE/SPECIAL INSTRUCTIONS				
Recall inspection in FY14 resulted in a DIO WC. Conduct a full recall inspection after 11/21/15.				
9. INSPECTION RESULTS ☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC				
NO. OF VIOLATIONS	2	NO. OF REFERRALS		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF TECS CHECKS	1	NO. OF TECS HITS		2111 - PER DIEM
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2112 - P.O.A.
		\$ VALUE OF TAX DECREASES		2113 - COMM. AIR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2114 - RENTAL CAR
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2115 - GPV EXPENSES
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		2116 - MISC.
				TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION				
Submitted by (b) (6) - Industry Operations Investigator				
Submitted on: 12/01/2016				
Violts ROV ONLY No Recall Inspection				
12. TIME ACCOUNTING DATA				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)				
JUN 2016 8.00				
OCT 2016 3.00				
ATF OFFICER'S SUBTOTAL		11.00	ATF OFFICER'S SIGNATURE	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b) (6)				
MAY 2016 2.00				
JUN 2016 9.00				
SEP 2016 1.00				
OCT 2016 58.00				
NOV 2016 28.00				
DEC 2016 3.00				
ATF OFFICER'S SUBTOTAL		101.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS		112.00		

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Onsite inspection concluded on 10/6/16; the Report of Violations was signed by the licensee on 11/30/16.

(b) (3) - PUBLIC LAW disposition errors (150% error rate) would warrant a second Warning Letter which would normally necessitate a Warning Conference; however, the licensee has significantly improved his compliance over the past three inspections. A final Warning Letter should be sufficient with no recall.

The Warning Letter was mailed on 12/20/16. No recall is necessary.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☐ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b) (6) - Area Supervisor

(b) (6)

Digital Signature (b) (6)
Date on (b) (6)
Division (b) (6)
email (b) (6)
Date (b) (6)

REVIEW DATE

12/20/2016

REVIEW COMMENTS AND RECOMMENDATION

SEE PII DATED 12/20/16 MDWREN

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☐ CONCUR

☒ SEE COMMENTS

☐ FINAL DISPOSITION

SIGNATURE AND TITLE

MDWREN - DIO

REVIEW DATE

12/20/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Strain, Alan Brian Ridge Runner Arms	Street Address 712 CR 1034	City Clarkridge	State AR	Zip Code 72623-	County Baxter	Page 1 of 1 Pages
License/Permit/Registry Number (If any) 571005028A02240	Expiration Date 1/1/2018	Date(s) or Period of Inspection 10/06/2015 through 10/05/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to maintain an accurate and up to date acquisition and disposition book. In instances, the licensee failed to record the disposition entry for firearms that had been transferred.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee made the corrective disposition entries in the book during the inspection.

Number: 2

Nature of Violation:

(b) (3) - (26 USC § 6103)

27 CFR 479.48

Date Corrections to be Made:

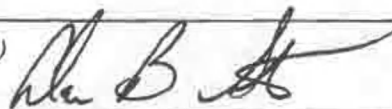
(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

(b) (3) - (26 USC § 6103)

I have Received a Copy of This Report of Violations (Proprietor's signature and title)



Date

11-30-16

Signature and Title of ATF Officer

(b) (6)

DN: c (b) (6) Little Rock Area Office,
ou=Bureau of Alcohol, Tobacco, Firearms and
Explosives, email=(b) (6), cn=US
Date: 2016.12.20.10:15:53-0600
Public Access DC version: 2015.026.20099

Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

425 West Capitol Avenue, Suite 775
Little Rock, Arkansas 72201

www.atf.gov

December 20, 2016

777060 (b) (6)
5300

CERTIFIED MAIL

Mr. Alan Strain
Ridge Runner Arms
712 County Road 1034
Clarkridge, Arkansas 72623

Federal Firearms License 5-71-005-02-8A-02240

Dear Mr. Strain:

During a recent compliance inspection at your firearms business covering the period of October 6, 2015, until October 5, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal Firearms License is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Strain, Alan Brian

Should you have any questions regarding this matter, please contact Investigator (b) (6) t
(b) (6)

Sincerely yours,

(b) (6)

Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center
Little Rock II Field Office