



# Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) 402 FIREARMS LLC TACTICAL FIREARMS 2822 BAINBRIDGE STREET YOUNGSTOWN, OH 44511, MAHONING	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 773030-2016-0334-B1B		
	3. PERMIT/LICENSE NUMBER 434099018M03982	4a. TARGET DATE 9/1/2016	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

### 13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and Recall Inspection

REVIEWED       CONCUR       SEE COMMENTS       FINAL DISPOSITION

SIGNATURE AND TITLE (b)(6) - Area Supervisor	REVIEW DATE 02/25/2016
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ROUTING SEQUENCE AND DATE

- 1. \_\_\_\_\_
- 2. \_\_\_\_\_
- 3. \_\_\_\_\_
- 4. \_\_\_\_\_

CONTROL FILE POSTED DATE \_\_\_\_\_

# Report of Violations

## Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor 402 FIREARMS LLC TACTICAL FIREARMS	Street Address 2822 BAINBRIDGE STREET	City YOUNGSTOWN	State OH	Zip Code 44511-	County MAHONING	Page 1 of 9 Pages
License/Permit/Registry Number <i>(If any)</i> 434099018M03982	Expiration Date 12/1/2018	Date(s) or Period of Inspection 01/01/2015 through 01/11/2016				

## Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 1

**Nature of Violation:**

Record of receipt and disposition by dealers- (b)(3) instances. See Attachment Violation #1.

**Citation:** 27 CFR 478.125(e)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** IOI (b)(6) worked with the licensee to ensure all entries

*(If not corrected immediately)* were updated where the information was known.

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### Inspection Results

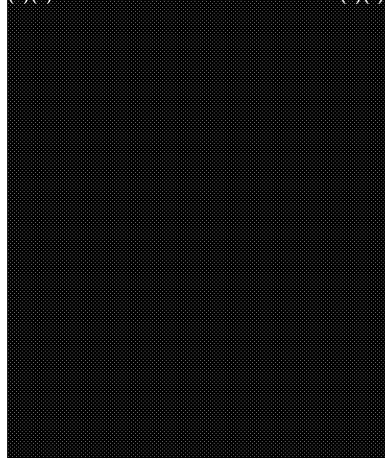
An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 2

**Nature of Violation:**

The licensee failed to ensure proper completion of ATF F 4473 prior to transferring firearms, including blank information for 10a Ethnicity, 11a Are you the actual buyer- "No", or "blank" if noted, 18 Type of gun blank or incorrect, 24 &/or 25 Transferee's Recertification- blank or incorrect, Items 30-32 Total # of firearms blank or incorrect, Licensee trade name/address &FFL #-blank or incorrect, & Item 33 transferee's signature blank.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

IOI (b)(6) had the licensee update copies of the forms where items involved the licensee's completion and instructed the licensee to ensure completion by the transferee in the future.

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## Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



Citation: 27 CFR 478.21(a)

# Report of Violations

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 3

**Nature of Violation:**

ATF F 4473- Transferee's Certification Section A- 23 of 1,071 forms. The licensee failed to ensure proper completion of ATF F 4473 prior to transferring firearms for the following forms:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

These items are completed by the transferee. Violation uncorrectable. Ensure proper completion in the future.

# Report of Violations

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



**Citation:** 27 CFR 478.124(c)(1)

**Number:** 4

**Nature of Violation:**

Firearms Transaction Record- identification. █ of 1,071 forms. The licensee failed to ensure proper completion of ATF F 4473 prior to transferring firearms as follows:

(b)(3) 112 Public Law 55 125 STAT 552



**Citation:** 27 CFR 478.124(c)(3)(i)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

Uncorrectable. Ensure future compliance by documenting entire valid id.

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### Inspection Results

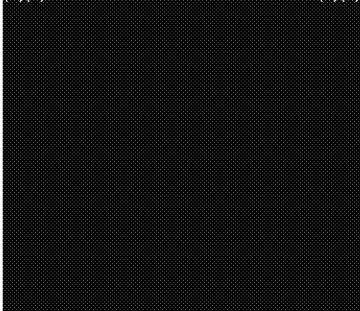
An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 5

**Nature of Violation:**

Firearms Transaction Record- NICS information. █ of 1,071 forms. The licensee failed to ensure proper completion of ATF F 4473 prior to transferring firearms for the following forms:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

IOI (b)(6) instructed the licensee to update the NICS information where applicable on copies of the Fs 4473. Ensure future compliance.

