

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Gallatin Pawn & Gun Inc 330 South Water Ave Gallatin, TN 37066, Sumner	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) <p style="text-align: center;">776055-2017-0199-B1B</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 162165029C04425</td> <td style="width: 33%;">4a. TARGET DATE</td> <td style="width: 33%;">4b. TARGET HOURS</td> </tr> </table> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)	3. PERMIT/LICENSE NUMBER 162165029C04425	4a. TARGET DATE	4b. TARGET HOURS
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6. ATF OFFICER(S) ASSIGNED
 (b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
 (b)(6) , Area Supervisor, 03/10/2017

8. PURPOSE/SPECIAL INSTRUCTIONS

9. INSPECTION RESULTS			<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	18	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
 Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 06/30/2017
 Due to the store's history of changing employees, lack of shareholders/responsible person minimal involvement and the little to no training given to new employees, a recall inspection is recommended.

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA		
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
MAR 2017	47.00	
APR 2017	37.50	
MAY 2017	51.00	
JUN 2017	31.00	
ATF OFFICER'S SUBTOTAL	166.50	ATF OFFICER'S SIGNATURE
TOTAL HOURS	166.50	

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
 The licensee failed in 6.3% of the time to complete or provide accurate information in the certification section. No recall recommended.

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE <p style="text-align: center;">06/30/2017</p>
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	3. PERMIT/LICENSE NUMBER 162165029C04425	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Gallatin Pawn & Gun Inc	Street Address 330 South Water Ave	City Gallatin	State TN	Zip Code 37066-	County Sumner	Page 1 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 162165029C04425	Expiration Date 3/1/2019	Date(s) or Period of Inspection 03/22/2017 through 05/01/2017				

Inspection Results

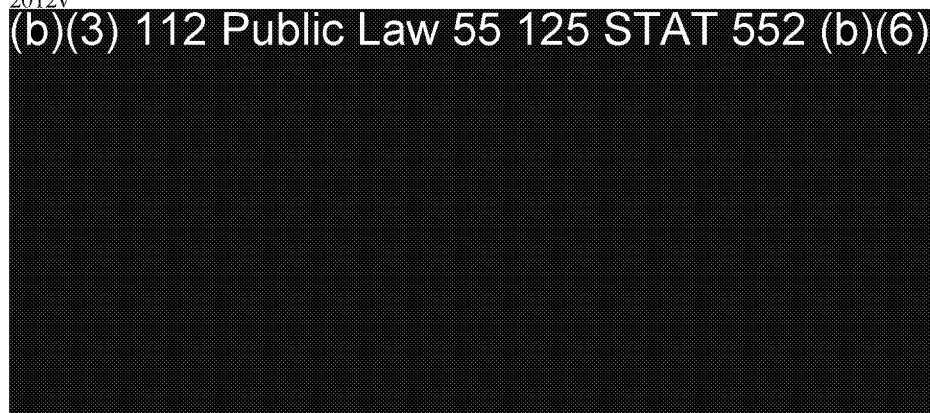
An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

In ^{DYS} instances and ^{DYS} forms (2012 version), the licensee failed to ensure the transferee provided complete or correct information to blocks numbered 1 through 17 in Section A of ATF Form 4473.

2012v
(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



AND
 In ^{DYS} instances and ^{DYS} forms (2016 version), the licensee failed to ensure the transferee provided complete or correct information to blocks numbered 1 through 17 in Section A of ATF

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

During the inspection, remedial training was given to the licensee and/or employees regarding the proper completion of ATF Form 4473. The licensee was directed to ensure all future customers fully and correctly complete Section A of this form.

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Name of Proprietor Gallatin Pawn & Gun Inc	Street Address 330 South Water Ave	City Gallatin	State TN	Zip Code 37066-	County Sumner	Page 2 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 162165029C04425	Expiration Date 3/1/2019	Date(s) or Period of Inspection 03/22/2017 through 05/01/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Form 4473.

