

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor Keller, Samuel Edward KELLER FIREARMS	Street Address 12621 Parker Ln	City Chester	State VA	Zip Code 23831-	County Chesterfield	Page 1 of 4 Pages
License/Permit/Registry Number <i>(If any)</i> 154041016H36773	Expiration Date 8/1/2019	Date(s) or Period of Inspection 04/25/2017 through 05/17/2017				

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

FAILURE TO PROPERLY MAINTAIN A & D BOOK

The licensee, by and through its agents and employees, failed to timely and/or accurately record the acquisition of [REDACTED] firearms. This included incorrect and incomplete acquisition information recorded into the A & D book as well as [REDACTED] firearm recorded as a disposition when it was in the inventory.

The licensee, by and through its agents and employees, failed to timely and/or accurately record the disposition of [REDACTED] firearms. This included late dispositions and incomplete disposition information recorded into the A & D book.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee immediately recorded the correct acquisition and disposition information in the A&D Record

Number: 2

Nature of Violation:

FORMS PRESCRIBED

The licensee, by and through its agents and employees failed to obtain/execute Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form. [REDACTED]

instance cited. (b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.21(a)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee was instructed to make a copy of the affected form and make the correction to the copy and initial and date the change.

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

FAILURE TO PROPERLY EXECUTE ATF FORM 4473

The licensee, by and through its agents and employees, prior to making an over-the-counter transfer of a firearm to a non-licensee, failed to obtain the required information in Section A of the ATF Form 4473, Questions 1 – 17. ³³³ instances cited.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Preventative action discussed.

(If not corrected immediately)

Number: 4

Nature of Violation:

FAILURE TO PROPERLY EXECUTE ATF FORM 4473

The licensee, by and through its agents and employees, transferred a firearm to a nonlicensee without recording required NICS information on the Form 4473. ³³³ instances cited.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Licensee was instructed to make a copy of the affected forms and make the correction to the copy and initial and date the change.

(If not corrected immediately)

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

FAILURE TO PROPERLY EXECUTE ATF FORM 4473

The licensee, by and through its agents and employees, failed to identify the firearm to be transferred on Form 4473 ^{(b)(3)} instances cited.

(b)(3) 112 Public Law 55 125 STAT 552 (b)(6)

Citation: 27 CFR 478.124(c)(4)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee was instructed to make a copy of the affected form and make the correction to the copy and initial and date the change.

Number: 6

Nature of Violation:

FAILURE TO DISTRIBUTE YOUTH HANDGUN PAMPHLET

The licensee, by and through its agents and employees, failed to distribute the Youth Handgun Safety Act pamphlet, ^{(b)(3)} instance cited.

Citation: 27 CFR 478.103(c)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Preventative action discussed.

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Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 7

Nature of Violation:

- Failure to display at the license premises a sign, ATF I5300.1, Re: Youth Handgun Safety Act Poster, in a conspicuous place – 1 instance
- Failure to display the Youth Handgun Safety Act poster in a conspicuous place.

Citation: 27 CFR 478.103(d)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken:

(If not corrected immediately)

Licensee was instructed to display the Youth Handgun Safety Act poster in a conspicuous place at the place of business.

I Have Received a Copy of This Report of Violations <i>(Proprietor's signature and title)</i>	Date
Signature and Title of ATF Officer March 11 2019 Document Production	Date



U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
1011 Boulder Springs Drive
Suite 300, Richmond, VA 23225

June 5th, 2017

www.atf.gov

Samuel Edward Keller
T/A Keller Firearms
12621 Parker Lane
Chester, VA 23831

FFL No. 1-54-041-01-6H-36773

Dear Mr. Keller:

During a recent compliance inspection at your firearms business covering the audit review period 4/25/2016 through 4/25/2017 you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b)(6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that that Keller Firearms achieves future compliance.

If you have any questions regarding this matter, please contact (b)(6) at (b)(6).

Sincerely yours,

(b)(6)

(b)(6), Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center
Richmond Area Office