

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) ✓ JM CUSTOM PROTECTION SERVICES LLC NOVA FIREARMS 1389 CHAIN BRIDGE RD MCLEAN, VA 22101, FAIRFAX		2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 768075-2016-0074-B1B 3. PERMIT/LICENSE NUMBER 154059017D14746 4a. TARGET DATE 1/22/2016 4b. TARGET HOURS 5. REQUESTED BY (SIGNATURE, TITLE AND DATE)	
6. ATF OFFICER(S) ASSIGNED (b)(6) - Lead Investigator			
7. ASSIGNED BY (SIGNATURE, TITLE AND DATE) (b)(6) . Area Supervisor, 12/18/2015			
8. PURPOSE/SPECIAL INSTRUCTIONS Conduct full compliance; follow-up theft/loss.			
9. INSPECTION RESULTS <input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC			
NO. OF VIOLATIONS	10	NO. OF REFERRALS	1
NO. OF TECS CHECKS	30	NO. OF TECS HITS	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES	
		\$ VALUE OF TAX DECREASES	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS	
NO. OF CLAIMS		\$ VALUE OF CLAIMS	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED	
		2111 - PER DIEM	
		2112 - P.O.A.	
		2113 - COMM. AIR	
		2114 - RENTAL CAR	
		2115 - GPV EXPENSES	
		2116 - MISC.	
		TOTAL \$ FOR INSP.	
11. ATF OFFICER'S RECOMMENDATION Submitted by (b)(6) - Industry Operations Investigator Sub on 07/26/2016 IOI (b)(6) recommends that the licensee received a Warning Letter in lieu of attending a Warning Conference for failing to report (b)(3) multiple sale reports. During the previous twelve months, the FFL successfully reported (b)(3) - Public Law 112-55 multiple sales involving (b)(3) - P handguns. Of (b)(3) - Pub multiple sales completed over the past twelve months, the FFL successfully reported 92% of these qualifying transactions. This is also the licensee's first compliance inspection. Violts WL ONLY and No Recall Inspection			
12. TIME ACCOUNTING DATA			
ATF OFFICER'S NAME (MONTH, YEAR, HOURS) (b)(6)			
DEC 2015	22.00		
JAN 2016	33.50		
FEB 2016	28.50		
MAR 2016	2.00		
APR 2016	2.00		
MAY 2016	3.50		
JUN 2016	4.00		
JUL 2016	11.50		
ATF OFFICER'S SUBTOTAL		107.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS		107.00	

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and No Recall Inspection

☒ REVIEWED

☒ CONCUR

☐ SEE COMMENTS

☒ FINAL DISPOSITION

SIGNATURE AND TITLE

MFFRONCZA2 - Director of Industry Operations

REVIEW DATE

08/08/2016

REVIEW COMMENTS AND RECOMMENDATION

Viols ROV ONLY No Recall Inspection

☒ REVIEWED

☒ CONCUR

☒ SEE COMMENTS

☐ FINAL DISPOSITION

SIGNATURE AND TITLE

(b)(6) - Area Supervisor

(b)(6)

REVIEW DATE

08/09/2016

ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor JM CUSTOM PROTECTION SERVICES LLC NOVA FIREARMS	Street Address 1389 CHAIN BRIDGE RD	City MCLEAN	State VA	Zip Code 22101-	County FAIRFAX	Page 1 of 6 Pages
License/Permit/Registry Number <i>(If any)</i> 154059017D14746		Expiration Date 4/1/2017		Date(s) or Period of Inspection 12/17/2015 through 12/21/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

CERTAIN PROHIBITED SALES OR DELIVERIES

Licensee sold or delivered a long gun to an out-of-state resident where the delivery of the long gun fails to comply with the State laws of the purchasers state of residence. (b)(3) 112 Public Law 55 125 STAT 562 (b)(6)

Citation: 27 CFR 478.99(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee will not sell or deliver a rifle or shotgun to out-of-state residents when such delivery does not fully comply with the legal conditions of sale in both such States.

Number: 2

Nature of Violation:

CERTAIN PROHIBITED SALES OR DELIVERIES

Licensee transferred firearms to a purchaser who provided a "Yes" responses to questions 11b and 11i (b)(3) 112 Public Law 55 125 STAT 562 (b)(6); 11f (b)(3) 112 Public Law 55 125 STAT 562 (b)(6); 11b, 11c and 11g (b)(3) 112 Public L

Citation: 27 CFR 478.99(c)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee will not transfer a firearm to a purchaser, having reasonable cause to believe that such person is prohibited.

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

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License/Permit/Registry Number (If any) 154059017D14746	Expiration Date 4/1/2017	Date(s) or Period of Inspection 12/17/2015 through 12/21/2015
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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:
ATF FORM 4473

1. Licensee failed to ensure purchaser complete or provide an accurate response to question #2 on (b)(3) (1)(2 Pub) instances.

2. Licensee failed to ensure purchaser provide responses to certification questions on (b)(3) (1)(2 Pub) instances.

3. Licensee failed to ensure purchaser complete or provide an accurate response to question #17 on (b)(3) (1)(2 Pub) instances.