2016v

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



Citation: 27 CFR 478.124(c)(1)

Report of Violations

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Name of Proprietor Gallatin Pawn & Gun Inc	Street Address 330 South Water Ave	City Gallatin	State TN	Zip Code 37066-	County Sumner	Page 3 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 162165029C04425		Expiration Date 3/1/2019	Date(s) or Period of Inspection 03/22/2017 through 05/01/2017			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

In ^{(b)(3) 112 F} instances and on ^{(b)(3) 112 F} forms (2012 and 2016 versions), the licensee failed to ensure the transferee correctly certified block 11a in Section A, (Are you the actual buyer of the firearm?), block 10a, (Ethnicity) and block 29 in Section D, (Total Number of Firearms Transferred) of ATF Form 4473:

2012v
^{(b)(3) 112 F} (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

2016v
^{(b)(3) 112 F} (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the compliance inspection, remedial training was given to the licensee and/or employees regarding the proper completion of ATF Form 4473. The licensee was directed to ensure all future customers correctly complete questions in Section A of this form.

Number: 3

Nature of Violation:

In ^{(b)(3) 112 F} instance ^{(b)(3) 112 F} form (2012 version), the licensee failed to record the transferee's identification's expiration date in item 20a in Section B of ATF Form 4473:

2012v
^{(b)(3) 112 F} (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, remedial training was given to the licensee regarding the proper completion of ATF Form 4473.

Report of Violations

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Name of Proprietor Gallatin Pawn & Gun Inc	Street Address 330 South Water Ave	City Gallatin	State TN	Zip Code 37066-	County Sumner	Page 4 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 162165029C04425	Expiration Date 3/1/2019	Date(s) or Period of Inspection 03/22/2017 through 05/01/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(3)(i)

Number: 4

Nature of Violation:

On instance (b)(3) 112 Public Law 55-1 (2016 version), the licensee failed to properly record required TICS transaction information in Section B, Block 19d, of ATF Form 4473:

2016v

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, remedial training was given to all of the licensee's employees regarding the proper completion of ATF Form 4473. The licensee was directed to ensure all required TICS transaction information is documented in Section B of ATF Form 4473, on all future transactions.

Citation: 27 CFR 478.124(c)(3)(iv)

Report of Violations

Instructions

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Name of Proprietor Gallatin Pawn & Gun Inc	Street Address 330 South Water Ave	City Gallatin	State TN	Zip Code 37066-	County Sumner	Page 5 of 6 Pages
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

The licensee failed, on (b)(3) 112 forms (2012 version and 2016 version) involving (b)(3) 112 handguns, to complete and submit ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, when the transferee received more than one handgun within five business days within the inspection dates:

2012v

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

AND

The licensee failed, on (b)(3) 112 forms (2012 version) involving (b)(3) 112 firearms, to timely submit ATF Form 3310.4, Report of Multiple Sale or Other Disposition of Pistols and Revolvers, when the transferee received more than one handgun within five business days outside the inspection dates:

2012v

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, the licensee completed and submitted the required ATF Forms 3310.4 (within and not within the inspection dates). The licensee was directed to ensure this form is completed and submitted not later than the close of business on any future day the multiple sales of handguns occurred.

Report of Violations

Instructions

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Name of Proprietor Gallatin Pawn & Gun Inc	Street Address 330 South Water Ave	City Gallatin	State TN	Zip Code 37066-	County Sumner	Page 6 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 162165029C04425	Expiration Date 3/1/2019	Date(s) or Period of Inspection 03/22/2017 through 05/01/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



Citation: 27 CFR 478.126(a)

Number: 6

Nature of Violation:

The licensee failed, on (b)(3) form (2012v) and (b)(3) instance, to record all required firearm information in blocks 26 thru 30 in Section D of ATF Form 4473. (b)(3) - 112 Public Law 55 125 Stat 552 (b)(3) - 112 Public Law 55 125 Stat 552 (see Violation 5).

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

During the inspection, remedial training was given to the licensee and/or employees regarding the proper completion of ATF Form 4473. The licensee was directed tensure all required firearm information is documented in items 26 thru 30 in Section D of ATF Form 4473, on all future transactions.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
5300 Maryland Way, Suite 200
Brentwood, Tennessee 37027

www.atf.gov

June 30, 2017

776055 (b)(6)
5300

Gallatin Pawn & Gun Inc.
Ronald Harrison, President
330 South Water Ave.
Gallatin, TN 37066

RE: *FFL: #1-62-165-02-9C-04425*

Dear Mr. Harrison:

During a recent compliance inspection at your firearms business covering the period of March 22, 2016 to March 21, 2017, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) industry operations investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise,

Gallatin Pawn & Gun Inc.

could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact Industry Operations Investigator (b)(6).

Sincerely yours,

(b)(6)

Enclosure

cc: Federal Firearms Licensing Center
Nashville II Field Office