

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) HUCKEBY, BOBBY WAYNE JR. & STOCKTON, RANDALL LEE Waynes Custom Automotive, Towing & Recovery 1006 E. MAIN ST. LIVINGSTON, TN 38570, OVERTON	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 776055-2017-0112-B1B 3. PERMIT/LICENSE NUMBER 162133018G04130 <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <tr> <td style="width: 33%;">4a. TARGET DATE</td> <td style="width: 67%;">4b. TARGET HOURS</td> </tr> </table> 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)	4a. TARGET DATE	4b. TARGET HOURS
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6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 10/03/2016

8. PURPOSE/SPECIAL INSTRUCTIONS

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	4	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	10	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b)(6)** - Industry Operations Investigator

Submitted on: 12/29/2016
 Four violations were disclosed during this inspection. It is recommended that the licensee receive a Warning Letter only and a recall inspection in one year.

An Application for an Amended Federal Firearms License (see Exhibit 1), was submitted in 2014, changing the store address and trade name. This change was approved by the former Area Office Supervisor, **(b)(6)**. The changes were approved without an inspection being conducted. The FLS does not reflect the change in trade name which is Waynes Custom Automotive, Towing & Recovery. FLS needs to be updated accordingly, and a corrected license needs to be issued. Additionally, the home phone number for Bobby Wayne Huckeby needs to be updated to **(b)(6)**.

Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)	
OCT 2016	3.00		
DEC 2016	46.00		
ATF OFFICER'S SUBTOTAL	49.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	49.00		

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

This is the licensee's first inspection since being licensed in 2009. The licensee is a low volume dealer. The licensee failed to record the full address or FFL number in acquisition information in the A&D record, which has since been corrected. The licensee has been thoroughly educated. A warning letter with no recall is recommended in lieu of a warning conference.

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 12/29/2016
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5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

The violations cited warrant a warning conference. However, due to the following circumstances, the DIO is recommending an alternate recommendation of Warning Letter and No recall inspection in lieu of a Warning Conference...

This is the licensee's first inspection since opening up for business in 2009. The licensee is a low volume dealer. The licensee failed to record the full address or FFL number in acquisition information in the A&D record, which has since been corrected. The licensee has been thoroughly educated. The IOI believes that this licensee understands the violations and that he will ensure that they do not recur in the future..

Viols WL ONLY and No Recall Inspection

REVIEWED
 CONCUR
 SEE COMMENTS
 FINAL DISPOSITION

SIGNATURE AND TITLE KLBOYDSTON - Director, Industry Operations	REVIEW DATE 04/04/2017
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ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor HUCKEY, BOBBY WAYNE JR. & STOCKTON, RANDALL LEE Waynes Custom Automotive, Towing & Recovery	Street Address 1006 E. MAIN ST.	City LIVINGSTON	State TN	Zip Code 38570-	County OVERTON	Page 1 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 162133018G04130	Expiration Date 7/1/2018	Date(s) or Period of Inspection 12/05/2015 through 12/04/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to properly maintain the Acquisition & Disposition Records. The licensee failed to properly maintain the A&D Record in (b)(3) instances as follows:

Failure to correctly enter all the required acquisition information in approximately (b)(3) instances. The entries were missing complete name and address/FFL, model and type information.

Failure to enter the acquisition of a firearm (b)(3) 112 Public Law 55 125 STAT 552 in (b)(3) instance. The firearm was obtain sometime during 2015 and was never logged in.

Failure to enter all the required disposition information in approximately (b)(3) instances. The entries were missing disposition dates and/or complete address/FFL information.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Corrections were made immediately. Investigator (b)(6) reviewed the Federal Firearms Laws, Regulations and recordkeeping requirements with the licensee.

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 2

Nature of Violation:

The licensee had (b)(3) ATF Forms 4473 on which they failed to use the correct edition of the ATF Forms 4473 as follows:

Failure to conduct transactions on the correct edition of the ATF Form 4473. All transactions (b)(3) were conducted on the 2008 Edition of the form. ATF Forms 4473: ATF Forms 4473: (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Corrections could not be made. IOI (b)(6) instructed the licensee to ensure that they are using the correct edition of the form. Copies of the 2012 Edition were provided.

Report of Violations

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The licensee had (b)(3) ATF Forms 4473 on which they failed to obtain required information in Section A as follows:

Failure to obtain the complete Place of Birth in item #3 - (b)(3) instance. (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Failure to obtain the required State of Residence in item #13 - (b)(3) instances. ATF Forms 4473: (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Failure to obtain the required Country of Citizenship in item #14 - (b)(3) instance. ATF Form 4473: (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Failure to obtain the required Buyer's Signature Date in item #16 - (b)(3) instance. ATF Form 4473: (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Failure to obtain the required Certification Date in item #17 - (b)(3) instance. ATF Form 4473: (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Corrections could not be made. IOI (b)(6) instructed the licensee to review the forms prior to the customer leaving and again after the sale is completed to ensure that they are properly completed.

Report of Violations

Instructions

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License/Permit/Registry Number <i>(If any)</i> 162133018G04130	Expiration Date 7/1/2018	Date(s) or Period of Inspection 12/05/2015 through 12/04/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4

Nature of Violation:

Failure to properly execute ATF Forms 4473 in Section B. The licensee had [REDACTED] ATF Form 4473 on which they failed to enter required information in Section B as follows:

Failure to enter the correct TICS response in item #21c - [REDACTED] instance. ATF Form 4473:
[REDACTED]

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Corrections were made immediately. IOI (b)(6) reviewed the Form 4473, and its proper completion with the licensee.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
5300 Maryland Way, Suite 200
Brentwood, Tennessee 37027

www.atf.gov

776055 (b)(6)
5300

Huckeby, Bobby Wayne Jr. & Stockton, Randall Lee
1006 E Main St.
Livingston, TN 38570

FFL No.: 1-62-133-01-8G-04130

Dear Mr. Huckeby & Mr. Stockton:

During a recent compliance inspection at your firearms business covering the period of December 5, 2015 to December 4, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Huckeby & Stockton

Should you have any questions regarding this matter, please contact Investigator (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

c: Federal Firearms Licensing Center
Nashville Group II