U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS	2. U.I. NUMBER (ORG. SEG. CO	DE, ASSIGNMENT N	O., P.P.C.)
(INCLUDE ZIP CODE AND COUNTY)	775060	-2016-0050-B1B	
J&J O A T LLC	3. PERMIT/LICENSE NUMBER	4a. TARGET DATE	4b. TARGET HOURS
Cocked & Locked	455039078L02490	6/15/2016	
1591 Silas Kanawha 2 Mile Road	5. REQUESTED BY (SIGNATURE	E, TITLE AND DATE)	
Charleston,WV 25312, KANAWHA	10/16/2015		

6. ATF OFFICER(S) ASSIGNED

(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)

(b)(6) , Area Supervisor, 10/16/2015

8. PURPOSE/SPECIAL INSTRUCTIONS

Please conduct a Disposition Emphasis compliance inspection, to include a complete inventory and review of all ATF Forms 4473 available for the inspection period. Verify ownership, responsible persons status, and contact information. Document each finding, and enter all required information into N-SPECT.

9. INSPECTION RESULTS	CHE	CK IF NO VIOLATIONS, ADJUSTM	IENTS, ETC	10. TRAVEL EXPENSES	(OPTIONAL)
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	2	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
ADJUSTMENTS		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION

Submitted by (b)(6) - Senior Industry Operations Investigator

Submitted on: 05/13/2
On April 27, 2016, SI (b)(6) commenced a manufacturer of firearms compliance inspection of J&J OAT LLC (45502490). Mr. William Hancock, member and RP was interviewed. Since the licensee operates on limited hours, SI (b)(6) provided advance notification of the inspection. The period of inspection was April 27, 2015 through April 27, 2016. The on-site end date of the inspection was May 2, 2016.

SI(b)(6) found two violations during this compliance inspection. 27 CFR 478.124(c)(1), accepting an ATF Form 4473 with no certification signature or date at Items 16 and 17; and 27 CFR 478.126a, failure to report the multiple sale or other disposition of handguns.

One of the violations, 27 CFR 478.126a, failure to report the multiple sale or other disposition of handguns, warrants a Warning Letter.

S(b)(6) issued a Report of Violations and recommends violations, warning letter only, no recall inspection.

Viols WL ONLY and No Recall Inspection

		12. TIME ACCOUNTING DATA
ATF OFFICER'S NAME (MONTH, YEAR, HO	DURS)	b)(6)
APR 2016	8.00	
MAY 2016	18.00	
ATF OFFICER'S SUBTOTAL	26.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	26.00	

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS	2. U.I. NUMBER	R (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)
(INCLUDE ZIP CODE AND COUNTY)		775060-2016-0050-B1B
J&J O A T LLC	3. PERMIT/LIC	ENSE NUMBER 4a. TARGET DATE 4b. TARGET HOURS
Cocked & Locked	4550390	0781 02490 6/15/2016
1591 Silas Kanawha 2 Mile Road		D BY (SIGNATURE, TITLE AND DATE)
Charleston, WV 25312, KANAWHA		BBI (GIGNATONE, TITLE AND BATE)
	10/16/2015	
	13. REVIEW AND ROUTING	
REVIEW COMMENTS AND RECOMMENDATION		
SIOI (b) (6) conducted a Disposition Em and a Warning Letter was Recommended wit		J Oat LLC. As a result of the inspection violations were cited b)(6) s recommendation.
	_	
Viols WL ONLY and No Recall Inspection		
Viols WL ONLY and No Recall Inspection		
·	ONCUR SEE COMMENTS	ïXÎ FINAL DISPOSITION
REVIEWED S	ONCUR SEE COMMENTS	FINAL DISPOSITION
·	ONCUR SEE COMMENTS	FINAL DISPOSITION REVIEW DATE 05/16/2016
SIGNATURE AND TITLE (b)(6) - Area Supervisor	ONCUR SEE COMMENTS	REVIEW DATE
REVIEWED CONTINUE AND TITLE (b)(6) - Area Supervisor ROUTING SEQUENCE AND DATE	Document	REVIEW DATE
REVIEWED CONTROLL CON	DOVE THE PROPERTY OF THE PROPE	REVIEW DATE
REVIEWED CONTINUE AND TITLE (b)(6) - Area Supervisor ROUTING SEQUENCE AND DATE 1	CONT	REVIEW DATE
REVIEWED CONTROLL CON	CONT	REVIEW DATE 05/16/2016



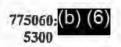
U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

300 Summers Street, Suite 1400 Charleston, West Virginia 25301

May 16, 2016

www.atf 200



CERTIFIED MAIL, RETURN RECEIPT REQUESTED

Certified Mail-Return Receipt Requested

Mr. William Hancock J&J OAT LLC d/b/a Cocked and Locked 1591 Silas Kanawha 2 Mile Road Charleston, West Virginia 25312

Dear Mr. Hancock:

During a recent compliance inspection at your firearms business covering the period of April 27, 2015 through April 27, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

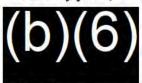
The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Mr. William Hancock

Should you have any questions regarding this matter, please contact Senior Industry Operations Investigator (b)(6) at (b)(6)

