U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Assignment and Report

1. OPERATING NAME AND ADDRESS (INCLUDE ZIP CODE AND COUNTY)	2. U.I. NUMBER (ORG. SEG. CODE, ASSIGNMENT NO., P.P.C.)		
KEETER, Travis Wayne Sr. TRAPPER'S TAXIDERMY 658 Ruritan Dr. Emporia,VA 23847, Greensville	768035-2016-0033-B1B		
	3. PERMIT/LICENSE NUMBER 154081017C08173	4a. TARGET DATE	4b. TARGET HOURS
	5. REQUESTED BY (SIGNATURE, TITLE AND DATE)		

6. ATF OFFICER(S) ASSIGNED
(b)(6) - Lead Investigator

7. ASSIGNED BY (SIGNATURE, TITLE AND DATE)
(b)(6), Area Supervisor, 10/01/2015

8. PURPOSE/SPECIAL INSTRUCTIONS
Please conduct a recall inspection on this FFL who was issued a Warning Letter on 8-6-2014 due to violations disclosed by first compliance inspection by IOI (b)(6). F4473 errors disclosed and A & D ommissions which were corrected during her inspection.

9. INSPECTION RESULTS		☐ CHECK IF NO VIOLATIONS, ADJUSTMENTS, ETC		10. TRAVEL EXPENSES (OPTIONAL)	
NO. OF VIOLATIONS	6	NO. OF REFERRALS		2111 - PER DIEM	
NO. OF TECS CHECKS	1	NO. OF TECS HITS		2112 - P.O.A.	
NO. OF TAX ADJUSTMENTS		$ VALUE OF TAX INCREASES		2113 - COMM. AIR	
		$ VALUE OF TAX DECREASES		2114 - RENTAL CAR	
NO. OF ASSESSMENTS		$ VALUE OF ASSESSMENTS		2115 - GPV EXPENSES	
NO. OF CLAIMS		$ VALUE OF CLAIMS		2116 - MISC.	
NO. OF TAX PERIODS		$ VALUE OF TAXES VERIFIED		TOTAL $ FOR INSP.	

11. ATF OFFICER'S RECOMMENDATION
Submitted by (b)(6) - Industry Operations Investigator

Submitted on: 12/21/2015
For violation of failure to report a multiple sale of handguns in (b) instances and incomplete acquisition information in (b)(3) instances, which is a repeat violation, a Report of Violations with a Warning Conference and Recall Inspection is appropriate. However, because these violations resulted from a misunderstanding of the regulations, and Mr. Keeter demonstrated understanding of what was required through the course of the closing conference, with violations being corrected immediately, IOI (b)(6) recommends a Warning Letter Only with Recall Inspection as appropriate at this time.

On October 29, 2015, IOI (b)(6) queried all responsible persons in OpenFox and N-Force with no disabling information revealed.
Viols WL ONLY and Recall Inspection

12. TIME ACCOUNTING DATA

ATF OFFICER'S NAME (MONTH, YEAR, HOURS)	(b)(6)	
OCT 2015	10.00	
NOV 2015	19.00	
DEC 2015	2.00	
ATF OFFICER'S SUBTOTAL	31.00	ATF OFFICER'S SIGNATURE
TOTAL HOURS	31.00	

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13. REVIEW AND ROUTING

REVIEW COMMENTS AND RECOMMENDATION

WL and recall recommended in lieu of WC. Forwarded to DIO for review.

Viols WL ONLY and Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☒ FINAL DISPOSITION

SIGNATURE AND TITLE		REVIEW DATE
(b)(6) - Area Supervisor	(b)(6)	12/21/2015

REVIEW COMMENTS AND RECOMMENDATION

Viols WL ONLY and Recall Inspection

☒ REVIEWED ☒ CONCUR ☐ SEE COMMENTS ☐ FINAL DISPOSITION

SIGNATURE AND TITLE	REVIEW DATE
MFFRONCZA2 - Director of Industry Operations	12/21/2015

ROUTING SEQUENCE AND DATE

☐ 1. _____
☐ 2. _____
☐ 3. _____
☐ 4. _____

CONTROL FILE POSTED DATE _____

U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Report of Violations

Instructions

Please write firmly with a ball point pen when completing this form. ATF officers will prepare this form in triplicate. The original copy will be given to the proprietor or a responsible representative. The remaining copies will be submitted with the completed inspection report. Supervisors will detach one copy from the completed report for their files.