**Citation:** 27 CFR 478.124(c)(3)(iv)



# Report of Violations

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### Inspection Results

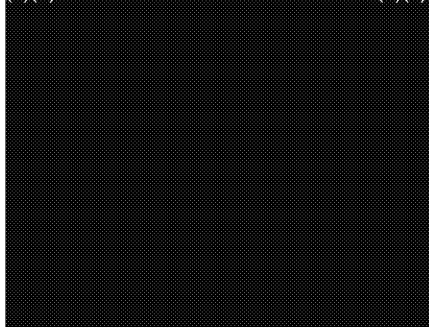
An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 6

**Nature of Violation:**

Firearms Transaction Record- firearm identification. [REDACTED] of 1,071 forms. The licensee failed to ensure proper completion of ATF F 4473 prior to transferring firearms on the following forms:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



**Citation:** 27 CFR 478.124(c)(4)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

IOI (b)(6) worked with the licensee to update copies of the forms with the complete and correct firearm information where possible. For the transfers to [REDACTED] (b)(3) 112 Public Law 55 125 STAT 552 (b)(6) the wrong firearms were documented and actual firearms transferred could not be confirmed with the transferees herein.

# Report of Violations

### Instructions

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### Inspection Results

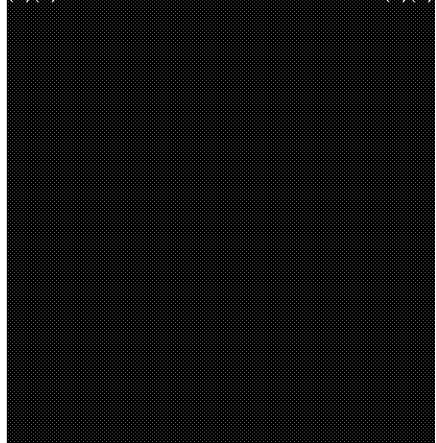
An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 7

**Nature of Violation:**

Transferor's certification- signature and date. <sup>(b)(6)</sup> of 1,071 Forms. The licensee failed to ensure proper completion of ATF F 4473 prior to transferring firearms for the following forms:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)



**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:**

*(If not corrected immediately)*

IOI <sup>(b)(6)</sup> instructed the licensee to update the information on copies of the forms when applicable. Ensure future compliance.

**Citation:** 27 CFR 478.124(c)(5)

# Report of Violations

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### Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

**Number:** 8

**Nature of Violation:**

Report of Multiple Sale of Pistols and/or Revolvers. (b)(3) of 1,071 forms, (b) handguns.  
The licensee failed to file Reports of Multiple Sales for the following transactions:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

**Citation:** 27 CFR 478.126a

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** IOI (b)(6) provided the information to the licensee who filed the reports herein.  
*(If not corrected immediately)*

**Number:** 9

**Nature of Violation:**

Records and Reports- retention of records- (b) forms.  
Failure to maintain Fs 4473:

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

**Citation:** 27 CFR 478.129(b)

**Date Corrections to be Made:**

*(If not corrected immediately)*

**Corrective Action to be Taken:** IOI (b)(6) instructed the licensee to notify her if he finds these Fs 4473. He agreed. Ensure maintenance of Fs 4473.  
*(If not corrected immediately)*

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,  
Firearms and Explosives

5005 Rockside Road, Suite 700  
Independence, OH 44131

February 25, 2016

773030:  
5440

(b)(6)

Certified Mail – Return Receipt Requested

402 FIREARMS LLC  
TACTICAL FIREARMS  
2822 BAINBRIDGE STREET  
YOUNGSTOWN, OH 44511-  
RE: 434099018M03982

Dear Mr. Rick Grant:

During a recent inspection at your firearms business covering the period 01/01/2015 to 01/11/2016 you were cited for violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you or your designated responsible person by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigators. You or your responsible person indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

402 FIREARMS LLC

Should you have any questions regarding this matter, please contact (b)(6) (b)(6), Industry Operations Investigator at (b)(6)

Sincerely yours,

(b)(6)

Area Supervisor, Cleveland III

Enclosure

cc: Federal Firearms Licensing Center  
Cleveland III (IO) Field Office