Sincerely yours,



Area Supervisor Charleston II Field Office (IO)

Enclosure

cc: National Licensing Center
Director, Industry Operations Louisville Field Division
Charleston II (10) Field Office

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Please write firmly with a ball point pen when representative. The remaining copies will be su	completing this form. A	77 0	ructions is form in tripli pervisors will d	cate. The origin	al co	ppy will be given the completed re	to the proprietor or a resp port for their files	onsible
Name of Proprietor J&J O A T LLC Cocked & Locked	Street Address 1591 Salus Kannasi	ha 2 Mile Road	City Charleston	Su		Zip Code 25312-	Courry KANAWIIA	Page I o 2 Pages
License/Permit/Registry Number (If any) 4550390781,02490		Expiration Date				of Inspection rgh 04/27/2016		
An examination of your premises, records and a		Impect	on Results					
inture of Violation: On consider the licensee failed to report (pistols or revolvers).	the multiple sale or oth	ner disposition af bendgun	Corrective	recied immedial Action to be Ta recied immedial	hen:	reporting the in handgons The licensee co Multiple Sale of	as instructed in the correct ultiple sales or other disposition impleted ATF there 3310, r Other Disposition of Ha	i, Report of
Itation: 27 CFR 478 126a						Revulsers) for i	he ironsactions identified.	
umber: 2 ature of Violation: On occasion the licensee accepted an ATI Section A, Items 16. "Transferce's/Huyer's Sig	Form 4473 with missi mature" and 17, "Certifi	ng (blunk) responses to icanon Date."	Corrective	ctions to be Ma rected immediate Action to be Ta rected immediate	(y) ken:	completion and	s instructed in the correct review of ATF Forms 44"	73
						A background of Identified to have and date.	heck was conducted on the failed to provide a certi	e individual fication signature

Artment of Justice of Alcohol, Tobacco, Firearms and Explosives

Reysed April 2005

Name of Proprietor J&J O A T LLC Cocked & Locked	Street Addre 1591 Silas K	ss unawha 2 Mile Ruud	City Charleston	State	Zip Code 25312-	County KANAWHA	Page 2 2 Page
License/Permit/Registry Number 11f ad 455039078L02490	יעוי	Expiration Date		Date(s) or Period			
		In	spection Results	-			
An examination of your premises, recon	Is and operations has dis-	clused the following violat	inns which have bee	n explained to you			

PARTMENT OF JUSTICE
.COHOL, TOBACCO, FIREARMS
AND EXPLOSIVES

00 Summers Street arleston, WV 25301

Y FOR PRIVATE USE \$300

CERTIFIED MAIL.



7007 0710 0003 1619 7700



Mr. William Hancock
J&J OAT LLC d/b/a Cocked and Locked
1591 Silas Kanawha 2 Mile Road
Charleston, West Virginia 25312

PLACE STICKER AT 30P OF ENVELOPE TO THE RIGHT SENDER: COMPLETE THIS SECTION COMPLETE THIS SECTION ON DELIVERY A. Signature Complete Items 1, 2, and 3. Print your name and address on the reverse ☐ Agent X ☐ Addressee so that we can return the card to you. B. Received by (Printed Name) C. Date of Delivery Attach this card to the back of the malipiece, or on the front if space permits. 1. Article Addressed to: D. Is delivery address different from Itam 1? ☐ Yes If YES, enter delivery address below: ☐ No Mr. William Hancock J&J OAT LLC d/b/a Cocked and Locked 1591 Silas Kanawha 2 Mile Road Charleston, West Virginia 25312 ☐ Priority Mail Express®
☐ Registered Mail™
☐ Registered Mail Restricted
Delivery
☐ Return Receipt for
Merchandise
☐ Signature Confirmation
☐ Signature Confirmation
☐ Restricted Delivery 3. Service Type 3. Octavine Type

Adult Signature

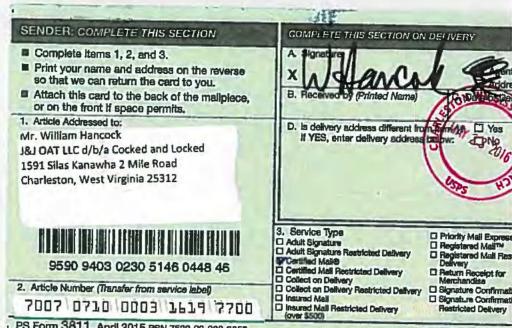
Adult Signature Restricted Delivery

Promitted Meli®

Certified Meli® 9590 9403 0230 5146 0448 46 Collect on Delivery
Collect on Delivery
Collect on Delivery Restricted Delivery
Insured Meil
Insured Meil Restricted Delivery
(over \$500) 2. Article Number (Transfer from service label) 7007 0710 0003 1619 7700

Domestic Return Receipt

PS Form 3811, April 2015 PSN 7530-02-000-9053



Signature Confirmati
Signature Confirmati
Restricted Delivery

Domestic Return Rec:

December 10 2018 Production

2. Article Number (Transfer from service label)

7007 0710 0003 1619 7700 PS Form 3811, April 2015 PSN 7530-02-000-9053