

## Assignment and Report

<b>1. OPERATING NAME AND ADDRESS</b> (INCLUDE ZIP CODE AND COUNTY)  Parlier, James G Jims Gun Shop 899 Watauga Rd Hwy 400 Watauga, TN 37694, CARTER	<b>2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)</b> <p style="text-align: center;">776070-2015-0267-B1B</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;"><b>3. PERMIT/LICENSE NUMBER</b> 162019018F20735</td> <td style="width: 33%;"><b>4a. TARGET DATE</b> 7/29/2016</td> <td style="width: 33%;"><b>4b. TARGET HOURS</b></td> </tr> </table> <b>5. REQUESTED BY (SIGNATURE, TITLE AND DATE)</b>	<b>3. PERMIT/LICENSE NUMBER</b> 162019018F20735	<b>4a. TARGET DATE</b> 7/29/2016	<b>4b. TARGET HOURS</b>
<b>3. PERMIT/LICENSE NUMBER</b> 162019018F20735	<b>4a. TARGET DATE</b> 7/29/2016	<b>4b. TARGET HOURS</b>		

**6. ATF OFFICER(S) ASSIGNED**  
 (b) (6) - Lead Investigator

**7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)**  
 , Area Supervisor, 07/31/2015

**8. PURPOSE/SPECIAL INSTRUCTIONS**  
 Perform full compliance FFL follow-up inspection.

<b>9. INSPECTION RESULTS</b>		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	<b>10. TRAVEL EXPENSES (OPTIONAL)</b>	
NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.

**11. ATF OFFICER'S RECOMMENDATION**  
 Submitted by (b) (6) - Industry Operations Investigator  
  
 Submitted on: 09/11/2015  
 Recommend warning letter with no recall inspection.  
  
 Viols WL ONLY and No Recall Inspection

<b>12. TIME ACCOUNTING DATA</b>	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b) (6)
AUG 2015	59.00
SEP 2015	3.00
ATF OFFICER'S SUBTOTAL	62.00
TOTAL HOURS	62.00
ATF OFFICER'S SIGNATURE	

**13. REVIEW AND ROUTING**

**REVIEW COMMENTS AND RECOMMENDATION**  
 Parlier, James G  
 1-62-019-01-8F-20735  
 (b) (6)  
 8/5/15

Six violations cited concur with teh findings of this investigation and the recommendation.  
 Viols WL ONLY and No Recall Inspection

REVIEWED     
  CONCUR     
  SEE COMMENTS     
  FINAL DISPOSITION

<b>SIGNATURE AND TITLE</b> (b) (6) - Program Manager	<b>REVIEW DATE</b> 09/03/2015
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# Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Parlier, James G Jims Gun Shop 899 Watauga Rd Hwy 400 Watauga, TN 37694, CARTER	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 776070-2015-0267-B1B		
	3. PERMIT/LICENSE NUMBER 162019018F20735	4a. TARGET DATE 7/29/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- 1. \_\_\_\_\_
- 2. \_\_\_\_\_
- 3. \_\_\_\_\_
- 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

# Report of Violations

### Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Parlier, James G Jims Gun Shop	Street Address 899 Watauga Rd Hwy 400	City Watauga	State TN	Zip Code 37694-	County CARTER	Page 1 of 3 Pages
License/Permit/Registry Number <i>(If any)</i> 162019012F20735	Expiration Date 6/1/2018	Date(s) or Period of Inspection 08/01/2014 through 08/04/2015				

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 1

**Nature of Violation:**

Failure of the licensee to furnish information on the ATF F 4473 Section A as indicated by the headings and instructions. [REDACTED] instances, Item 10a Ethnicity was left blank. [REDACTED]

**(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)**

**Citation:** 27 CFR 478.21(a)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee shall ensure all required information is provided in accordance with the instructions and headings on or pertaining to the ATF F 4473.

**Number:** 2

**Nature of Violation:**

Failure of the licensee to obtain a properly completed ATF F 4473 Section A from the transferee [REDACTED] Forms. Item 1 "Name-Middle Name" was left blank [REDACTED] Item 13 "What is your State of Residence", and Item 14 "What is your country of citizenship" was left blank on [REDACTED] forms. [REDACTED]

**(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)**

**Citation:** 27 CFR 478.124(c)(1)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee shall obtain a properly completed ATF F 4473 from the transferee prior to transferring a firearm.

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License/Permit/Registry Number <i>(If any)</i> 162019012F20735		Expiration Date 6/1/2018	Date(s) or Period of Inspection 08/01/2014 through 08/04/2015			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 3

**Nature of Violation:**

Failure of the licensee to verify the identity of the transferee by examining the identification document presented and recording the required information. O [Redacted] TF F 4473, Item 20a Identification was incomplete. [Redacted]

**Citation:** 27 CFR 478.124(c)(3)(i)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee will verify the identity of the transferee and record the information on the ATF F 4473 as required.

**Number:** 4

**Nature of Violation:**

Failure of the licensee to record on the ATF F 4473 Section D the required information to identify the firearm to be transferred [Redacted] form. Item 30 Caliber was left blank [Redacted]

**Citation:** 27 CFR 478.124(c)(4)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee shall record all information required by regulations to identify the firearm on the ATF F 4473.

**Number:** 5

**Nature of Violation:**

Failure of licensee to post current Federal Firearms License.

**Citation:** 27 CFR 478.91

**Date Corrections to be Made:** 08/07/2015

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

The licensee will post a copy of their Federal Firearms License on the premises.

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Name of Proprietor Parlier, James G Jims Gun Shop	Street Address 899 Watauga Rd Hwy 400	City Watauga	State TN	Zip Code 37694-	County CARTER	Page 3 of 3 Pages
License/Permit/Registry Number <i>(If any)</i> 162019012F20735		Expiration Date 6/1/2018	Date(s) or Period of Inspection 08/01/2014 through 08/04/2015			

### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 6

**Nature of Violation:**

Failure of licensee to post the Youth Handgun Safety Act Poster on the premises.

**Citation:** 27 CFR 478.103(d)

**Date Corrections to be Made:** 08/07/2015

*(If not corrected immediately)*

**Corrective Action to be Taken:** Licensee will post the Youth Handgun Safety Act Poster at all times on the premises.

*(If not corrected immediately)*

**Number:** 7

**Nature of Violation:**

Failure of licensee to record acquisitions and dispositions timely <sup>(b)(3) - Public Law 113</sup> instances, firearms were in inventory but not listed in the A&D Record <sup>(b)(3) - Public Law 113</sup> instances, firearms were not in inventory but were open entries in the A&D record.

**Citation:** 27 CFR 478.125(e)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** Licensee will record all acquisitions and dispositions timely in the A&D record.

*(If not corrected immediately)*

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

*Nashville IV Area Office*

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*5300 Maryland Way, Suite 200  
Brentwood, TN 37027*

[www.atf.gov](http://www.atf.gov)

September 14, 2015

776070 (b) (6)  
5300

James Parlier  
Jim's Gun Shop  
899 Watauga Rd  
Watauga, TN 37694

RE: *FFL #1-62-019-01-8F-20735*

Dear Mr. Parlier:

During a recent compliance inspection at your firearms business covering the period of August 1, 2014, to August 1, 2015, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

James Parlier  
Jim's Gun Shop

Should you have any questions regarding this matter, please contact Investigator (b) (6) at

(b) (6)

[Redacted]

[Redacted]

Sincerely yours,

(b) (6)  
Area Supervisor

Enclosure

c: Federal Firearms Licensing Center  
Nashville IV Area Office