

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) CASH EXPRESS PAWN INC 401 SOUTH 3RD ST PADUCAH, KY 42003, MCCRACKEN	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 775045-2016-0131-B1B			
	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;">3. PERMIT/LICENSE NUMBER 461145028M02472</td> <td style="width: 33%;">4a. TARGET DATE</td> <td style="width: 33%;">4b. TARGET HOURS</td> </tr> </table>	3. PERMIT/LICENSE NUMBER 461145028M02472	4a. TARGET DATE	4b. TARGET HOURS
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5. REQUESTED BY (SIGNATURE, TITLE AND DATE)				

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 01/11/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
 Conduct full firearms compliance inspection in accordance with applicable provisions of ATF H 5030.2C and the 2016 Domain Assessment. This licensee has not been inspected since 10/22/2010.

9. INSPECTION RESULTS	<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC	10. TRAVEL EXPENSES (OPTIONAL)			
NO. OF VIOLATIONS	8	NO. OF REFERRALS	1	2111 - PER DIEM	
NO. OF TECS CHECKS	20	NO. OF TECS HITS	1	2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
 Submitted by **(b)(6)** - Industry Operations Investigator

Submitted on: 02/25/2016
 A full compliance inspection of the licensee was initiated on 1/28/2016 and concluded on 2/22/2016. Carla Cryts and William Tyler Cryts were interviewed. Eight violations were found. The ATF Form 5030.5, Report of Violations, and the Acknowledgement of Federal Firearms Regulations are attached. The following violations found during this inspection warrant a Warning Letter. Failure to record any identification on ATF Form 4473 (item 20a blank). Transfer of a firearm when the licensee had reasonable cause to believe the transferee/buyer was prohibited on occasion (item 11i "Yes"). No prohibiting information was uncovered when IOI **(b)(6)** conducted a background check on the purchaser. One referral was generated from the inspection involving a person who appears to be prohibited receiving a firearm.

FLS appears to be up to date.
 Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)		
JAN 2016	17.00		
FEB 2016	46.00		
ATF OFFICER'S SUBTOTAL	63.00	ATF OFFICER'S SIGNATURE	
TOTAL HOURS	63.00		

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	3. PERMIT/LICENSE NUMBER 461145028M02472	4a. TARGET DATE	4b. TARGET HOURS
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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Inspection conducted on 2/22/2016. FFL was cited for 8 violations. 2 violations rise to the level of warning letter which was mailed on 3/3/2016.

Viols WL ONLY and No Recall Inspection

REVIEWED CONCUR SEE COMMENTS FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 03/03/2016
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ROUTING SEQUENCE AND DATE

- 1. _____
- 2. _____
- 3. _____
- 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor CASH EXPRESS PAWN INC	Street Address 401 SOUTH 3RD ST	City PADUCAH	State KY	Zip Code 42003-	County MCCRACKEN	Page 1 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 461145028M02472		Expiration Date 12/1/2018	Date(s) or Period of Inspection 01/28/2016 through 02/22/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

Failure to record all required information in item 10a, 11a, 18, 24 and 25 of ATF Forms 4473. Item 10a, Ethnicity, is blank on [redacted] forms. Item 11a, Are you the actual transferee/buyer of the firearm listed on this form? was answered in error on [redacted] forms. Item 18, Type of firearm to be transferred, is blank on [redacted] forms. Item 24, Transferee's/Buyer's Signature, is blank on [redacted] forms. Item 25, Recertification Date, is blank on [redacted] forms and answered in error on [redacted] form.

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure to require the transferee/buyer to record all necessary information on ATF Forms 4473. Prior to transferring a firearm, make sure the transferee/buyer has answered "YES" they are the actual buyer and "NO" to the prohibiting questions (items 11b through 11i). Make sure the seller records all necessary information on ATF Forms 4473.

Number: 2

Nature of Violation:

Failure to require the transferee/buyer to record all necessary information in items 3, 10b, 11d, 11k and 11l of ATF Forms 4473. Item 3, Place of Birth, is blank on [redacted] form. Item 10b, Race, is blank on [redacted] form. Item 11d, Are you a fugitive from justice?, is blank on [redacted] form. Item 11k, Are you an alien illegally in the United States?, is blank on [redacted] form. Item 11l, Are you an alien admitted to the United States under a nonimmigrant visa?, is blank on [redacted] forms.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure to require the transferee/buyer to record all necessary information on ATF Forms 4473. Prior to transferring a firearm, make sure the transferee/buyer has answered "YES" they are the actual buyer and "NO" to the prohibiting questions (items 11b through 11i). Always require the transferee/buyer to sign and date the ATF Form 4473.