Name of Proprietor	Street Address	City	State	Zip Code	County	Page 1 of
KEETER, Travis Wayne Sr. TRAPPER'S TAXIDERMY	658 Ruritan Dr.	Emporia	VA	23847-	Greensville	3 Pages

License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
154081017C08173	3/1/2017	11/03/2015 through 11/17/2015

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 1

Nature of Violation:

The licensee, by and through its agents and employees failed to obtain/execute Form 4473 as indicated by the headings on the form and the instructions on or pertaining to the form, [redacted] instance cited.

[redacted] (b)(3) 112 Public Law 55 125 STAT 552

Citation: 27 CFR 478.21(a)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* Ensure proper completion of the ATF Form 4473 by recording all required information as instructed by the form and the headings therein.

Number: 2

Nature of Violation:

The licensee, by and through its agents and employees, failed to obtain a properly executed Form 4473 from the transferee prior to making an over-the-counter transfer of a firearm to a non-licensee, [redacted] instances cited.

-On [redacted] forms, Item 13, State of Residence, is blank or incorrect.

-On [redacted] form, Item 17, Certification Date, is incorrect.

Citation: 27 CFR 478.124(c)(1)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* Ensure proper completion of the ATF Form 4473 by obtaining all required information from the transferee in Section A of the form.

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154081017C08173	3/1/2017	11/03/2015 through 11/17/2015

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 3

Nature of Violation:

The licensee, by and through its agents and employees, transferred a firearm to a non-licensee without recording required NICS information on the Form 4473 [redacted] instances cited.

-On [redacted] forms, Item 21b, Approval Code, is blank.

-On [redacted] form, Item 21d, Resolution After Delay, is blank.

Citation: 27 CFR 478.124(c)(3)(iv)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* Ensure proper completion of the ATF Form 4473 by recording all applicable information in Item 21 of the form.

Number: 4

Nature of Violation:

The licensee, by and through its agents and employees, failed to sign and date the Form 4473 certifying that the licensee does not know or have reason to believe the transferee is disqualified by law from receiving the firearm described on the Form, [redacted] instances cited.

-On [redacted] forms, Item 36, Date Transferred, is incorrect or blank.

Citation: 27 CFR 478.124(c)(5)

Date Corrections to be Made:
(If not corrected immediately)

Corrective Action to be Taken: *(If not corrected immediately)* Ensure proper completion of the ATF Form 4473 by recording the date of transfer in Item 36 of the form at the time the firearm is transferred.

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License/Permit/Registry Number *(If any)*	Expiration Date	Date(s) or Period of Inspection
154081017C08173	3/1/2017	11/03/2015 through 11/17/2015

Inspection Results

An examination of your premises, records and operations has disclosed the following violations which have been explained to you:

Number: 5

Nature of Violation:

The licensee, by and through its agents and employees, failed to timely and accurately report the sale or other disposition of two or more pistols and/or revolvers to an unlicensed person, (D)(3) instances cited.

Citation: 27 CFR 478.126a

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* Ensure proper completion and submission of the multiple sale report whenever two or more pistols and/or revolvers are transferred to the same non-licensed person within a 5 business day period.

Number: 6

Nature of Violation:

The licensee, by and through its agents and employees, failed to timely and/or accurately record the acquisition of (D)(3) firearms.

-In (D)(3) instances, the name from whom a firearm was received was not recorded.

Citation: 27 CFR 478.125(e)

Date Corrections to be Made: *(If not corrected immediately)*

Corrective Action to be Taken: *(If not corrected immediately)* Ensure proper maintenance of the Acquisition and Disposition Record by recording complete acquisition information, to include the name and address or name and FFL number from whom the firearm is received.

I Have Received a Copy of This Report of Violations *(Proprietor's signature and title)* Travis W Keeter Sr. — Date 11/17/15

Signature and Title of A(b)(6) IOI — Date 11/17/2015

For Official Use Only

ATF E-Form 5030.5
Revised April 2005