

## Assignment and Report

<b>1. OPERATING NAME AND ADDRESS</b> (INCLUDE ZIP CODE AND COUNTY) Greene, Ronald Edward REG Gunsmithing 214 Walton Ferry Road Hendersonville, TN 37075, Sumner		<b>2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)</b> 776055-2016-0096-B1B																																															
		<b>3. PERMIT/LICENSE NUMBER</b> 162165018G06150	<b>4a. TARGET DATE</b> 2/10/2016																																														
		<b>4b. TARGET HOURS</b>																																															
<b>5. REQUESTED BY (SIGNATURE, TITLE AND DATE)</b>																																																	
<b>6. ATF OFFICER(S) ASSIGNED</b> (b)(6) - Lead Investigator																																																	
<b>7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)</b> (b)(6) , Senior Investigator, 01/04/2016																																																	
<b>8. PURPOSE/SPECIAL INSTRUCTIONS</b> Firearms Compliance Inspection.																																																	
<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td colspan="2" style="padding: 5px;"><b>9. INSPECTION RESULTS</b></td><td colspan="2" style="padding: 5px;"><input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC</td><td colspan="2" style="padding: 5px;"><b>10. TRAVEL EXPENSES (OPTIONAL)</b></td></tr><tr><td style="padding: 5px;">NO. OF VIOLATIONS</td><td style="text-align: center; padding: 5px;">7</td><td style="padding: 5px;">NO. OF REFERRALS</td><td></td><td style="padding: 5px;">2111 - PER DIEM</td><td></td></tr><tr><td style="padding: 5px;">NO. OF TECS CHECKS</td><td></td><td style="padding: 5px;">NO. OF TECS HITS</td><td></td><td style="padding: 5px;">2112 - P.O.A.</td><td></td></tr><tr><td rowspan="2" style="padding: 5px;">NO. OF TAX ADJUSTMENTS</td><td rowspan="2"></td><td style="padding: 5px;">\$ VALUE OF TAX INCREASES</td><td></td><td style="padding: 5px;">2113 - COMM. AIR</td><td></td></tr><tr><td style="padding: 5px;">\$ VALUE OF TAX DECREASES</td><td></td><td style="padding: 5px;">2114 - RENTAL CAR</td><td></td></tr><tr><td style="padding: 5px;">NO. OF ASSESSMENTS</td><td></td><td style="padding: 5px;">\$ VALUE OF ASSESSMENTS</td><td></td><td style="padding: 5px;">2115 - GPV EXPENSES</td><td></td></tr><tr><td style="padding: 5px;">NO. OF CLAIMS</td><td></td><td style="padding: 5px;">\$ VALUE OF CLAIMS</td><td></td><td style="padding: 5px;">2116 - MISC.</td><td></td></tr><tr><td style="padding: 5px;">NO. OF TAX PERIODS</td><td></td><td style="padding: 5px;">\$ VALUE OF TAXES VERIFIED</td><td></td><td style="padding: 5px;">TOTAL \$ FOR INSP.</td><td></td></tr></table>				<b>9. INSPECTION RESULTS</b>		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		<b>10. TRAVEL EXPENSES (OPTIONAL)</b>		NO. OF VIOLATIONS	7	NO. OF REFERRALS		2111 - PER DIEM		NO. OF TECS CHECKS		NO. OF TECS HITS		2112 - P.O.A.		NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR		NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES		NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.		NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	
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<b>11. ATF OFFICER'S RECOMMENDATION</b> Submitted by (b)(6) - Industry Operations Investigator  Submitted on: 02/08/2016 On February 2, 2016, ATF Investigator (b)(6) initiated a firearms compliance inspection on Ronald Greene dba R.E.G. Gunsmithing. The inspection covered the period of February 2, 2015 through February 1, 2016; however, all A&D Records were reviewed from the beginning of the business (2012). (b)(6) were disclosed during this inspection. A closing conference was conducted on February 4, 2016. It is recommended that the licensee receive a Warning Letter only with a recall inspection.  Viols WL ONLY and Recall Inspection																																																	
<b>12. TIME ACCOUNTING DATA</b>																																																	
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)																																																	
JAN 2016 3.00																																																	
FEB 2016 32.00																																																	
ATF OFFICER'S SUBTOTAL 35.00			ATF OFFICER'S SIGNATURE																																														
TOTAL HOURS 35.00																																																	
<b>13. REVIEW AND ROUTING</b>																																																	
<b>REVIEW COMMENTS AND RECOMMENDATION</b> Concur with Senior Investigator (b)(6) that a WL with recall inspection is more appropriate than a WC due to only one violation rising to the WC level and it being the FFL's first inspection.  Viols WL ONLY and Recall Inspection																																																	
<input checked="" type="checkbox"/> REVIEWED <input checked="" type="checkbox"/> CONCUR <input checked="" type="checkbox"/> SEE COMMENTS <input type="checkbox"/> FINAL DISPOSITION																																																	
SIGNATURE AND TITLE (b)(6) - IOI				REVIEW DATE 02/09/2016																																													

