U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
Charles Paul Whitehead, LLC 1315 Oakhill Road Blacklick,OH 43004, Franklin	773015-2017-0246-B1B		
	3. PERMIT/LICENSE NUMBER 431049078C05279	4a. TARGET DATE 9/30/2017	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Assigned Investigator
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 11/14/2016

8. PURPOSE/SPECIAL INSTRUCTIONS
Conduct full manufacturer of firearms inspection. Refer to IO Manual Chapter C - Firearms Inspections and FY 17 Domain Assessment. Ensure licensee has filed all AFMERs, if any are missing obtain them during inspection. Conduct 100% inventory and ATF Form 4473 review unless authorized in advanced by Area Supervisor and DIO.

9. INSPECTION RESULTS		☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	1	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	1	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 01/27/2017
Licensee neglected to log both firearms into A&D record, however, both firearms (b) (3) - (26 USC § 6103) and located immediately.
Licensee has conducted practically no business; and as a manufacturer, will be visited again in three (3) to five (5) years regardless.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
NOV 2016	1.00	
JAN 2017	6.00	
ATF OFFICER'S SUBTOTAL	7.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	7.00	

13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
(b)(6) - Area Superviso (b)(6)	01/27/2017

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ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	ZIP Code	County	Page 1 of 1 Pages
Charles Paul Whitehead, LLC	1315 Oakhill Road	Blacklick	Ohio	43004	Franklin	

License/Permit/Registry Number *(if any)*	Expiration Date	Date(s) or Period of Inspection
4-31-049-07-8G-05279	July 1, 2018	January 17-27, 2017

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken *(If not corrected immediately)*	Date Corrections to be Made *(If not corrected immediately)*
1	27 CFR 478.123(a)	Failure to maintain an accurate acquisition record in [redacted] instances. Specifically, licensee failed to record [redacted] firearms as acquisitions prior to inspection.	Licensee shall enter acquisitions. All acquisitions must be recorded within seven (7) days of acquisition and be accurate.	Immediately

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)* — Date

Charles P. Whitehead 1-27-2017 owner

Signature and Title of ATF Officer — Date

(b)(6) INDUSTRY OPERATIONS INVESTIGATOR 1/27/2017

Copy 1 - Proprietor's Copy

ATF E-Form 5030.5
Revised April 2005

U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
550 Main Street, Room 8-507
Cincinnati, OH 45202

JAN 30 2017

www.atf.gov

773015:(b)(6)
5373

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Charles Paul Whitehead, LLC
1315 Oakhill Road
Blacklick, OH 43004

4-31-049-07-8C-05279

Dear Mr. Whitehead,

During a recent compliance inspection at your firearms business covering the period of January 17, 2016, through January 17, 2107, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) investigator. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Charles Paul Whitehead, LLC

Should you have any questions regarding this matter, please contact Investigator (b)(6) (b)(6) at (b)(6) .

Sincerely,

(b)(6)

Area Supervisor

Enclosure

cc: National Licensing Center
Cincinnati II (IO) Field Office
Correspondence File