

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) SCALI, PAUL T PAULIES PISTOLS 2401 INDEPENDENCE ST NEW ORLEANS, LA 70117, ORLEANS	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 777040-2017-0191-B1B		
	3. PERMIT/LICENSE NUMBER 572071018K03946	4a. TARGET DATE 3/31/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 01/03/2017

8. PURPOSE/SPECIAL INSTRUCTIONS
CONDUCT ONSITE FIREARMS DISPOSITION EMPHASIS (DE) INSPECTION PER GUIDELINES SET FORTH IN IOI MANUAL. SEE REFERRAL FROM CE REGARDING RECORDKEEPING ISSUES.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	5	NO. OF REFERRALS	2111 - PER DIEM	
NO. OF TECS CHECKS	3	NO. OF TECS HITS	2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES	2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS	2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator
Submitted on: 04/14/2017

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)
MAR 2017	29.00
ATF OFFICER'S SUBTOTAL	29.00
ATF OFFICER'S SIGNATURE	
TOTAL HOURS	29.00

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and No Recall Inspection

<input checked="" type="checkbox"/> REVIEWED	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/> FINAL DISPOSITION
SIGNATURE AND TITLE (b)(6) - Area Supervisor	(b)(6)	REVIEW DATE 04/18/2017

ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor SCALI, PAUL T Paulies Pistols	Street Address 2401 INDEPENDENCE ST	City NEW ORLEANS	State LA	Zip Code 70117-	County ORLEANS	Page 1 of 4 Pages
License/Permit/Registry Number (If any) 572071018K03946		Expiration Date 10/1/2018	Date(s) or Period of Inspection 03/21/2016 through 03/21/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to accurately maintain the Acquisition and Disposition Record as follows in (b)(3) (1)(2) Public instances:
(b)(3) (1)(2) Public firearm was not recorded as an acquisition prior to the inspection;
(b)(3) (1)(2) Public firearms were not logged out prior to the inspection; and
(b)(3) (1)(2) Public firearm was entered twice resulting in a duplicate entry.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Licensee was instructed to timely record all acquisition and dispositions in future instances. The discrepancies were corrected prior to the close of the inspection.
(If not corrected immediately)

Citation: 27 CFR 478.125(e)

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License/Permit/Registry Number (If any) 572071018K03946	Expiration Date 10/1/2018	Date(s) or Period of Inspection 03/21/2016 through 03/21/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

Failure to obtain / furnish the information required on ATF Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form. (b)(3) 112 Public Law 55 ATF Forms 4473 contained a total of (b)(3) omissions / errors:

- Item 11a question regarding "Actual Buyer" was answered "No" in (b)(3) 112 Public instance;
- Item 18 "Type of Handgun Transferred" was incorrect in (b)(3) 112 Public instances;
- Item 24 "Recertification Signature" was blank in (b)(3) 112 Public instances;
- Item 25 "Recertification Date" was blank in (b)(3) 112 Public instances;
- Item 30a "Total Number of Firearms" was blank in (b)(3) 112 Public instances;
- Item 31 "FFL Name" was blank in (b)(3) 112 Public instances; and
- Item 32 "FFL Number" was blank in (b)(3) 112 Public instances;

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately) Licensee was instructed to obtain complete and accurate information on the ATF Form 4473 in future instances.

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Name of Proprietor SCALI, PAUL T Paulies Pistols	Street Address 2401 INDEPENDENCE ST	City NEW ORLEANS	State LA	Zip Code 70117-	County ORLEANS	Page 3 of 4 Pages
License/Permit/Registry Number (If any) 572071018K03946	Expiration Date 10/1/2018	Date(s) or Period of Inspection 03/21/2016 through 03/21/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

Failure to properly obtain a completed ATF Form 4473 prior to the disposition of a firearm on (b)(3) forms for a total of (b)(3) errors / omissions:

2012 Edition

- Item 2, county was blank in (b)(3) 112 Public instances and incorrect in (b)(3) 112 Public instances. (and)
- Item 3, Place of Birth was blank in (b)(3) 112 Public instance
- Item 4, Height was blank in (b)(3) 112 Public instance;
- Item 5, Weight was blank in (b)(3) 112 Public instance;
- Item 6, Gender was blank in (b)(3) 112 Public instance;
- Item 7, Birth Date was blank in (b)(3) 112 Public instance;
- Item 10b, "Race" was blank in (b)(3) 112 Public instance;
- Item 12, regarding nonimmigrant status was incorrect on (b)(3) 112 Public forms;

2016 Edition

- Item 12d.2 follow-up question to non-immigrant question was incorrect in (b)(3) 112 Public instances

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee was instructed to obtain complete and accurate information on the ATF Form 4473 in future instances. The buyer who did not answer questions 3 through 7 above was not prohibited from possessing a firearm.

Report of Violations

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License/Permit/Registry Number (If any) 572071018K03946		Expiration Date 10/1/2018	Date(s) or Period of Inspection 03/21/2016 through 03/21/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

Failure to complete required information in the NICS section of the ATF Form 4473 on (b)(3) 112 Public forms:

•Item 21d, the follow-up answer after a "delayed" response was incorrect on (b)(3) 112 Public forms.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Licensee was instructed to record complete and accurate NICS responses on the ATF Form 4473 in future instances.
(If not corrected immediately)

Citation: 27 CFR 478.124(c)(3)(iv)

Number: 5

Nature of Violation:

Failure to record transfer information on the ATF Form 4473. (b)(3) 112 Public ATF Forms 4473 contained a total of (b)(3) 112 Public omissions:

•Item 34, Transferor's/Seller's Signature, was blank on (b)(3) 112 Public instance;
•Item 36, Transfer Date was blank in (b)(3) 112 Public instances.

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: Licensee was instructed to record all required information on the ATF Form 4473 in future instances.
(If not corrected immediately)

Citation: 27 CFR 478.124(c)(5)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Paul Scali

Signature and Title of ATF Officer

(b)(6)

Investigator

Date
3-23-2017

Date
3-23-2017



U.S. Department of Justice
Bureau of Alcohol, Tobacco,
Firearms and Explosives
One Galleria Blvd., Suite 1700
Metairie, LA 70001

www.atf.gov

April 17, 2017

777040(b)(6)
5373

CERTIFIED MAIL – RETURN RECEIPT REQUIRED

Scali, Paul T.
Paulies Pistols
2401 Independence Street
New Orleans, LA 70117
5-72-071-01-8K-03946

Dear Mr. Scali,

During a recent compliance inspection at your firearms business covering the period of March 21, 2016 through March 21, 2017, you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF Form 5030.5, issued at time the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator(s). You indicated that you understood the requirements of the Federal firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Scali, Paul T.
Paulies Pistols

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6) (b)(6).

Sincerely yours

(b)(6)

Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center
New Orleans III (IO) Field Office