## Assignment and Report

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	3. PERMIT/LICENSE NUMBER 162165018G06150	4a. TARGET DATE 2/10/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

### 13. REVIEW AND ROUTING

#### REVIEW COMMENTS AND RECOMMENDATION

The DIO has reviewed the report and supporting documentation for this case and he concurs with the alternate recommendation of warning letter and recall inspection in lieu of a warning conference. This was the licensee's first inspection and the one violation that rises to the level of warning conference involves the licensee failing to record required ID information on ATF F's 4473 in (b)(4) instances (8.8% error rate). The reason why the error rate is higher is because this licensee only transfers just over (b)(4) firearms a year. The IOI believes that this licensee will make the necessary changes to his internal controls to ensure that these violations do not recur in the future.

Viols WL ONLY and Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE KLBOYDSTON - Director, Industry Operations	REVIEW DATE 03/03/2016
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#### ROUTING SEQUENCE AND DATE

- ☐ 1. \_\_\_\_\_
- ☐ 2. \_\_\_\_\_
- ☐ 3. \_\_\_\_\_
- ☐ 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

# Report of Violations

## Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Greene, Ronald Edward REG Gunsmithing	Street Address 214 Walton Ferry Road	City Hendersonville	State TN	Zip Code 37075-	County Sumner	Page 1 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 162165018G06150		Expiration Date 7/1/2018	Date(s) or Period of Inspection 02/02/2016 through 02/04/2016			

## Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 1

### Nature of Violation:

The licensee had 34 ATF Forms 4473 on which they failed to obtain/enter required information in Section A and D as follows:

Failure to conduct transactions on the correct edition of the ATF Form 4473. All transactions (b)(3) were conducted on the 2008 Edition of the form. ATF Forms 4473, #s (b)(3)(1)(2)

Failure to obtain the buyer Ethnicity in item #10a - (b)(3) instances. All transactions (b)(3)(1) were conducted on the 2008 Edition of the form and therefore, item #10a was not on the form. ATF Forms 4473, #s (b)(3)(1)(3).

Failure to enter the Type of Firearm to be transferred in item #18 - (b)(3)(1) instance. ATF Form 4473, (b)(3)(1)(3).

Failure to enter the Total Number of Firearms sold in item #30a - (b)(3)(1)(1) instance. ATF Form 4473, (b)(3)(1)(3).

**Citation:** 27 CFR 478.21(a)

### Date Corrections to be Made:

*(If not corrected immediately)*

### Corrective Action to be Taken:

*(If not corrected immediately)*

All corrections could not be made. IOI (b)(6) instructed the licensee to review the forms prior to the customer leaving and again after the sale is completed to ensure that they are properly completed.

## Report of Violations

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## Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 2

**Nature of Violation:**

The licensee had [REDACTED] ATF Forms 4473 on which they failed to obtain required information in Section A as follows:

Failure to obtain the required State of Residence in item #13 - [REDACTED] instance. ATF Form 4473, [REDACTED].

Failure to obtain the required Country of Citizenship in item #14 - (b)(3) instance. ATF Form 4473, (b)(3).

Failure to obtain the required Certification Date in item #17- [REDACTED] instances. ATF Form 4473, #s [REDACTED].