4. Licensee failed to ensure purchaser complete or provide an accurate response to question #13 on (b)(3) (1)(2 Pub) instances.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

1-4. Licensee will ensure purchasers provide accurate responses within Section A of the ATF Form 4473.

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ATF E-Form 5030 5
Revised April 2005

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 4	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: ATF FORM 4473	Corrective Action to be Taken: <i>(If not corrected immediately)</i>
Licensee failed to properly document the purchaser's identification within question 20a and 20b on (b)(3) 112 Form instances.	Licensee will properly document the purchaser's identification within 20a and 20b.
Citation: 27 CFR 478.124(c)(3)(i)	
Number: 5	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: ATF FORM 4473 - NICS	Corrective Action to be Taken: <i>(If not corrected immediately)</i>
Licensee failed to properly document the NICS transaction within questions 21a-21d on (b)(3) 112 Form instances.	Licensee will ensure that the NICS transaction is properly recorded within the ATF Forms 4473.
Citation: 27 CFR 478.124(c)(3)(iv)	

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 6

Nature of Violation:
ATF FORM 4473 - FIREARM DESCRIPTION

Licensee failed to document the firearm model and caliber on (b)(3) 112 F04 instance.

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee will properly record firearm information within section D of the ATF Form 4473.

Number: 7

Nature of Violation:
ATF FORM 4473

Licensee failed to accurately document the "Date Transferred" on question 36 on (b)(3) 112 F04 instances.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee will ensure that the firearm transfer date is accurately recorded.

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License/Permit/Registry Number <i>(If any)</i> 154059017D14746		Expiration Date 4/1/2017		Date(s) or Period of Inspection 12/17/2015 through 12/21/2015		

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 8	Date Corrections to be Made: <i>(If not corrected immediately)</i>
Nature of Violation: ATF FORM 4473	Corrective Action to be Taken: <i>(If not corrected immediately)</i>
1. Licensee transferred a firearm to a purchaser who provided a "No" response to question 11a on (b)(3) 112 F-00 instances.	1. Licensee will not transfer a firearm to a purchaser who provided a "No" response to question 11a.
2. Licensee failed to document the type of firearm on question 18 of the ATF F 4473 on (b)(3) 112 F-00 instance.	2. Licensee will properly complete the ATF Forms 4473.
3. Licensee failed to ensure that the purchaser completed the re-certification on questions 24 and 25 on (b)(3) 112 F-00 instance.	3. Licensee will ensure that the purchaser complete questions 24 and 25 when the firearm transfer takes place on a different day from the date that the transferree (buyer) signed Section A.
4. Licensee failed to document the "Transferor's/Seller's Title" on (b)(3) 112 F-00 instance.	4. Licensee will properly complete the ATF Forms 4473.

Citation: 27 CFR 478.21(a)

U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 9

Nature of Violation:

ACQUISITION AND DISPOSITION BY DEALERS

1. Licensee failed to enter within the A&D record the acquisition information for (b)(3) 112 F-001 firearms.

2. Licensee failed to enter within the A&D record the disposition information for (b)(3) 112 F-001 firearms.

3. Licensee recorded the incorrect serial number on (b)(3) 112 F-001 instances.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made: 02/22/2016
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

1-2. Licensee utilized invoices and ATF Forms 4473 to reconcile the A&D records. Licensee will ensure that each firearm's acquisition and disposition information are entered within the A&D records as required.

3. Licensee will ensure that all information is accurately recorded within A&D records.

Number: 10

Nature of Violation:

REPORT OF MULTIPLE HANDGUN SALES

Licensee failed to report (b)(3) 112 F-001 multiple handgun sales involving (b)(3) handguns.

Citation: 27 CFR 478.126a

Date Corrections to be Made: 02/22/2016
(If not corrected immediately)

Corrective Action to be Taken:
(If not corrected immediately)

Licensee will complete and submit the ATF Forms 3310.4.

I Have Received a Copy of This Report of Violations (Proprietor's signature and title)

Date

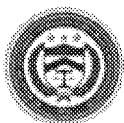
Signature and Title of ATF Officer

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Date

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Revised April 2005



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives

Washington Field Division

www.atf.gov

August 11, 2016

768075: (b)(6)
5300

CERTIFIED MAIL -RETURN RECEIPT REQUESTED

JM Custom Protection Services LLC
NOVA Firearms
1389 Chain Bridge Road
McLean, VA 22101

RE: 1-54-059-01-7D-14746

Dear: Mr. James B. Gates,

During a recent inspection at your firearms business, covering the period December 18, 2014 to December 18, 2015, you were cited for ten (10) violations of Title 27, Code of Federal Regulations, Part 478. A copy of the Report of Violations, ATF F 5030.5, issued at the time of the inspection is enclosed.

The violations were fully explained to you and your designated responsible person by Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations and that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing efforts to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and to ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area. We look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

JM Custom Protection Services LLC

Should you have any questions regarding this matter, please contact (b)(6), Industry Operations Investigator at (b)(6).

Sincerely yours,

(b)(6)

Area Supervisor

Enclosure

c: Federal Firearms Licensing Center
Washington Field Division
Falls Church III (IO) Field Office