

## Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) HARRIS, LISA GAYLE & HARRIS, JAMES DARRELL LIVINGSTON PAWN SHOP 100 NORTH COURT SQUARE LIVINGSTON, TN 38570, OVERTON		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 776055-2016-0189-B1B		
		3. PERMIT/LICENSE NUMBER 162133029B01661	4a. TARGET DATE	4b. TARGET HOURS
		5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator				
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6) , Area Supervisor, 05/03/2016				
8. PURPOSE/SPECIAL INSTRUCTIONS Conduct DE Inspection				
9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM
NO. OF TECS CHECKS	10	NO. OF TECS HITS		2112 - P.O.A.
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator  Submitted on: 06/03/2016 On June 2, 2016, ATF Investigator (b)(6) initiated a firearms compliance inspection on Lisa and James Harris dba Livingston Pawn Shop (LPS). The inspection covered the period of June 2, 2015 through June 1, 2016; however, all A&D Records were reviewed from the beginning of the business (2004). Two violations were disclosed during this inspection. A closing conference was conducted on June 2, 2016. It is recommended that the licensee receive a Warning Letter only without a recall inspection.  Viols WL ONLY and No Recall Inspection				
<b>12. TIME ACCOUNTING DATA</b>				
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)				
MAY 2016		1.00		
JUN 2016		26.00		
ATF OFFICER'S SUBTOTAL		27.00		ATF OFFICER'S SIGNATURE
TOTAL HOURS		27.00		
<b>13. REVIEW AND ROUTING</b>				
REVIEW COMMENTS AND RECOMMENDATION Viols WL ONLY and No Recall Inspection				
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input checked="" type="checkbox"/> SEE COMMENTS <input checked="" type="checkbox"/> FINAL DISPOSITION				
SIGNATURE AND TITLE (b)(6) - Area Supervisor				REVIEW DATE 06/03/2016

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ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_  
☐ 2. \_\_\_\_\_  
☐ 3. \_\_\_\_\_  
☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor HARRIS, LISA GAYLE & HARRIS, JAMES DARRELL LIVINGSTON PAWN SHOP	Street Address 100 NORTH COURT SQUARE	City LIVINGSTON	State TN	Zip Code 38570-	County OVERTON	Page 1 of 1 Pages
License/Permit/Registry Number (If any) 162133029B01661		Expiration Date 2/1/2019	Date(s) or Period of Inspection 06/02/2015 through 06/01/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

<b>Number:</b> 1	<b>Date Corrections to be Made:</b> (If not corrected immediately)
<b>Nature of Violation:</b> Failure to record the Disposition information of firearms into the A & D Records in instances as follows:  Failure to record the Disposition of firearms. Gun #'s <b>Citation:</b> 27 CFR 478.125(c)	<b>Corrective Action to be Taken:</b> Corrections were made immediately. IOI gave instruction to the licensee on the proper completion of the A&D Records. (If not corrected immediately)
<b>Number:</b> 2	<b>Date Corrections to be Made:</b> (If not corrected immediately)
<b>Nature of Violation:</b> Failure to properly execute ATF Forms 4473 in Section B. The licensee had ATF Form 4473 on which they failed to enter required information in Section B as follows:  Failure to accurately enter the TICS transaction date in item #21a - instances. ATF Forms <b>Citation:</b> 27 CFR 478.124(c)(3)(iv)	<b>Corrective Action to be Taken:</b> Corrections were made immediately. IOI reviewed the Form 4473, and its proper completion with the licensee. (If not corrected immediately)

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)  L & P owner	Date 6-2-16
Signature and Title of ATF Officer (b)(6) Senior Investigator	Date 6-2-16

For Official Use Only December 10 90 ATF B-Form 5030.5 Revised April 2005



**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives  
5300 Maryland Way, Suite 200  
Brentwood, Tennessee 37027

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[www.atf.gov](http://www.atf.gov)

June 3, 2016

776055(b)(6)  
5300

Lisa and James Harris  
d/b/a Livingston Pawn Shop  
100 North Court Square  
Livingston, TN 38570

RE: *FFL #1-62-133-02-9B-01661*

Dear Mr. and Ms. Harris:

During a recent compliance inspection at your firearms business covering the period of June 2, 2015, through June 1, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Lisa and James Harris  
d/b/a Livingston Pawn Shop

Should you have any questions regarding this matter, please contact Investigator (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

c: Federal Firearms Licensing Center  
Nashville II Field Office