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

Failure to record all required information in item 20a of ATF Forms 4473. Item 20a, Identification, is blank on (b)(3) form.

Citation: 27 CFR 478.124(c)(3)(i)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Ensure to review and record proper identification prior to transferring a firearm.
(If not corrected immediately)

Number: 4

Nature of Violation:

Failure to record all required information in items 21a, 21b, 21c and 21d of ATF Forms 4473. Item 21a, NICS date, is blank on (b)(3) forms. Item 21b, NICS transaction number, is blank on (b)(3) form. Item 21c, the initial response received from NICS, is blank on (b)(3) forms. Item 21d, the secondary response received from NICS, is blank on (b)(3) forms. A response was required for item 21d due to receiving a "delayed" response to item 21c.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Ensure to properly record all NICS information on ATF Forms 4473.
(If not corrected immediately)

Number: 5

Nature of Violation:

Failure to accurately record all required information in item 36 of ATF Forms 4473. Item 36, Date Transferred, is answered in error on (b)(3) form.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Ensure that the seller prints their name, signs their name, records their title and dates the ATF Form 4473 when transferring a firearm.
(If not corrected immediately)

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License/Permit/Registry Number <i>(If any)</i> 461145028M02472		Expiration Date 12/1/2018	Date(s) or Period of Inspection 01/28/2016 through 02/22/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:

Transfer of a firearm when the licensee had reasonable cause to believe the transferee/buyer was prohibited. On [REDACTED] occasion the licensee transferred a firearm to an individual who answered "Yes" to item 11i on the ATF Form 4473. After the individual answered "Yes" to item 11i, Have you ever been convicted in any court of a misdemeanor crime of domestic violence?, the licensee conducted a NICS check and recorded a "Proceed" response. IOI (b)(6) conducted a background check on the purchaser without receiving any prohibiting information.

Citation: 27 CFR 478.99(c)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Prior to transferring a firearm, make sure the transferee/buyer has answered "YES" they are the actual buyer and "NO" to the prohibiting questions (items 11b through 11i).

Number: 7

Nature of Violation:

Failure to retain completed ATF Forms 4473. The licensee could not find [REDACTED] ATF Forms 4473 that it completed transferring [REDACTED] firearms. The disposition information was recorded in the licensee's computerized pawn records but not in its A&D Record. During the inventory reconciliation the licensee could not locate these [REDACTED] ATF Forms 4473.

Citation: 27 CFR 478.129(b)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Retain all completed ATF Forms 4473 where a firearm is transferred for at least 20 years in one of three methods: alphabetical (by name of purchaser), chronological (by date of disposition), or numerical (by transaction serial number) order.

Report of Violations

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Name of Proprietor CASH EXPRESS PAWN INC	Street Address 401 SOUTH 3RD ST	City PADUCAH	State KY	Zip Code 42003-	County MCCRACKEN	Page 4 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 461145028M02472	Expiration Date 12/1/2018	Date(s) or Period of Inspection 01/28/2016 through 02/22/2016				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 8

Nature of Violation:

Failure to maintain complete and accurate Acquisition and Disposition (A&D) Records. The licensee failed to record the disposition information for [REDACTED] firearms. The firearms were recorded as acquisitions in the A&D Record, the firearms were not found in inventory. The firearms were all transferred on ATF Forms 4473 without recording the disposition information. The licensee found the dispositions in its computerized pawn records. The licensee found the ATF Forms 4473 documenting the transfer of [REDACTED] of these firearms. The other [REDACTED] firearms were transferred on the [REDACTED] forms that the licensee could not locate (reference violation 7).

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Ensure to timely and accurately record the acquisition and disposition of all firearms.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date

December 10 2018 Production

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U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

600 Dr. Martin Luther King Jr. Place, Suite 500
Louisville, Kentucky 40202

www.atf.gov

March 3, 2016

775045 (b) (6)
5300

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Cash Express Pawn, Inc.
401 South 3rd St
Paducah, KY 42003
FFL# 4-61-145-02-8M-02472

Dear Mr. Cryts:

During a recent compliance inspection at your firearms business covering the period of January 28, 2015 through February 22, 2016 you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the ATF Form 5030.5, Report of Violations, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (IOI). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Cash Express Pawn, Inc.
FFL# 4-61-145-02-8M-02472

Should you have any questions regarding this matter, please contact IOI (b)(6) at (b)(6) (b)(6).

Sincerely,

(b)(6)

Area Supervisor
Louisville II Field Office (IO)

Enclosure

cc: Federal Firearms Licensing Center
Louisville II Field Office (IO)
DIO-Louisville Field Division