

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) Fuller, Thomas M TNL Guns 18326 W Farmington Rd Trivoli, IL 61569, Peoria	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 772060-2016-0091-B1B		
	3. PERMIT/LICENSE NUMBER 337143017A02753	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6) Area Supervisor, 10/07/2015

8. PURPOSE/SPECIAL INSTRUCTIONS

Please conduct a full scope firearms compliance inspection of this Type 01 FFL. During initial review of this assignment please ensure the shared drive is reviewed for any additional variance approvals, correspondences, etc. that are not located in the file.

Zoning - Please utilize the Shared Zoning Folder for all zoning information. If a location needs added, deleted or amended/updated, please do so to ensure we maintain an accurate and up to date database.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	3	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION

Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 02/03/2016

A full field compliance inspection was conducted on January 25, 2016 by IO Investigator (b)(6) at the licensed premise of TNL Guns. Individual Owner Thomas Fuller was interviewed during the course of the inspection. The inspection covered the period of December 28, 2010, which is the original issue date of Federal firearms license 3-37-02753, through January 25, 2016. Two violations were disclosed as a result of the inspection. A Warning Letter and No Recall Inspection are recommended.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b)(6)
JAN 2016	8.00	
FEB 2016	8.00	
ATF OFFICER'S SUBTOTAL	16.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	16.00	

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Concur with recommendation of Warning Letter. Warning Letter signed by A/S **(b)(6)** and mailed on 2/4/16.

Original Warning Letter was not signed for and returned to the Springfield Area Office on 3/7/16. IOI **(b)(6)** contacted the FFL who stated he did not realize he had recieved the letter. IOI **(b)(6)** hand delivered the letter when he was in the area.

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

REVIEW DATE

02/04/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in quadruplicate. The original copy and the suspense copy (where required) will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor <i>Thomas Fuller</i>	Street Address <i>18326 W Farmington Rd.</i>	City <i>Trivoli</i>	State <i>IL</i>	ZIP Code <i>61569</i>	County <i>Peoria</i>	Page <i>1</i> of <i>1</i> Pages
License/Permit/Registry Number (if any) <i>3-37-143-01-7A-02753</i>		Expiration Date <i>1/1/17</i>	Date(s) or Period of Inspection <i>12/28/10 - 1/25/16</i>			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number	USC or CFR Citation	Nature of Violation	Corrective Action to be Taken (If not corrected immediately)	Date Corrections to be Made (If not corrected immediately)
1	27 CFR 478.21(a)	On ^{(b)(3)} instance, licensee was in violation of 27 CFR 478.21(a) as follows. ^{(b)(3) 112 Public} ATF Forms 4473 had an incorrect "no" answer for Question #11a (actual buyer)	The proper method for completing and obtaining ATF Form 4473 was reviewed.	—
2	27 CFR 478.124(c)(1)	On ^{(b)(3) 112 Public} instance, licensee was in violation of 27 CFR 478.124(c)(1) as follows. ^{(b)(3) 112 Public} ATF Form 4473 had a blank answer for Question #11d (Fugitive from justice).	The proper method for completing and obtaining ATF Form 4473 was reviewed.	—

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Signature and Title of ATF Officer

(b)(6)

For

owner

Date
1/25/16

Date
1/25/16



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Springfield II Field Office

3161 W. White Oaks Dr. Suite 200
Springfield, IL 62704
www.atf.gov

February 1, 2016

772060 (b)(6)
5300

**CERTIFIED MAIL
RETURN RECEIPT REQUESTED**

Thomas Fuller
18326 W Farmington Rd
Tivoli, IL 61569

FFL #3-37-143-01-7A-02753

Dear Mr. Fuller:

During a recent compliance inspection at your firearms business covering the period of December 28, 2010, through January 25, 2016, you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

The violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator, [REDACTED]. You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

Thomas Fuller (3-37-02753)

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

Should you have any questions regarding this matter, please contact IO Investigator (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor
Springfield II

Enclosure

c: Federal Firearms Licensing Center