

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY) ROUSE, Parke Shepherd III None 110 Hillview Lane Raphine, VA 24472, Rockbridge	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.) 768035-2016-0212-B1B		
	3. PERMIT/LICENSE NUMBER 154163017A07815	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b) (6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b) (6), Area Supervisor, 03/23/2016

8. PURPOSE/SPECIAL INSTRUCTIONS

Please conduct a recall inspection on this FFL. Previous inspection by IOI (b) (6) found incomplete acquisition and disposition information in A & D Record and not all F4473 information complete. Please complete a recall inspection to ensure FFL is now compliant with all ATF laws and regulations. A/S Recommend a ROV only and recall in lieu of WL or WC.

9. INSPECTION RESULTS		<input type="checkbox"/> CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	2	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	5	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		\$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		\$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		\$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		\$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		\$ VALUE OF TAXES VERIFIED		TOTAL \$ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION

Submitted by (b) (6) - Industry Operations Investigator

Submitted on: 11/29/2016

Recommend FLS change/add the following information:

Change fax number to: 540-377-2223

Add e-mail address for Sheperd Rouse: (b) (6)

On 7/7/16, a query in N-Force and Openfox was conducted on Responsible Persons Shepherd and Elizabeth Rouse by IOI (b) (6) with no disabling information resulting from this query.

Viols WL ONLY and No Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)		(b) (6)
MAY 2016	1.00	
JUL 2016	25.00	
AUG 2016	4.00	
NOV 2016	3.00	
ATF OFFICER'S SUBTOTAL	33.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	33.00	

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION
Viols WL ONLY and No Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE (b) (6) Area Supervisor	REVIEW DATE 12/02/2016
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ROUTING SEQUENCE AND DATE

- ☐ 1. _____
- ☐ 2. _____
- ☐ 3. _____
- ☐ 4. _____

CONTROL FILE POSTED DATE _____

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor ROUSE, Parke Shepherd III None	Street Address 110 Hillview Lane	City Raphine	State VA	Zip Code 24472-	County Rockbridge	Page 1 of 1 Pages
License/Permit/Registry Number <i>(If any)</i> 154163017A07815		Expiration Date 1/1/2017	Date(s) or Period of Inspection 07/11/2016 through 07/25/2016			

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

FAILURE TO PROPERLY MAINTAIN A & D BOOK

The licensee, by and through its agents and employees, failed to timely and/or accurately record the acquisition of ^{(b) (3) - Public Law 112-55 (125 Stat. 552)} firearms. This included

These late acquisitions are the following: ^{(b) (3) - Public Law 112-55 (125 Stat. 552)}

^{(b) (3) - Public Law 112-55 (125 Stat. 552)}.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Licensee immediately recorded the correct acquisition and disposition information in the A&D Record.

(If not corrected immediately)

Number: 2

Nature of Violation:

FAILURE TO PROPERLY EXECUTE ATF FORM 4473

The licensee, by and through its agents and employees, prior to making an over-the-counter transfer of a firearm to a non-licensee, failed to obtain the required information in Section A of the ATF Form 4473, Questions 1 – 17. ^{(b) (3) - Public Law 112-55 (125 Stat. 552)} instances cited.

^{(b) (3) - Public Law 112-55 (125 Stat. 552), (b) (6)}

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made:

(If not corrected immediately)

Corrective Action to be Taken: Preventative action discussed.

(If not corrected immediately)

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)*

Date

Signature and Title of ATF Officer

Date

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U.S. Department of Justice

Bureau of Alcohol, Tobacco,
Firearms and Explosives
1011 Boulder Springs Drive
Suite 300, Richmond, VA 23225

December 2nd, 2016

www.atf.gov

Parke Shepherd Rouse, III
110 Hillview Lane
Raphine, VA 24472

FFL No. 1-54-163-01-7A-07815

Dear Mr. Rouse

During a recent compliance inspection at your firearms business covering the audit review period 7/11/2015 through 7/25/2016 you were cited for violations of 27 Code of Federal Regulations, Part 478. A copy of the Report of Violations, Form 5030.5, issued at the time of the inspection is enclosed.

All violations were fully explained to you by the Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) Industry Operations Investigator (b) (6). You indicated that you understood the requirements of the firearms laws and regulations. You further indicated that corrective actions would be taken to eliminate future violations.

The records you are required to maintain and the business operations you conduct are important to law enforcement in our continuing effort to reduce violent crime and protect the public. It is essential that you comply with all Federal laws and regulations that govern your firearms business. This is critical to carrying out our mission to protect the public and ensure that criminals do not gain access to firearms. ATF appreciates the efforts you and other industry members make in this area, and we look forward to continuing to work with you in that regard.

You are reminded that retention of your Federal firearms license is conditioned upon your compliance with Federal laws and regulations. Any future violations, either repeat or otherwise, could be viewed as willful and may result in the revocation of your license. Please ensure that future compliance is achieved.

If you have any questions regarding this matter, please contact (b) (6) at (b) (6)

Sincerely yours,

(b) (6)

(b) (6) Area Supervisor

Enclosure

cc: Federal Firearms Licensing Center
Richmond Area Office

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