**Citation:** 27 CFR 478.124(c)(1)

**Date Corrections to be Made:**

(If not corrected immediately)

**Corrective Action to be Taken:**

(If not corrected immediately)

Q: Corrections could not be made. IOI (b)(6) instructed the licensee to review the forms prior to the customer leaving and again after the sale is completed to ensure that they are properly completed.

Number: 3

**Nature of Violation:**

The licensee had [REDACTED] ATF Form 4473 on which they failed to obtain required information in Section B as follows:

Failure to record the complete/valid ID information in item #20a - (b) (5) DPP instances. ATF Form 4473. #s (b) (5) DPP Public Law 56

**Date Corrections to be Made:**

(If not corrected immediately)

**Corrective Action to be Taken:**

(If not corrected immediately)

Corrections could not be made. IO (b)(6) instructed the licensee to review the forms prior to the customer leaving the store and again after the sale is completed to ensure that they are properly completed.

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

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License/Permit/Registry Number <i>(If any)</i> 162165018G06150		Expiration Date 7/1/2018	Date(s) or Period of Inspection 02/02/2016 through 02/04/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Citation: 27 CFR 478.124(c)(3)(i)

Number: 4

Nature of Violation:  
The licensee had ATF Forms 4473 on which they failed to enter required information in Section B as follows:  
  
Failure to enter the TICS response in item #21c - instances. ATF Forms 4473, #s.

Citation: 27 CFR 478.124(c)(3)(iv)

Number: 5

Nature of Violation:  
The licensee had ATF Form 4473 on which they failed to enter required information in Section D as follows:

(b)(3) 112 Public Law 55 125 STAT 552

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken: Corrections were made immediately. IOI reviewed the Form 4473, and its proper completion with the licensee.  
(If not corrected immediately)

For Official Use Only

November 1 2019 Production

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ATF E-Form 5030 5  
Revised April 2005

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

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Name of Proprietor Greene, Ronald Edward REG Gunsmithing	Street Address 214 Walton Ferry Road	City Hendersonville	State TN	Zip Code 37075-	County Sumner	Page 4 of 4 Pages
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License/Permit/Registry Number <i>(If any)</i> 162165018G06150	Expiration Date 7/1/2018	Date(s) or Period of Inspection 02/02/2016 through 02/04/2016
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:  
The licensee had (b)(3)(1) ATF Form 4473 on which they failed to enter required information in Section D as follows:  
  
Failure to enter the correct Transaction Date in item #36 - (b)(3)(1) instances. ATF Form 4473, #s (b)(3)(1)2 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken:  
(If not corrected immediately)

Corrections were made immediately. IOI (b)(6) reviewed the Form 4473, and its proper completion with the licensee.

Number: 7

Nature of Violation:  
The licensee failed to report (b)(3)(1) multiple handgun sales, involving (b)(3)(1)2 firearms, as follows:  
  
(b)(3)(1)2 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.126a

Date Corrections to be Made:  
(If not corrected immediately)

Corrective Action to be Taken:  
(If not corrected immediately)

On February 4, 2016, the ATF Forms 3310.4- Report of Multiple Handgun Sales were submitted as required.

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*

Date

Signature and Title of ATF Officer

Date

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November 1 2019 Production

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ATF E-Form 5030 5

Revised April 2005



**U.S. Department of Justice**

Bureau of Alcohol, Tobacco,  
Firearms and Explosives  
5300 Maryland Way, Suite 200  
Brentwood, Tennessee 37027

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[www.atf.gov](http://www.atf.gov)

March 7, 2016

776055 (b)(6)  
5300

Ronald E. Greene  
dba R.E.G. Gunsmithing  
214 Walton Ferry Road  
Dickson, TN 37055

RE: *FFL #1-62-165-01-8G-06150*

Dear Mr. Greene:

During a recent compliance inspection at your firearms business covering the period of February 2, 2015, through February 1, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Ronald E. Greene  
dba R.E.G. Gunsmithing

Should you have any questions regarding this matter, please contact Investigator (b)(6) at (b)(6).

Sincerely yours

(b)(6)

Acting Area Supervisor

Enclosure

c: Federal Firearms Licensing Center  
Nashville II Field Office